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To the Honorable Commissioner of Patents and

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LI-YAN ZHU AND RODNEY LEE

Additional name(s) or conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance

- ☒ Assignment ☐ Merger
- ☐ Security Agreement ☐ Change of Name
- ☐ other _____

Execution Date: 10/8/99

2. Name and address of receiving party(ies):

Name: Headway Technologies, Inc.

Internal Address:

Street Address:

678 S. Hillview Drive
City: Milpitas State: Ca Zip:
95035

Additional name(s) & address(es) attached? Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 10/8/99

A. Patent Application No(s)

B. Patent No.(s)

09/433056

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: GEORGE O. SAILE

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To the best of my knowledge and belief the foregoing information is true and correct and any attached copy is a true copy of the original document

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Signature

November 1, 1999

Date

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ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNOR:

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ASSIGNEE:

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678 S. Hillview Drive
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Nationality

Milpitas,
Usa

and the successors, assigns and legal representatives of the ASSIGNEE

(complete one of the following)

- ☒ the entire right, title and interest
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in and to any and all improvements which are disclosed in the invention entitled:

A Method For Controlling Arcing Across Thin Dielectric Film

and which is found in

- (a) ☒ U.S. patent application executed on even date herewith
(b) ☐ U.S. patent application executed on _____
(c) ☐ U.S. application serial no. _____ filed on _____
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IN WITNESS WHEREOF, I / We have signed this

10/5/99 (Date of signing)

WARNING Date of signing must be the same as the date of execution of the application if item (a) was checked above.



Signature of ASSIGNOR(S)


If ASSIGNOR is a legal entity complete the following information

Type or print the name of the above person authorized to sign on behalf
of ASSIGNOR

Title

Note: No witnessing, notarization or legalization is necessary, if the assignment is notarized or legalized then it will only be prima facie evidence (35 USC 261).

Use next page if notarization is desired.

Notarization of Legalization Page Added.