

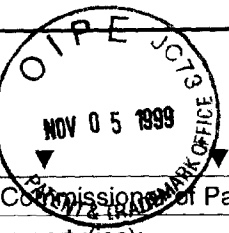
MRD 11/5/99

11-09-1999

Docket No.: 5041

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FORM PTO-1595 (Modified)
(Rev. 6-93)
OMB No. 0651-0011 (exp. 4/94)
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Patent and Trademark Office

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To the Honorable Commissioners of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

1) **Edmund A. EGAN**; 2) **Lynn M. HLAVATY**; and 3) **Bruce A. Holm**Additional names(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: 1) 11/4/99; 2) 11/5/99; 3) 11/5/99

2. Name and address of receiving party(ies):

Name: **ONY, Inc.**Internal Address: **Baird Research Park**Street Address: **1576 Sweet Home Road**City: **Amherst,** State: **NY** ZIP: **14228**Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

08/845,422

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Gregory J. Glover**Internal Address: **DORSEY & WHITNEY LLP**Street Address: **1001 Pennsylvania Avenue N.W.,**

Suite 300 South

City: **Washington** State: **DC** ZIP: **20004**6. Total number of applications and patents involved: **1**7. Total fee (37 CFR 3.41):.....\$ **40.00**☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☐ Authorized to be charged to deposit account

8. Deposit account number:

04-1425

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Gregory J. Glover

Name of Person Signing

Signature

November 5, 1999

Date

Total number of pages including cover sheet, attachments, and document: **3**

PATENT

REEL: 010359 FRAME: 0728

Attorney Docket No.: Docket No. 5041

U.S. Rights--Sole or Joint

ASSIGNMENT

For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, each undersigned inventor has sold and assigned, and by these presents hereby sells and assigns, unto:

ONY, Inc.
Baird Research Park
1576 Sweet Home Road
Amherst, NY 14228

(hereinafter ASSIGNEE) all right, title and interest for the United States, its territories and possessions in and to his invention relating to:

COMPOSITIONS AND METHODS FOR ISOLATING SURFACTANT HYDROPHOBIC PROTEINS SP-B AND SP-C

as set forth in his United States Patent Application (check one):

☐ executed concurrently herewith,
☒ executed on _____,
Serial No. 08/845,422, filed April 25, 1997.

in and to said United States Patent Application including any and all divisions or continuations thereof and in and to any and all Letters Patent of the United States, which may issue on any such application or for said invention, including any and all reissues or extensions thereof, to be held and enjoyed by said ASSIGNEE, its successors, legal representatives and assigns to the full end of the term or terms for which any and all such Letters patent may be granted as fully and entirely as would have been held and enjoyed by the undersigned had this Assignment not been made.

Each of the undersigned hereby authorizes and requests the Commissioner of Patents and Trademarks to issue any and all such Letters patent to said ASSIGNEE, its successors or assigns in accordance herewith.

Each of the undersigned warrants and covenants that he has the full and unencumbered right to sell and assign the interests herein sold and assigned and that he has not executed and will not execute any document or instrument in conflict herewith.

Each of the undersigned further covenants and agrees he will communicate to said ASSIGNEE, its successors, legal representatives or assigns all information known to him relating to said invention or patent application and that he will execute and deliver any papers, make all rightful oaths, testify in any legal proceedings and perform all other lawful acts deemed necessary or desirable by said ASSIGNEE, its successors, legal representatives or assigns to perfect title to said invention, to said application including divisions and continuations thereof and to any and all Letters Patent which may be granted therefor or thereon, including reissues or extensions, in said ASSIGNEE, its successors, or assigns or to assist said ASSIGNEE, its successors, legal representatives or assigns in obtaining, reissuing or enforcing Letters Patent of the United States for said invention.

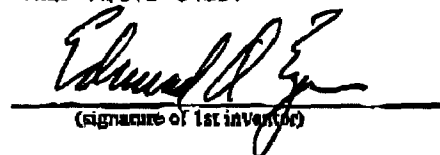
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(signature of 3rd Inventor)

11/5/99
(date)

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ASSIGNMENT

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(signature of 3rd inventor)

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