

11-08-1999



t No.: 533/151

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

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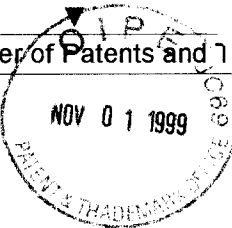
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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Clement G. Taylor
Danny Chin
Jesse S. Lerman
Steven Zack
William Ashley



Additional names(s) of conveying party(ies) ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: DIVA Systems Corporation

Internal Address: 11-01-99

Street Address: 800 Saginaw Drive

City: Redwood City State: CA ZIP: 94063

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: 10/13/99 and 10/27/99

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/363,670

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Thomason, Moser and Patterson

Internal Address: _____

Street Address: 2-40 Bridge Avenue

P.O. Box 8160

City: Red Bank State: NJ ZIP: 07701

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):.....\$ 40.00

- ☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account
☒ Authorized to be charged to deposit account

8. Deposit account number:

20-0782

11/05/1999 DNGUYEN 00000367 200782 09363670

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Raymond R. Moser Jr.

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 5

ASSIGNMENT FOR APPLICATION FOR PATENT

WHEREAS:

Names and Addresses of Inventors:

- 1) Clement G. Taylor
2013 Aspen Drive, Plainsboro, NJ 08536
- 2) Danny Chin
4 Strathmore Place, Princeton Junction, New Jersey 08550
- 3) Jesse S. Lerman
~~179 Linden Lane, Princeton, New Jersey 08540~~
191 Finnegan Ln. Kerball Park, NJ 08824
- 4) Steven Zack
1308 Oxmead Road, Burlington, NJ 08016
- 5) William Ashley
1155-C LaRochelle Terrace, Sunnyvale, CA 94089
- 6)

(hereinafter referred to as Assignors), have invented a certain invention entitled:

TIGHTLY-COUPLED DISK-TO-CPU STORAGE SERVER

for which I (we) have filed an application for a Patent of the United States on July 29, 1999, that has been accorded Serial No. 09/363,670; and

WHEREAS, DIVA Systems Corporation, a corporation of the State of Delaware, having a place of business at 800 Saginaw Drive, Redwood City, CA 94063 (hereinafter referred to as Assignee), is desirous of acquiring the entire right, title and interest in and to said application (hereinafter referred to as Application), and the invention disclosed therein (hereinafter referred to as Invention), and in and to all embodiments of the Invention, heretofore conceived, made or discovered by said Assignors, and in and to any and all patents, inventor's certificates and other forms of protection (hereinafter referred to as Patents) thereon granted in any and all countries and groups of countries.

NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Assignors to have been received in full from said Assignee:

1. Said Assignors hereby sell, assign, transfer and convey to Assignee the full and exclusive right, title and interest (a) in and to said Application and said Invention; (b) in and to all rights to apply for patents on said Invention in any and all countries pursuant to the International Convention for the Protection of Industrial Property or otherwise; (c) in and to any and all Applications filed and any and all Patents granted on said Invention in any and all countries and groups of countries, including each and every Application filed and each and every Patent granted on any application which is a division, substitution, or continuation of said Application; and (d) in and to each and every reissue or extension of any of said Patents.

2. Said Assignors hereby covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest to said Invention herein conveyed in any and all countries and groups of countries. Such cooperation by said Assignors shall include prompt production of pertinent facts and documents, giving testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any of said applications; (c) for filing and prosecuting substitute, divisional, continuing or additional applications covering said Invention; (d) for filing and prosecuting applications for

reissuance of any of said Patents; (e) for interference or other priority proceedings involving said Invention; and (f) for legal proceedings involving said Invention and any application therefor and any Patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Assignors in providing such cooperation shall be paid for by said Assignee.

3. The term and covenants of this agreement shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Assignors, their respective heirs, legal representatives and assigns.

4. Said Assignors hereby warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, the said Assignors have executed and delivered this instrument to said Assignee on the dates indicated below.

1)	<u>10/13/99</u> Date	<u>Clement G. Taylor</u> Clement G. Taylor
2)	<u>10/13/99</u> Date	<u>Danny Chin</u> Danny Chin
3)	<u>10/13/99</u> Date	<u>Jesse S. Lerman</u> Jesse S. Lerman
4)	<u>10/13/99</u> Date	<u>Steven Zack</u> Steven Zack
5)	_____ Date	_____ William Ashley
6)	_____ Date	_____

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4 Strathmore Place, Princeton Junction, New Jersey 08550
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reissuance of any of said Patents; (e) for interference or other priority proceedings involving said Invention; and (f) for legal proceedings involving said Invention and any application therefor and any Patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Assignors in providing such cooperation shall be paid for by said Assignee.

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	Date	Jesse S. Lerman
4)	_____	_____
	Date	Steven Zack
5)	<u>10/27/99</u>	<u>William Ashley</u>
	Date	William Ashley
6)	_____	_____
	Date	