

T. APPLNS. & PATENTS ONLY

**ONLY PATENTS & PAT. APPLNS** 101194366 To the Honorable Commissioner of Patents and Trademarks, Wash., D.C. 20231 SIR: Please record the attached original document or copy thereof on which the particulars are: 1. Name of conveying party(ies) (assignor(s)): Michael CARROLL Additional name(s) of conveying party(ies) attached? [ ]yes [X]no Name and Address of Party(ies) receiving an interest (assignee(s)): Address: <u>International Business Machines Corporation</u> Armonk, New York10504 U.S Additional name(s) & address(es) attached? [ ]yes [X]no Nature of Conveyance: Execution Dates: October 15, 1999 [X]Assignment [ ] Verified Translation Merger Change of Name 1 Security Agreement Other Execution date(s) on the Declaration if filed herewith: October 15, 1999 425177 4.5 Application number(s) or patent number(s). Others on additional sheet(s) attached? A. Patent Application No(s). First Inventor B. Patent No(s). First Inventor (series code/serial number) if not in Item 1 if not in Item 1 Name and address of party to whom correspondence 6. Number involved: concerning document should be mailed: Applns. <u>1</u> + Pats: = Total 1 Matthew G. Pryor Amount of fee enclosed: Hunton & Williams Above Total x \$40 = \$40.00If above fee missing or inadequate charge 1900 K Street, N.W., Suite 1200 Washington, D.C. 20006-1109 insufficiency to Deposit Account number (see telephone number below) 50-0206 (duplicate sheet not required.) 5.5 Atty, Dkt. 52817.000102 DO NOT USE THIS SPACE Statement and signature To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. 09425177 10. Total number of pages including this cover sheet (Do NOT file dup. cover sheet) Matthew G. Pryor Date: 10 - 21 - 5545,278 Tel: 202-955-1500 Fax: 202-778-2201 MGP/nvc

> **PATENT** REEL: 010356 FRAME: 0773

**ASSIGNMENT** 

Whereas, I, Michael CARROLL ("the Assignor"), a citizen of the United States of America,

residing at 3 Lucinda Place, Westford, MA 01886, have made an invention entitled

SYSTEM AND METHOD FOR SELECTING AND PROCESSING INFORMATION IN AN

**ELECTRONIC DOCUMENT** 

described in the application for United States Letters Patent filed herewith; and

Whereas, International Business Machines Corporation ("the Assignee"), a New York

corporation having a place of business at Armonk, New York 10504, is desirous of acquiring the

entire right, title and interest in and to the aforesaid invention for the United States of America, its

territories and possessions ("United States"), and other countries and to any Letters Patent of the

United States or any foreign country which may be granted therefor, including any and all reissues,

divisions, continuations, renewals or extensions thereof.

Now therefore, in consideration of the sum of One Dollar (\$1.00) and other good and

valuable consideration, the receipt of which I hereby acknowledged, I, the said Assignor, have

bargained, sold, assigned, transferred and set over, and by these presents do hereby bargain, sell,

assign, transfer and set over unto the Assignee, its successors and assigns the entire right, title and

interest in and to said application for Letters Patent and the invention therein disclosed, described or

claimed and in and to any and all Letters Patents of the United States of America or other countries

which may be granted for any thereof, and all reissues, divisions, continuations, extensions, and

renewals of any such Letters Patent, the same to be held and enjoyed by the Assignee for its own use

and behoof and for use and behoof of its successors, assigns or other legal representatives to the full

end of the term or terms for which any said Letters Patent may be granted, reissued, divided,

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continued, extended or renewed, as fully and entirely as the same would have been held and enjoyed

by me if this sale and assignment had not been made.

And I the said Assignor hereby authorize and request the appropriate governmental official to

issue any and all such Letters Patent under said invention, or resulting from any of said applications

thereof, to the Assignee as the assignee of the entire right, title and interest in and to the said

application and invention thereof;

And I the said Assignor hereby warrant and covenant that I have the full right to convey the

entire interest herein assigned and that I have not executed and will not execute any instrument or

assignment in conflict herewith;

And, I the said Assignor further covenant and agree that Assignor will at any time, upon

request, execute and deliver any and all papers that may be necessary or desirable to perfect the title

of said invention, or any Letters Patent that may be granted therefor to the Assignee, its successors,

assigns or other legal representatives and that if the Assignee, its successors, assigns or other legal

representatives desire to secure a reissue, division, or extension of said Letters Patent, Assignor will

upon request sign all papers, make all rightful oaths, and do all legal acts necessary and requisite for

the application of such reissue, division or extension and the procuring thereof, and generally do all

other and further lawful acts deemed necessary or expedient by the Assignee to assist or enable the

Assignee to obtain and enforce full benefits from the rights and interests herein assigned, but at the

expense of the Assignee.

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request, communicate to the Assignee	e, its successors, assigns or other legal representatives, any facts
relating to the said invention known	to me, and will testify as to the same in any interference or
litigation when requested to do so.	
Signature of Inventor: Inventor's Name: Inventor's Address:	Michael CARROLL 3 Lucinda Place Westford, MA 01886
Date of Execution:	10/15/99
IN WITNESS WHE	REOF, I have hereunto set my hand and seal.
COUNTY OF diddlesex	) ) ss: )
County and State aforesaid, persona	, 1999, before me a Notary Public in and for the lly appeared Michael CARROLL, to me known and known to ne, who signed and sealed the foregoing instrument, and free act and deed.
(SEAL)	Notary Public Luskously
	My commission Expires:
	Commonwealth of Massachusens My Commission Expires  Tak 20, 2005

**RECORDED: 10/22/1999** 

And, I the said Assignor further covenant and agree that Assignor will, at any time, upon

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