

11-12-1999

Docket No.: PU3404 WO

FORM PTO-1595 (Modified)
(Rev. 6-93)
OMB No. 0651-0011 (exp. 4/94)
Copyright 1996-97 LegalSta
POBA/REV02



101199388

SHEET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Y

Tab settings

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Susan Mary DALUGE
Cynthia Holder JURGENSEN
Michael Tolar MARTIN
Martin Howard OSTERHOUT

2. Name and address of receiving party(ies):

Name: Glaxo Wellcome Inc.

Address: Five Moore Drive

Research Triangle Park

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

City: Research Triangle Park State/Prov.: NC

Country: USA ZIP: 27709

Execution Date: July 27, 1999

Additional name(s) & address(es)

☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

Patent Application No.

Filing date

B. Patent No.(s)

PCT/EP99/05814

11 August 1999

Additional numbers

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: David J. Levy

Registration No. 27,655

Address: P.O. Box 13398

Five Moore Drive

11/12/1999 JSHABAZZ 00000016 071392 PCTEP9905814

01 FC:581 40.00 CH

City: Research Triangle Park State/Prov.: NC

Country: USA ZIP: 27709-3398

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☒ Authorized to be charged to deposit account

8. Deposit account number:

07-1392

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Lorie Ann Morgan, Reg. No. 38,181

Name of Person Signing

(Signature

Date

Total number of pages including cover sheet, attachments, and

5

PATENT
REEL: 010366 FRAME: 0250

Assignment

WHEREAS, I/we, **Susan Mary DALUGE, Cindy Holder JURGENSEN, Michael Tolar MARTIN and Martin Howard OSTERHOUT**, all citizens of the United States of America, residing respectively at Chapel Hill, North Carolina; Raleigh, North Carolina, Durham, North Carolina and Raleigh, North Carolina have invented or discovered certain improvements in **PHARMACEUTICAL COMPOUNDS** hereinafter referred to as said invention and improvements for which a patent application, serial number GB9817623.3 was filed on 13 August 1998 in the United Kingdom and for which an international PCT application is now being filed designating the United States of America and naming assignors as inventors, and in the United States only, applicants/inventors. We hereby authorize and request that the filing date and application number of said PCT application, when known, be inserted here in parentheses

(PCT EP99/05814 filed 11 August 1999)

WHEREAS, GLAXO WELLCOME INC., a corporation organized and existing under and by virtue of the laws of the State of North Carolina and having its principal place of business at Five Moore Drive, Research Triangle Park, North Carolina 27709, USA, is desirous of acquiring the whole right, title and interest in and to said invention and improvements and said application, and in and to any Letters Patent to be obtained therefor, in the United States, its territories and possessions; and

WHEREAS, GLAXO GROUP Ltd., a company incorporated in England, whose registered office is at Glaxo Wellcome House, Berkeley Avenue, Greenford, Middlesex, UB6 ONN, UK, is desirous of acquiring the whole right, title and interest in and to said invention and improvements, and in and to any applications for said invention and improvements and any Letters Patent to be obtained therefor, in all countries other than the United States, its territories and possessions;

NOW, THEREFORE, to all whom it may concern, be it known that I/we **Susan Mary DALUGE, Cindy Holder JURGENSEN, Michael Tolar MARTIN and Martin Howard OSTERHOUT** for good and

valuable consideration unto me/us moving, the receipt whereof is hereby acknowledged, have sold, assigned and transferred, and by these presents do sell, assign and transfer my/our whole right, title and interest in and to said invention and improvements to said GLAXO WELLCOME INC., throughout the United States of America, its territories and possessions, and in and to said application and any extensions, reissues, continuations, continuations-in-part, and any divisions thereof, and in and to any and all Letters Patent of the United States of America;

AND, my/our whole right, title and interest in and to said invention and improvements to GLAXO GROUP Ltd., in all other countries throughout the world, and in to any applications in said other countries, and continuations-in-part, patents of addition, revalidation patents, patents of importation, registrations, and any renewals, extensions and divisions thereof, and in and to any and all Letters Patent of said all other countries which may be granted on said invention and improvements including any priority rights under the International Convention.

AND, I/we do hereby authorize and request the issue of any Letters Patent in the respective areas referred to, to said GLAXO WELLCOME INC. or GLAXO GROUP Ltd., as assignees of my/our whole right, title and interest in and to the same for the sole use and behoof of the said assignees, their successors and assigns as their interests appear herein;

AND, I/we warrant that I/we have not knowingly conveyed to others any right in said invention, improvements, applications or patents or any license to use the same or to make, use or sell anything embodying or utilizing said invention and improvements and that I/we have good right to assign the same to GLAXO WELLCOME INC. and GLAXO GROUP Ltd.;

AND, I/we the undersigned **Susan Mary DALUGE, Cindy Holder JURGENSEN, Michael Tolar MARTIN and Martin Howard OSTERHOUT** for the consideration aforesaid, do hereby agree that I/we or my/our executors or legal representatives, will provide information and make, execute and deliver any and all

and to the said invention and improvements, applications, Letters Patent, rights, title and interest hereby sold,
assigned and conveyed, or intended so to be. *This assignment should be deemed effective as of 13 August 1998.*

IN WITNESS WHEREOF, I/we have hereunto set my/our hand(s) and affixed my/our seal(s) on the
date(s) indicated below.

Susan Mary Daluge 7/27/99
Inventor: Susan Mary DALUGE Date

State of: NC
County of: Wake

On the date(s) indicated, before me personally came **Susan Mary DALUGE** known to me to be the
individual(s) described in the foregoing Assignment and who acknowledged and executed the same in my
presence.

Notary: Ernie Martin

My Commission Expires: 2/5/2001

Cynthia Holder Jurgensen 7/27/99
Inventor: ~~Cindy~~ Holder JURGENSEN Date
Cynthia CHJ

State of: NC
County of: Wake

On the date(s) indicated, before me personally came **Cindy Holder JURGENSEN** known to me to be
the individual(s) described in the foregoing Assignment and who acknowledged and executed the same in my
presence.

Notary: Ernie Martin

My Commission Expires: 2/5/2001

Michael Tolar
Inventor: **Michael Tolar MARTIN**

7/27/99
Date

State of: NC
County of: Wake

On the date(s) indicated, before me personally came **Michael Tolar MARTIN** known to me to be the individual(s) described in the foregoing Assignment and who acknowledged and executed the same in my presence.

Notary: Elaine Martens

My Commission Expires: 2/5/2001

Martin Howard Osterhout
Inventor: **Martin Howard OSTERHOUT**

7/27/99
Date

State of: NC
County of: Wake

On the date(s) indicated, before me personally came **Martin Howard OSTERHOUT** known to me to be the individual(s) described in the foregoing Assignment and who acknowledged and executed the same in my presence.

Notary: Elaine Martens

My Commission Expires: 2/5/2001