

11-08-1999



SHEET

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

To the Honorable Commissioner of I

101194493

ie attached original documents or copy thereof.

1. Name of conveying party(ies):

Ashok V. Joshi, Truman Christian Wold, II, and  
John Joseph McEvoy

11-4-99

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other:

Execution Date: October 29, 1999

2. Name and address of receiving party(ies)

Name: CERAMATEC, INC.

Internal Address:

Street Address: 2425 South 900 West  
Salt Lake City, Utah 84119

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

09/028,372

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Jody L. Factor  
Internal Address: FACTOR AND SHAFTAL, LLC

Street Address: 100 West Monroe St., Suite 300

City: Chicago State: IL Zip: 60603

6. Total number of applications and patents involved. 1

7. Total fee (37 CFR 3.41) \$40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number: 50-0545

(Attach duplicate copy of this page if paying by deposit account)

11/05/1999 DNGUYEN 00000218 09028372

01 EC:5A1

40.00 DE

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Jody L. Factor

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 3

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

PATENT  
REEL: 010357 FRAME: 0169

# ASSIGNMENT

98125

# FACTOR AND SHAFTAL, LLC

100 West Monroe Street  
Suite 300  
Chicago, Illinois 60603  
(312) 578-0400

FOR VALUE RECEIVED, the receipt of which is hereby acknowledged, the following inventor or inventors (hereinafter called "ASSIGNOR")

Ashok V. Joshi  
4552 S. Thousand Oaks Drive  
Salt Lake City, Utah 84124

Truman Christian Wold II  
923 S. Greenwood Terrace  
Salt Lake City, Utah 84105

John Joseph McEvoy  
11435 S. Player Rd.  
Sandy, Utah 84092

hereby assigns, transfers and sets over to:

Ceramatec, Inc.  
2425 South 900 West  
Salt Lake City, Utah 94119

(hereinafter called "ASSIGNEE"), the entire worldwide right, title and interest in and to the invention known as:

## CONTROLLED RELEASE OF SUBSTANCES

for which a United States Patent Application was filed on: February 24, 1998  
Serial No. 09/028,372

and all rights and privileges under any Letters Patent which may be granted thereon, including all rights, if any, throughout the entire world to sue for all past infringements which may have occurred before the execution of this assignment.

(1) The ASSIGNOR(s) agrees without charge to said ASSIGNEE but at its expense (a) to execute (i) all necessary papers to be used in connection with this application and any additional, continuing, or divisional applications, as the ASSIGNEE may deem necessary or expedient, (ii) all papers in connection with any interference or other legal or quasilegal proceedings relating to this application or any additional, continuing, or divisional application thereof, (iii) all papers and documents which may be

PATENT

REEL: 010357 FRAME: 0170

necessary in connection with the preparation and filing of any foreign applications or with making claims to priority rights granted under the provisions of the International Convention for Protection of Industrial Property or similar agreements; (b) to cooperate with ASSIGNEE in every way possible in obtaining evidence and going forward in any such proceedings; and (c) to perform all other affirmative acts which may be necessary or desirable to obtain a grant of a valid patent for said invention in any country throughout the world.

(2) ASSIGNOR(s) hereby authorizes and requests the Commissioner of Patents of the United States, and the appropriate officials in any other country, to issue any and all Letters Patent that may be granted upon any patent application or any additional, continuing or divisional applications thereof to the ASSIGNEE, its successors and assigns.

(3) ASSIGNOR(s) hereby covenants and warrants that they have full right to convey the entire right, title and interest by this instrument, free of any encumbrances and that no other agreement has been or will be executed in conflict herewith.

(4) ASSIGNOR(s) hereby grants to the law firm of FACTOR AND SHAFTAL of Chicago, Illinois, authority and power to insert on this instrument any further identification which may be necessary or desirable for purposes of recordation in the United States Patent Office or the Patent Office of any foreign country.

ASSIGNOR(s)

Date: October 29, 1999

Name of Inventor: \_\_\_\_\_

  
Ashok V. Joshi

Date: October 29, 1999

Name of Inventor: \_\_\_\_\_

  
Truman Christian Wold II

Date: October 29, 1999

Name of Inventor: \_\_\_\_\_

  
John Joseph McEvoy

Witness: \_\_\_\_\_

Witness: \_\_\_\_\_