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To the Honorable Commissioner of Patents and Trademarks, please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Yung-Chi Cheng and Ling Li

Additional name(s) of conveying party(ies) attached

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: October 1, 1999

2. Name and address of receiving party(ies)

Name Yale University

Internal Address: _____

Street Address: 451 College Street

City New Haven State CT ZIP 06520

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: July 21, 1999

A. Patent Application No.(s)

09/358,028

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name Coleman Sudol, LLP.

Internal Address: c/o Henry D. Coleman

11/08/1999 JSHABAZZ 00000025 09358028

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(40.00 OP)

Street Address: 708 Third Avenue, 14th Floor

City New York State New York ZIP: 10017

6. Total number of applications and patents involved: 1

7. Total fee (37 C.F.R. 3.41)..... \$40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and Signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Henry D. Coleman, Reg. No. 32,559

Name of Person Signing

Signature

November 2, 1999

Date

5

Total number of pages including cover sheet, attachments, and document:

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

PATENT

REEL: 010357 FRAME: 0317

ASSIGNMENT

WHEREAS, we, Yung-Chi Cheng, a citizen of the United States of America, residing at 961 Baldwin Road, Woodbridge, CT 30605, and Ling Li, a citizen of the People's Republic of China, residing at 109 Kaye Vue Dr., Apt. 1A, Hamden, CT 06514, have invented new and useful improvements in "5-(E)-Bromovinyl Uracil Analogues and Related Pyrimidine Nucleosides as Anti-Viral Agents and Methods of Use" for which we filed application serial number 09/358,028 in the United States Patent and Trademark Office on July 21, 1999.

WHEREAS, Yale University (hereinafter, "YALE"), having an office and principal place of business at 451 College Street, New Haven, CT 06520, has acquired and is desirous of acquiring the entire right, title and interest in and to the application and invention therein described and claimed and any Letters Patent which may be issued upon the application or for improvements therein contained.

Now, THEREFORE, in consideration of good and valuable considerations, to us in hand paid, the receipt and sufficiency of which are hereby acknowledged, we, Yung-Chi Cheng and Ling Li, have sold, assigned and transferred and do hereby sell, assign and transfer unto said YALE, its successors and assigns, our entire right, title and interest in and to the application and to the invention therein disclosed and the entire right, title and interest in and to any Letters Patent which may issue thereon, and the right to apply for any Letters Patent in the United States of America and in any and all foreign countries on said invention, including all divisional, renewal, substitute and continuation applications based in whole or in part upon said invention, or upon any and all Letters Patents that may issue thereon, and every priority right that is or may be predicated upon or arise from said invention, the same to be held and enjoyed by said YALE, for its own use and enjoyment and for the use and enjoyment of its successors and assigns, to the full end of the term for which any said Letters Patent are granted, as fully and as entirely as the same would have been held and enjoyed by us had this Assignment and sale not been made.

And we hereby authorize said YALE to file patent applications in any or all other countries

on said invention in my name or in the name of said YALE or otherwise as said YALE may deem advisable.

And we hereby authorize the empowered officials of all governments to issue or transfer any said Letters Patent granted, to said YALE, as assignee of the entire right, title and interest therein or otherwise as said YALE may direct, in accordance with this instrument or assignment.

In further consideration of said good and valuable considerations, we, Yung-Chi Cheng and Ling Li, further represent and warrant to said YALE, its successors and assigns, that there are no rights and interests outstanding inconsistent with the rights and interests granted herein and that we have not executed any instrument or granted or transferred any rights or interests inconsistent with the rights and interests granted herein and that we will not execute any instrument or grant or transfer any rights or interests inconsistent herewith, and we bind ourselves, our heirs, executors, administrators and legal representatives, as the case may be, to execute and deliver to said YALE, its successors and assigns, and we hereby irrevocably appoint said YALE as our true and lawful attorney-in-fact on our and its behalf and in our and/or its name, place and stead to execute, any further documents or instruments and do any and all further acts that may be deemed necessary or desirable by said YALE, its successors and assigns, to vest in said YALE, its successors and assigns, the title whose conveyance is made hereby, and to enable such title to be recorded in the United States and all other countries, and to enable said YALE to sustain or reissue any said Letters Patent granted, and to maintain, perfect, support and protect the right, title and interest of said YALE, its successors and assigns, in and to the said invention, and any Letters Patent granted, particularly in cases of interference and litigation.

And we further covenant and agree, in consideration of the premises, that we, our executors and administrators, will at any time upon request of said YALE, its successors or assigns, and at its expense, communicate to said YALE, its successors and assigns, any facts relating to the invention and improvements and the history thereof, known to us or our heirs, executors, administrators or legal representatives, and that we will testify as to the same in any interference, or other litigation when requested to do so by said YALE, its successors and assigns.

IN TESTIMONY WHEREOF, we have hereunto set my hand and seal this 30th day of ~~October~~ ^{Sept. 30}, 1999. (12)

Yung-Chi Cheng
Yung-Chi Cheng

Ling Li
Ling Li

STATE OF)
) ss.:
CITY OF)

On this 1st day of October, 1999, before me personally appeared Yung-Chi Cheng and Ling Li, to me known and known to me to be the individual described in the foregoing instrument, and he duly acknowledged to me that he executed the foregoing instrument, and he acknowledged to me that he executed the same for the purpose therein set forth.

Susan Granata
Notary Public
My Commission Expires:

