

11-09-1999

REC



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TO: The Commissioner of Patents and Trademarks, Please return enclosed original document(s) or copy(ies).

<b>Submission Type</b>		<b>Conveyance Type</b>	
<input checked="" type="checkbox"/> New	11.5.99	<input checked="" type="checkbox"/> Assignment	<input type="checkbox"/> Security Agreement
<input type="checkbox"/> Resubmission (Non-Recordation) Document ID#		<input type="checkbox"/> License	<input type="checkbox"/> Change of Name
<input type="checkbox"/> Correction of PTO Error Reel #                  Frame #		<input type="checkbox"/> Merger	<input type="checkbox"/> Other
<input type="checkbox"/> Corrective Document Reel #                  Frame #		U.S. Government (For use ONLY by U.S. Government Agencies)	
		<input type="checkbox"/> Departmental File	<input type="checkbox"/> Secret File
<b>Conveying Party(ies)</b>		<input type="checkbox"/> Mark if additional names of conveying parties attached	

		Execution Date Month Day Year
Name (line 1)	<b>Arvind M. N. Rao</b>	<b>09/15/1999</b>
<b>Second Party</b>		Execution Date Month Day Year
Name (line 1)	<b>Douglas G. Placek</b>	<b>09/23/1999</b>
<b>Receiving Party</b>		Mark if additional names of receiving party attached

Name (line 1) <b>Great Lakes Chemical Corporation</b>		<input type="checkbox"/> If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)
Name (line 2)		
Address (line 1) <b>1801 Highway 52 Northwest, Post Office Box 2200</b>		
Address (line 2)		
Address (line 3) <b>West Lafayette</b>	<b>Indiana</b>	<b>47906</b>
City	State/Country	Zip Code

<b>Domestic Representative Name and Address</b>		Enter for the first Receiving Party only.
Name		
Address (line 1)		
Address (line 2)		
Address (line 3)		
Address (line 4)		

11/08/1999 DNGUYEN 00000223 09256063 FOR OFFICE USE ONLY  
01 PC: 581 40.00 01

Mail documents to be recorded with required cover sheet(s) information to:  
Commissioner of Patents and Trademarks, Box Assignments, Washington D.C., 20231

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on 11/01/1999  
(Date of Deposit)  
Thomas G. Henry  
Name of Registered Representative  
Thomas G. Henry  
Signature  
11/01/1999  
Date of Signature

**Correspondent Name and Address**

Area Code and Telephone Number

(317) 634-3456

Name	Thomas Q. Henry	
Address (line 1)	Woodard, Emhardt, Naughton, Moriarty & McNett	
Address (line 2)	111 Monument Circle, Suite 3700	
Address (line 3)	Bank One Center/Tower	
Address (line 4)	Indianapolis, Indiana 46204-5137	
Pages	Enter the total number of pages of the attached conveyance document including any attachments.	# 3

**Application Number(s) or Patent Number(s)**

Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

**Patent Application Number(s)****Patent Number(s)**

09/256,063

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor. Month Day Year**Patent Corporation Treaty**Enter a PCT application number only if a U.S. Application Number has not been assigned.PCT  
PCTPCT  
PCTPCT  
PCT**Number of properties**

Enter the total number of properties involved

# 1

**Fee Amount**

Fee Amount for Properties Listed (37 CFR 3.41):

\$ 40.00

Method of Payment  
Deposit Account

Enclosed

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account).

Deposit Account Number

#23-3030

Authorization to charge additional fees:

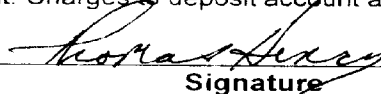
Yes

No

**Statement and Signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Thomas Q. Henry



November 1, 1999

Name of Person Signing

Signature

Date

## ASSIGNMENT

WHEREAS, Arvind M.N. Rao, 1209 N. Umberland Way, Monmouth Junction, New Jersey 08852; and Douglas G. Placek, 1508 Pownal Drive, Yardley, Pennsylvania 19067, respectively, hereinafter referred to as Assignors, have made a new and useful invention in METAL WORKING LUBRICANT COMPOSITIONS, for which Assignors have filed United States Patent Application Serial No. 09/256,063, filed February 24, 1999 (hereinafter "Application"); and

WHEREAS, Great Lakes Chemical Corporation, hereinafter referred to as Assignee, a corporation of the State of Delaware having a principal place of business at 1801 Highway 52 Northwest, Post Office Box 2200, West Lafayette, Indiana 47906, desires to acquire all of the entire right, title, and interest in, to and under said invention disclosed, described and claimed or intended so to be in said Application, and in, to and under said Application, and in, to and under any and all Letters Patent which may be obtained therefor and thereon;

NOW, THEREFORE, to all whom it may concern, be it known that for and in consideration of the sum of One Dollar (\$1.00) and other good, valuable and sufficient considerations to Assignors in hand paid, the receipt and sufficiency of which is hereby acknowledged, Assignors have sold, assigned and transferred, and by these presents do sell, assign and transfer unto Assignee, all of the entire right, title, and interest in, to and under said invention disclosed, described and claimed or intended so to be in said Application for United States Letters Patent, and any and all other applications thereon and arising therefrom, including any and all divisions and continuations thereof, and any and all patents to be issued and obtained therefor and thereon, including all reissues and extensions thereof.

Assignors hereby authorize and request the Honorable Assistant Secretary and Commissioner of Patents and Trademarks to issue said Letters Patent to Assignee, its assigns and legal representatives.

Assignors agree to sign and execute any and all other papers necessary or desirable for the procurement of Letters Patent on said invention in this and all foreign countries for the use of Assignee.

WITNESS Assignor's hand this 23<sup>RD</sup> day of September, 1999.

Douglas G. Placek  
Douglas G. Placek

STATE OF New Jersey )  
COUNTY OF MIDDLESEX ) SS:

Before me, a Notary Public, in and for said County and State, personally appeared the above-named Assignor, Douglas G. Placek, to me personally known, and who executed the foregoing Assignment in my presence and acknowledged the execution thereof as their free and voluntary act and deed for the uses and purposes therein set forth and expressed.

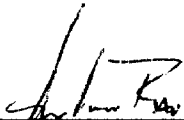
Katherine L. Benko  
Notary Public  
Printed: KATHERINE L. BENKO

KATHERINE L. BENKO  
NOTARY PUBLIC OF NEW JERSEY  
My Commission Expires Jan. 12, 2004

My Commission Expires:  
JANUARY 12, 2004

Resident of MIDDLESEX County

WITNESS Assignor's hand this 15<sup>th</sup> day of September, 1999.

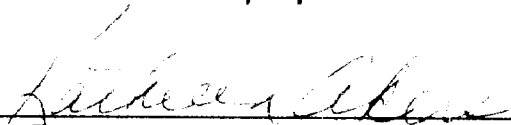


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Arvind M. N. Rao.

STATE OF New Jersey )  
 ) SS:  
 COUNTY OF Hudson

Before me, a Notary Public, in and for said County and State, personally appeared the above-named Assignor, Arvind M. N. Rao, to me personally known, and who executed the foregoing Assignment in my presence and acknowledged the execution thereof as their free and voluntary act and deed for the uses and purposes therein set forth and expressed.



\_\_\_\_\_

Notary Public

Printed: K. Akers

**My Commission Expires:**  
KATHLEEN E. AKERS  
NOTARY PUBLIC OF NEW JERSEY  
My Commission Exp. Sept. 25, 2003

Resident of Hudson County