

MRA 10-27-99

FORM PTO-1619A
Expires 06/30/99
OMB 0851-0027

11-05-1999



101192786

U.S. Department of Commerce
Patent and Trademark Office
PATENT**RECORDATION FORM COVER SHEET
PATENTS ONLY**

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

New



Resubmission (Non-Recordation)

Document ID#



Correction of PTO Error

Reel #

Frame #



Corrective Document

Reel #

Frame #

Conveyance Type

Assignment



Security Agreement



License



Change of Name



Merger



Other

U.S. Government
(For Use ONLY by U.S. Government Agencies)

Departmental File



Secret File

Jc542 U.S. PTO
09/427957

10/27/99

Conveying Party(ies)

Mark if additional names of conveying parties attached

Name (line 1)

Henry F. Taylor

Execution Date
Month Day Year
10 19 99

Name (line 2)

Second Party

Execution Date
Month Day Year

Name (line 1)

Name (line 2)

00000004 09427957

09-427957

11/03/1999 11:15:00 AM

03 FC:502 Receiving Party

(40.00 OP)



Mark if additional names of receiving parties attached

Name (line 1)

The Texas A&M University System

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

College Station

TX

77843

City

State/Country

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)**Domestic Representative Name and Address**

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

FOR OFFICE USE ONLY

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0851-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0851-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to:
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

PATENT**REEL: 010355 FRAME: 0333**

Correspondent Name and Address	Area Code and Telephone Number
Name <u>J. Nevin Shaffer, Jr.</u>	<u>512-327-8932</u>
Address (line 1) <u>Shaffer & Culbertson, L.L.P.</u>	
Address (line 2) <u>1250 Cap. of Texas Hwy., S.</u>	
Address (line 3) <u>Bldg. I, Suite 360</u>	
Address (line 4) <u>Austin, TX 78746</u>	

Pages Enter the total number of pages of the attached conveyance document including any attachments. # 1

Application Number(s) or Patent Number(s) ☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)			Patent Number(s)		
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month	Day	Year
<u>10</u>	<u>19</u>	<u>99</u>

Patent Cooperation Treaty (PCT)

Enter PCT application number only if a U.S. Application Number has not been assigned.

PCT <input type="text"/>	PCT <input type="text"/>	PCT <input type="text"/>
PCT <input type="text"/>	PCT <input type="text"/>	PCT <input type="text"/>

Number of Properties Enter the total number of properties involved. # 1

Fee Amount Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00

Method of Payment: Enclosed ☒ Deposit Account ☐


(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number: # 19-1453

Authorization to charge additional fees: Yes ☒ No ☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

<u>J. Nevin Shaffer, Jr.</u>		<u>October 27, 1999</u>
Name of Person Signing	Signature	Date

ASSIGNMENT

FOR GOOD AND VALUABLE CONSIDERATION, the receipt, sufficiency and adequacy of which are hereby acknowledged, the undersigned, does hereby:

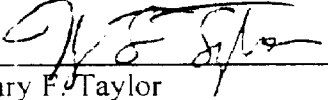
SELL, ASSIGN AND TRANSFER to THE TEXAS A&M UNIVERSITY SYSTEM (the "Assignee"), a Texas State Agency, having a place of business at College Station, Texas 77843, his entire right, title and interest for the United States and all foreign countries in and to any and all inventions which are disclosed in application No. 60/124,909, filed on March 17, 1999, which has been executed by the undersigned concurrently herewith and is entitled "Slow Wave Electrooptic Light Modulator" such application and all divisional, continuing, substitute, renewal, reissue and all other applications for patent which have been or shall be filed in the United States and all foreign countries on any of such inventions; all original and reissued patents which have been or shall be issued in the United States and all foreign countries on such inventions; and specifically including the right to file foreign applications under the provisions of any convention or treaty and claim priority based on such applications in the United States;

AUTHORIZE AND REQUEST the issuing authority to issue any and all United States and foreign patents granted on such inventions to the Assignee;

WARRANT AND COVENANT that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by the undersigned, and that the full right to convey the same as herein expressed is possessed by the undersigned;

COVENANT, when requested and at the expense of the Assignee, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all such inventions; execute all rightful oaths, declarations, assignments, powers of attorney and other papers; communicate to the Assignee all facts known to the undersigned relating to such inventions and the history thereof; and generally do everything possible which the Assignee shall consider desirable for vesting title to such inventions in the Assignee, and for securing, maintaining and enforcing proper patent protection for such inventions;

TO BE BINDING on the heirs, assigns, representatives and successors of the undersigned and extend to the successors, assigns and nominees of the Assignee.

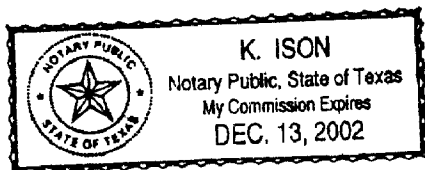

Henry F. Taylor


Date: Oct. 19, 1999

STATE OF TEXAS)
) ss.
COUNTY OF BRAZOS)

BEFORE ME, the undersigned authority, on this day personally appeared Henry F. Taylor, known to me (or proven to me on the basis of satisfactory evidence) to be the persons whose name are subscribed to the foregoing instrument and acknowledged to me that they executed the same of their own free will for the purposes and considerations therein expressed.

[SEAL]





Notary Public in and for
the State of Texas
My Commission Expires:
12/13/02