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HEET

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeTab settings ☐ ☐ ☐ ☒

To the Honorable Commissioner of Pa.

101198090

ached original documents or copy thereof.

## 1. Name of conveying party(ies):

ARTHUR W. PLUIM  
ELISE C. KINKEADAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: Oct. 26 & 28, 1999

## 2. Name and address of receiving party(ies)

Name: RIVERWIND, LLC.Internal Address: \_\_\_\_\_  
SUITE #102Street Address: \_\_\_\_\_  
25 East Fifth StreetCity: Watsonville State: CA ZIP 95076Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: Oct. 26&28, 1999

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Dean P. EdmundsonInternal Address: \_\_\_\_\_  
Suite 3220Street Address: 1136 E. Stuart St.City: Ft. Collins State: CO ZIP: 80525

## 6. Total number of applications and patents involved

1

7. Total fee (37 CFR 3.41).....\$ 40

- ☒ Enclosed  
☐ Authorized to be charged to deposit account

## 8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

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## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Dean P. Edmundson  
Name of Person SigningDean P. Edmundson  
SignatureOct. 29, 1999  
Date

Total number of pages including cover sheet, attachments, and document:

2

Mail documents to be recorded with required coversheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

**PATENT**  
**REEL: 010364 FRAME: 0095**

# Assignment

In consideration of One Dollar (\$1.00), and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the undersigned,

ARTHUR W. PLUIM  
ELISE C. KINKEAD

Hereby sell, assign and transfer to

RIVERWIND, LLC.

a limited liability company of California having a place of business at 25 East Fifth Street #102, Watsonville, California, its successors, assigns and legal representatives, the entire right, title and interest for the United States and all foreign countries, in and to any and all improvements which are disclosed in the application for United States Letters Patent, which has been executed by the undersigned concurrently herewith, and is entitled

## AESTHETIC ENHANCEMENT OF SUBSTRATES

, and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said improvements; and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said improvements;

Agree that said Assignee may apply for and receive Letters Patent for said improvements in its own name; and that, when requested, without charge to but at the expense of said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said improvements; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns, and representatives, all facts known to the undersigned relating to said improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns and legal representatives; and

Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Arthur W. Pluim (L.S.)  
ARTHUR W. PLUIM

Elise C. Kinkead (L.S.)  
ELISE C. KINKEAD

State of CALIFORNIA

County of SANTA CRUZ

Before me this 26 day of OCTOBER  
Arthur W. Pluim

, 1999, personally appeared

to me personally known to be the person who is described in and who executed the above instrument, and acknowledged to me that he executed the same of his own free will for the purpose therein set forth.



Ibrahim M. Alabbad  
NOTARY PUBLIC OR CONSULAR OFFICER  
OF THE UNITED STATES OF AMERICA.

State of MINNESOTA

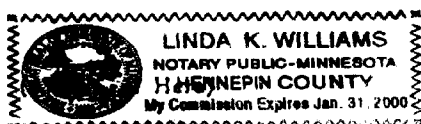
County of HENNEPIN

Before me this 28th day of OCTOBER

, 1999, personally appeared

Elise C. Kinkead

to me personally known to be the person described in and who executed the above instrument, and acknowledged to me that she executed the same of her own free will for the purposes therein set forth.



Linda K. Williams  
NOTARY PUBLIC OR CONSULAR OFFICER  
OF THE UNITED STATES OF AMERICA.