

MRD 10-27-99

11-05-1999

Attorney Docket No. 2925-316P

To the Honorable Commi:



record the attached original documents or copy

1. Name of conveying party(ies):

101192888

and address of receiving party(ies)

Zhichong GU; Richard A. JOHNSTON; and  
Ashish SUMANT

Name: Lucent Technologies Inc.

Internal Address:

Additional name(s) of conveying party(ies) attached? ☐ Yes☒ No

Street Address: 600 Mountain Avenue, P.O. Box 636

City: Murray Hill State: NJ ZIP: 07974-0636

Additional name(s) & address(es) attached? ☐ Yes ☒  
No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: October 25, 1999

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s).

B. Patent No.(s)

09-428047

Additional numbers attached? ☐ Yes ☒ No5. Name and address of party to whom correspondence  
concerning document should be mailed:

Name: BIRCH, STEWART, KOLASCH &amp; BIRCH, LLP

Internal Address: P.O. Box 747  
Falls Church, Virginia 22040-0747

Street Address: 8110 Gatehouse Road, Suite 500 East

City: Falls Church State: VA ZIP: 22042

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number: 12-2325

(Attach triplicate copy of this page if paying by deposit account)

11/03/1999 JSHABAZZ 00000060 122325 09428047

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Raymond C. Stewart, #21,066

Name of Person Signing

Signature

October 27, 1999

Date

Total number of pages including cover sheet, attachments, and document

5

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

2925-316P

PATENT  
REEL: 010355 FRAME: 0361

## ASSIGNMENT AND AGREEMENT

For value received, we, Zhichong GU of Reynoldsburg in the County of Fairfield and State of Ohio, Richard A. JOHNSTON of Columbus in the County of Franklin and State of Ohio, and Ashish SUMANT of Columbus in the County of Franklin and State of Ohio, hereby sell, assign and transfer to Lucent Technologies Inc., a corporation of the State of Delaware, having an office at 600 Mountain Avenue, Murray Hill, New Jersey 07974-0636, U.S.A., and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to **METHOD AND SYSTEM FOR ADAPTIVELY MANAGING A COMMUNICATIONS NETWORK** described in an application for Letters Patent of the United States, executed by us of even date herewith, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Lucent Technologies Inc. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize Lucent Technologies Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Lucent Technologies Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Lucent Technologies Inc. in the United States and in all countries foreign to the United States, or to such nominees as Lucent Technologies Inc. may designate.

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PATENT

REEL: 010355 FRAME: 0362

We agree that, when requested, we shall, without charge to Lucent Technologies Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

  
\_\_\_\_\_  
Zhichong GU

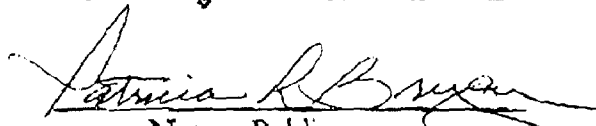
Date: October 25, 1999

United States of America )

State of Ohio ) ss.:

City of Columbus )

On this 25<sup>th</sup> day of OCTOBER, 1999, before me personally came Zhichong GU, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

  
\_\_\_\_\_  
Notary Public

PATRICIA H. BRYAN  
NOTARY PUBLIC, STATE OF OHIO  
MY COMMISSION EXPIRES 12-16-01

  
Richard A. JOHNSTON

Date: October 25, 1999

United States of America )

State of OHIO ) ss.:

County of USA )

On this 25<sup>th</sup> day of OCTOBER, 1999, before me personally came Richard A. JOHNSTON, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

  
Notary Public

PATRICIA R. BRYAN  
NOTARY PUBLIC, STATE OF OHIO  
MY COMMISSION EXPIRES 12-16-01

P. 04

FAX NO. 703 205 8050

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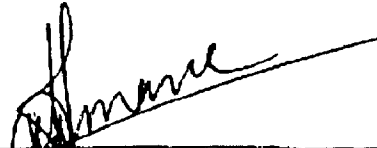
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PATENT  
REEL: 010355 FRAME: 0364

  
\_\_\_\_\_  
Ashish SUMANT

Date: October 25, 1999

United States of America )

State of OHIO ) ss.:

County of USA )

On this 25<sup>th</sup> day of OCTOBER, 1999, before me personally came Ashish SUMANT, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

  
\_\_\_\_\_  
Notary Public

FRANCIS H. DRYAN  
NOTARY PUBLIC, STATE OF OHIO  
MY COMMISSION EXPIRES 12-16-01

Lucent Technologies Inc.  
600 Mountain Avenue (Room 3C-512)  
P. O. Box 636  
Murray Hill, New Jersey 07974-0636

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

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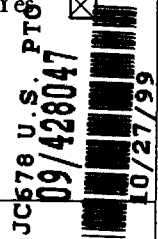
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RECORDED: 10/27/1999

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