

MRD 11/5/99

Docket No.: P-HP 3386

FORM PTO-1595 (Modified)
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Copyright 1994-97 LegalStar
P08/REV02

11-10-1999



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SHEET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

-Y

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To the Honorable Commissioner of Patents and Trademarks

and the attached original documents or copy thereof.

1. Name of conveying party(ies):

Barbara Dörner Richard A. Houghten
John M. Ostresh Julia Eichler
Colette T. Dooley

2. Name and address of receiving party(ies):

Name: Torrey Pines Institute for Molecular Studies

Internal Address: 3550 General Atomics Court

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Street Address:

City: San Diego

State: CA

ZIP: 92121

Execution Date: May 7, 1999

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

09/346,005

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Cathryn Campbell

Internal Address: CAMPBELL & FLORES LLP

Street Address: 4370 La Jolla Village Drive, Suite 700

City: San Diego

State: CA ZIP: 92122

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41): \$ 40.00

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☐ Authorized to be charged to deposit account

8. Deposit account number:

03-0370

11/07/1999 DNGUYEN 00000178 09346005

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01 FEB 1999

40.00

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

David I. Spolter, Reg. No. 36,933

Name of Person Signing

Signature

November 2, 1999

Date

Total number of pages including cover sheet, attachments, and document:

8

PATENT

REEL: 010364 FRAME: 0212

ASSIGNMENT

This Assignment is made by Barbara Dörner of Basel, Switzerland, John M. Ostresh of Encinitas, California, Colette T. Dooley of San Diego, California, Richard A. Houghten of Del Mar, California, and Jutta Eichler of Cardiff, California, Assignors, to TORREY PINES INSTITUTE FOR MOLECULAR STUDIES, Assignee, having a place of business at 3550 General Atomics Court, San Diego, California 92121.

WHEREAS, Assignors have invented a new and useful invention entitled SELECTIVELY N-ALKYLATED PEPTIDOMIMETIC COMBINATORIAL LIBRARIES AND COMPOUNDS THEREIN for which an application for United States Letters Patent was filed on March 5, 1997, in the United States Patent and Trademark Office, bearing Serial No. 08/811,830 and identified as Attorney Docket No: P-HP 2492;

WHEREAS, Assignors believe themselves to be the original inventors of the invention disclosed and claimed in said application for Letters Patent; and

WHEREAS, the parties desire to have a recordable instrument assigning the entire right, title and interest in and to said invention, said application and any Letters Patent that may be granted for said invention in the United States and throughout the world;

NOW, THEREFORE, in accordance with the obligations to assign the invention and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignors sell, assign, and transfer to Assignee, the entire right, title, and interest in and to said invention, said application and any Letters Patent that may be granted for said invention in the United

States and throughout the world, including the right to file foreign applications directly in the name of the Assignee and to claim for any such foreign applications any priority rights to which such applications are entitled under international conventions, treaties, or otherwise.

Assignors agree that, upon request and without further compensation, but at no expense to Assignors, they and their legal representatives and assigns will do all lawful acts, including the execution of papers and the giving of testimony, that may be necessary or desirable for obtaining, sustaining, reissuing, or enforcing Letters Patent in the United States and throughout the world for said invention, and for perfecting, recording, or maintaining the title of Assignee, its successors and assigns, to said invention, said application, and any Letters Patent granted for said invention in the United States and throughout the world.

Assignors represent and warrant that they have not granted and will not grant to others any rights inconsistent with the rights granted herein.

Assignors authorize and request the Commissioner of Patents and Trademarks of the United States and of all foreign countries to issue any Letters Patent granted for said invention, whether on said application or on any subsequently filed division, continuation, continuation-in-part or reissue application, to Assignee, its successors and assigns, as the assignee of the entire interest in said invention.

IN WITNESS WHEREOF, Assignors have executed this Assignment
on the date(s) provided below.

Assignor: Barbara Dörner

Barbara Dörner

Signature

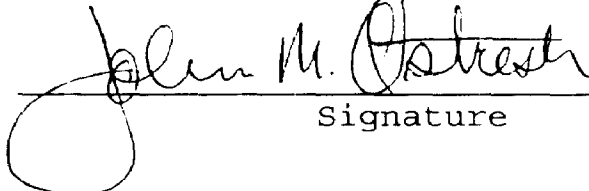
STATE OF _____)
COUNTY OF _____)

On _____, before me, _____
personally appeared _____,
_____ personally known to me - OR - _____ proved to me on the basis of
satisfactory evidence to be the person(s) whose name(s) is/are
subscribed to the within instrument and acknowledged to me that
he/she/they executed the same in his/her/their authorized
capacity(ies), and that by his/her/their signature(s) on the
instrument the person(s), or the entity upon behalf of which the
person(s) acted, executed the instrument.

WITNESS by hand and official seal.

(Signature of Notary)

Assignor: John M. Ostresh

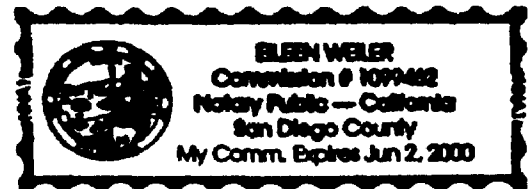

Signature

STATE OF California)
COUNTY OF San Diego)

On May 7, 1999, before me, Eileen Weiler
personally appeared John M. Ostresh,
☒ personally known to me ~~OR~~ proved to me on the basis of
~~satisfactory evidence~~ to be the person(s) whose name(s) is/are
subscribed to the within instrument and acknowledged to me that
he/~~she/they~~ executed the same in his/~~her/their~~ authorized
capacity(~~ies~~), and that by his/~~her/their~~ signature(s) on the
instrument the person(s), ~~or the entity upon behalf of which the~~
~~person(s) acted~~, executed the instrument.

WITNESS by hand and official seal.


(Signature of Notary)



Assignor: Colette T. Dooley

Colette Dooley

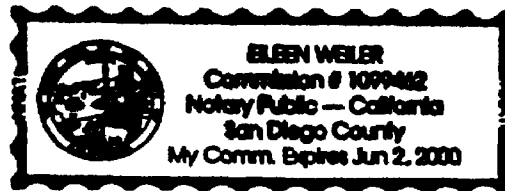
STATE OF California)
COUNTY OF San Diego)

On May 7, 1999, before me, Eileen Weiler
personally appeared Colette Dooley,
☒ personally known to me - OR - ~~proved to me on the basis of~~
~~satisfactory evidence to be the person(s) whose name(s) is/are~~
subscribed to the within instrument and acknowledged to me that
~~he/she/they~~ executed the same in ~~his/her/their~~ authorized
capacity(~~ies~~), and that by ~~his/her/their~~ signature(~~s~~) on the
instrument the person(~~s~~), ~~or the entity upon behalf of which the~~
~~person(s) acted~~, executed the instrument.

WITNESS by hand and official seal.

Eileen Weiler

(Signature of Notary)



Assignor: Richard A. Houghten

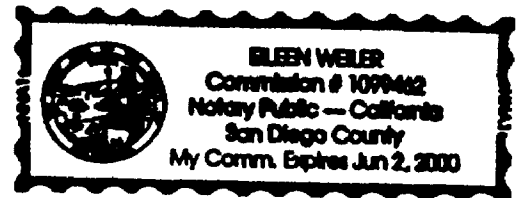
Richard A. Houghten

STATE OF California)
COUNTY OF San Diego)

On May 7, 1999, before me, Eileen Weiler
personally appeared Richard A. Houghten,
✓ personally known to me - ~~OR~~ - ~~proved to me on the basis of~~
~~satisfactory evidence~~ to be the person(s) whose name(s) is/are
subscribed to the within instrument and acknowledged to me that
40 ~~he/she/they~~ executed the same in his/her/their authorized
capacity(ies), and that by his/her/their signature(s) on the
instrument the person(s), ~~or the entity upon behalf of which the~~
~~person(s) acted~~, executed the instrument.

WITNESS by hand and official seal.

Eileen Weiler
(Signature of Notary)



Assignor: Jutta Eichler

J. Eichler

STATE OF _____)
COUNTY OF _____)

On _____, before me, _____
personally appeared _____,
_____ personally known to me - OR - _____ proved to me on the basis of
satisfactory evidence to be the person(s) whose name(s) is/are
subscribed to the within instrument and acknowledged to me that
he/she/they executed the same in his/her/their authorized
capacity(ies), and that by his/her/their signature(s) on the
instrument the person(s), or the entity upon behalf of which the
person(s) acted, executed the instrument.

WITNESS by hand and official seal.

(Signature of Notary)

Assignment with Recordation Form
Cover Sheet

P-HP 3386

Serial No.: 09/346,005

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Attn: Box Assignment, Commissioner of Patents and Trademarks, Washington, D.C. 20231, on November 2, 1999.

By David I. Spolter
David I. Spolter, Reg. No. 36,933

November 2, 1999
Date of Signature