



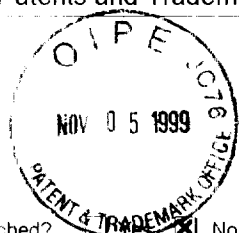
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101198347

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

RANKA, JINENDRA KUMAR
STENTZ, ANDREW JOHN



11-5-99

Additional names(s) of conveying party(ies) attached? ☒ No

2. Name and address of receiving party(ies):

Name: **LUCENT TECHNOLOGIES INC.**

Internal Address: **P. O. BOX 636**

Street Address: **600-700 MOUNTAIN AVENUE**

City: **MURRAY HILL** State: **NJ** ZIP: **07974**

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: **OCTOBER 7, 1999**

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/411,768

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **GLEN E. BOOKS, ESQ.**

Internal Address: **MATHEWS, COLLINS.**

SHEPHERD & GOULD, P.A.

Street Address: **100 THANET CIRCLE, SUITE 306**

City: **PRINCETON** State: **NJ** ZIP: **08540**

6. Total number of applications and patents involved: **1**

7. Total fee (37 CFR 3.41):.....\$ **40.00**

- ☐ Enclosed
☒ Authorized to be charged to deposit account

8. Deposit account number:

12-2325

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

GLEN E. BOOKS

Name of Person Signing

Signature

NOVEMBER 3, 1999

Date

Total number of pages including cover sheet, attachments, and document:

4

ASSIGNMENT AND AGREEMENT

For value received, we, Jinendra Kumar Ranka of Murray Hill in the County of Union and State of New Jersey, and Andrew John Stentz of Clinton in the County of Hunterdon and State of New Jersey, hereby sell, assign and transfer to Lucent Technologies Inc., a corporation of the State of Delaware, having an office at 600 Mountain Avenue, Murray Hill, New Jersey 07974-0636, U.S.A., and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to **OPTICAL FIBER COMMUNICATION SYSTEM EMPLOYING WAVELENGTH CONVERTERS FOR BROADBAND TRANSMISSION** described in an application for Letters Patent of the United States, executed by us of even date herewith, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Lucent Technologies Inc. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

I authorize Lucent Technologies Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

I hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Lucent Technologies Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

I request that any and all patents for said inventions be issued to Lucent Technologies Inc. in the United States and in all countries foreign to the United States, or to such nominees as Lucent Technologies Inc. they may designate.

I agree that, when requested, I shall, without charge to Lucent Technologies Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

Jinendra K Ranka
Jinendra Kumar Ranka

Date: 10/7/99

United States of America)

State of New Jersey) ss.:

County of Atlantic)

On this 07th day of October, 1999, before me personally came Jinendra Kumar Ranka, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Sheila R. Cole
Notary Public

SHEILA R. COLE
A NOTARY PUBLIC OF NEW JERSEY
My Commission Expires 10/18/2000

Andrew John Stentz
Andrew John Stentz

Date: 10/2/99

United States of America)

State of Massachusetts) ss.:

County of Suffolk)

On this 11 day of October, 1999, before me personally came Andrew John Stentz, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Sheila R. Cole
Notary Public

SHEILA R. COLE
A NOTARY PUBLIC OF NEW JERSEY
My Commission Expires 10/18/2000

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