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U.S. Department of Commerce
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof

1. Name of conveying party(ies): Stephen O'Brien, Lars E. Eng and Robert L. Hartman Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		2. Name and address of receiving party(ies): Name: <u>Lucent Technologies, Inc.</u> Internal Address: Street Address: <u>600 Mountain Avenue</u> City: <u>Murray Hill</u> State: <u>New Jersey</u> Zip: <u>07974-0636</u> Country: Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
3. Nature of Conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other Execution Date(s): <u>10/21/99</u>			
4. Application number(s) or patent number(s): If this document is being filed together with a new application, the Execution date(s) of the new application is (are): <u>10/21/99</u> A. Patent Application No.(s): <u>09.429326</u> B. Patent No.(s): Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
5. Name and address of party to whom correspondence concerning document should be mailed: Name: <u>DICKSTEIN SHAPIRO MORIN & OSHINSKY LLP</u> Internal Address: <u>Atty. Dkt.: L7480.0123/P123</u> Attn: <u>Mark J. Thronson</u> Street Address: <u>2101 L Street NW</u> City: <u>Washington</u> State: <u>DC</u> Zip: <u>20037 - 1526</u>		6. Total number of applications and patents involved: <u>1</u> 7. Total fee (37 C.F.R. 3.41) \$ <u>\$40.00</u> <input checked="" type="checkbox"/> Enclosed <input type="checkbox"/> Authorized to be charged to deposit account <input checked="" type="checkbox"/> Credit any overpayment or debit any underpayment	
		8. Deposit account number: <u>12 - 2325</u>	
DO NOT USE THIS SPACE			
9. Statement and signature. <i>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</i> <u>Mark J. Thronson</u> <u>[Signature]</u> <u>October 28, 1999</u> Signature Date Reg. No. <u>33,082</u>			
Total number of pages including cover sheet, attachments, and document: <u>5</u>			

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ASSIGNMENT AND AGREEMENT

For value received, we, Stephen O'Brien, Lars E. Eng and Robert L. Hartman, of in the County of Lehigh and State of PA, hereby sell, assign and transfer to Lucent Technologies Inc., a corporation of the State of Delaware, having an office at 600 Mountain Avenue, Murray Hill, New Jersey 07974-0636, U.S.A., and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to SEMICONDUCTOR ETALON DEVICE, OPTICAL CONTROL SYSTEM AND METHOD described in an application for Letters Patent of the United States, executed by us of even date herewith, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Lucent Technologies Inc. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize Lucent Technologies Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Lucent Technologies Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Lucent Technologies Inc. in the United States and in all countries foreign to the United States, or to such nominees as Lucent Technologies Inc. may designate.

We agree that, when requested, we shall, without charge to Lucent Technologies Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

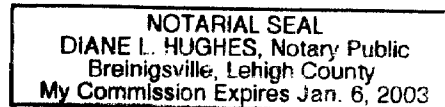
x Stephen O'Brien
Stephen O'Brien

Date: x 10/21/99

United States of America)
State of Pennsylvania)ss.:
County of Lehigh)

On this 21st day of October, 1999, before me personally came Stephen O'Brien, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Diane L. Hughes
Notary Public



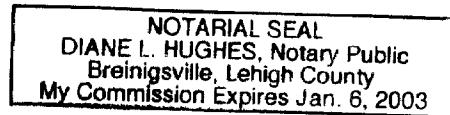
x Lars Eng
Lars E. Eng

Date: x 10/21/99

United States of America)
State of Pennsylvania)ss.:
County of Lehigh)

On this 21st day of October, 1999, before me
personally came Lars E. Eng, to me known to be the
individual described in and who executed the foregoing instrument, and acknowledged
execution of the same.

Diane L. Hughes
Notary Public



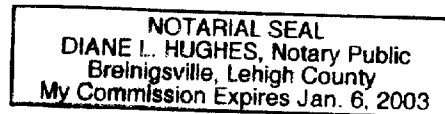
X Robert L. Hartman
Robert L. Hartman

Date: X 10/21/99

United States of America)
State of Pennsylvania)ss.:
County of Lehigh)

On this 21st day of October, 1999, before me
personally came Robert L. Hartman, to me known to be the
individual described in and who executed the foregoing instrument, and acknowledged
execution of the same.

Diane L. Hughes
Notary Public



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