11-17-1999 U.S. DEPARTMENT OF COMMERCE **EET** Patent and Trademark Office 101202937 Tab settings □ □ □ ▼ To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof. 2. Name and address of receiving party(ies) Name of conveying party(ies): Name: <u>UPCOR</u>, Inc. Dale A. Kirkpatrick Internal Address: Box 3725 Additional name(s) of conveying party(ies) attached?

Yes Wo Davenport, IA 52808 3. Nature of conveyance: Street Address:____ □ Merger XX Assignment □ Change of Name ☐ Security Agreement City:______ State: ____ ZIP:____ ☐ Other_____ Execution Date: November 2, 1999 Additional name(s) & address(es) attached?

Yes D No Application number(s) or patent number(s): 5,743,496 If this document is being filed together with a new application, the execution date of the application is: B. Patent No.(s) A. Patent Application No.(s) Additional numbers attached? ☐ Yes 🛎 No 5. Name and address of party to whom correspondence 6. Total number of applications and patents involved: 1 concerning document should be mailed: James A. Napier Name: 7. Total fee (37 CFR 3.41).....\$ 40.00 Internal Address: 2120 S. 72nd St., xxx Enclosed <u>Suite 1111______</u> Authorized to be charged to deposit account Omaha, NE 68124 Street Address:_____ 8. Deposit account number: ___ State: _____ ZIP:____ (Attach duplicate copy of this page if paying by deposit account) /16/1999 DNGUYEN 00000181 5743496

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9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

James A. Napier

Name of Person Signing

1"

Total number of pages including cover sheet, attachments, and document:

<u>November 2, 1999</u>

ASSIGNMENT

WHEREAS, DALE A. KIRKPATRICK, having an address of 1223 S. 169th Street,

Omaha, Nebraska, 68130, is an inventor of the following:

United States Patent Application No. 05,743,496, issued on April 28, 1998, and entitled

A RAILROAD FROG CROSSING BOLT AND NUT ASSEMBLY FOR CLAMPING

RAILROAD RAIL SECTION TOGETHER, and

WHEREAS, UPCOR, Inc., an Iowa Corporation, located and doing business at

Box 3725, Davenport, Iowa, 52808, is desirous of acquiring the entire right, title and

interest in and to the invention and to said Letters Patent and patent application;

NOW, THEREFORE, in consideration of good and valuable considerations, the

receipt of which are hereby acknowledged, DALE A. KIRKPATRICK has sold, assigned,

transferred and sent over to UPCOR, Inc., the said inventions, the aforesaid Letters

Patents, and any reissue thereof, the same to be held and enjoyed by UPCOR, Inc., for

its own use and enjoyment and the use and enjoyment of its successors, assigns or

other legal representatives, to the end of the term for which said Letters Patent of the

United States are granted or reissued, as fully and entirely as the same would have

been held and enjoyed by DALE A. KIRKPATRICK if this Assignment and sale had not

been made, together with all claims for damages by reason of past infringement of said

Letters patent with the right to sue for and collect the same for its own use and

enjoyment, and for the use and enjoyment of its successors, assigns or other legal

representatives.

PATENT

REEL: 010371 FRAME: 0805

Date: 10-25-99	Cal Information
	DALE A. KIRKPATRICK

STATE OF NEBRASKA]

] ss.
COUNTY OF DOUGLAS]

RECORDED: 11/12/1999

On this _____ day of October, 1999, before me, a Notary Public in and for the county and state aforesaid, appeared DALE A. KIRKPATRICK, personally known to be the person whose name is subscribed to the foregoing instrument and acknowledged that he executed said instruments as his free and voluntary act for the uses and purposes therein expressed.

Witness my hand and seal the day and year last above given.

OCT 2 5 **1999**

Notary Public

PATENT REEL: 010371 FRAME: 0806