

MND 11/8/99



To the Honorable Commission of Patents and Tr

101200700

al documents or copy thereof.

1. Name of conveying party(ies):
Amarpreet S. Sawhney
John Spiridigliozzi
Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)
Name: INCEPT LLC
Internal Address: _____
Street Address: 308 Greenfield Road
City: San Mateo State: CA ZIP: 94403
Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:
☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____
Execution Date: 10/21/99, 10/4/99

4. Application number(s) or patent number(s):
If this document is being filed together with a new application, the execution date of the application is: _____
A. Patent Application No.(s)
09/390,046
B. Patent No.(s)
Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:
Name: Nicola A. Pisano
Internal Address: _____
Street Address: Fish & Neave
1251 Avenue of the Americas
City: New York State: NY ZIP: 10020

6. Total number of applications and patents involved: 1
7. Total fee (37 CFR 3.41).....\$ 40.00
☒ Enclosed
☒ Authorized to be charged to deposit account
8. Deposit account number:
06-1075
(Attach duplicate copy of this page if paying by deposit account)

11/15/1999 DNGUYEN 00000322 09390046

01 FC:581 40.00 DP DO NOT USE THIS SPACE

9. Statement and signature.
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Nicola A. Pisano [Signature] 11/2/99
Name of Person Signing Signature Date

Reg. No. 34,408

Total number of pages including cover sheet, attachments, and document:

4

A S S I G N M E N T

WHEREAS, We, Amarpreet S. Sawhney and John Spiridigliozzi, residing respectively at 164 Springs Road, Bedford, Massachusetts 01730 and 31 Charter Street, Boston, Massachusetts 02113, have made an invention entitled: METHODS AND APPARATUS FOR INTRALUMINAL DEPOSITION OF HYDROGELS and have made an application for United States Letters Patent therefor filed September 3, 1999 and assigned Serial No. 09/390,046; and

WHEREAS, INCEPT LLC, a limited liability company organized and existing under the laws of the State of Delaware and having an address of 308 Greenfield Road, San Mateo, California 94403, is desirous of acquiring the entire interest in said invention, said United States patent application and in any Letters Patent which may issue thereon;

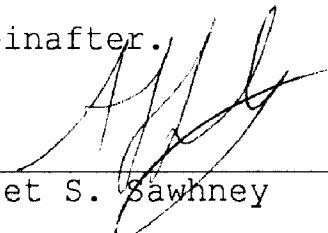
NOW, THEREFORE, be it known that for and in consideration of the sum of One Dollar (\$1.00) paid, and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, we do hereby sell, assign and transfer unto the said INCEPT LLC, its successors, assigns and legal representatives, all right, title and interest in and to said invention and any improvements thereof for all countries of the world, and in and to said United States patent application, including any continuations and divisions thereof, and any substitute applications therefor, and including the right to claim priority under the International Convention based on said patent application, and any patent which may issue thereon, and any reissues of the same; and all right, title and interest in and to every patent application filed or to be filed on said invention in any other country, including renewals, revivals, continuations and divisions thereof, and

any substitute applications therefor, and any and all patents which may issue thereon, and any reissues and extensions of the same;

and we hereby authorize and request competent authorities to grant and issue any and all patents on said invention to the said INCEPT LLC as the assignee of the entire interest therein; and we further agree to execute upon request of the assignee such additional documents, if any, as are necessary and proper to secure patent protection on said invention throughout all countries of the world, and to otherwise give full effect to and perfect the rights of the assignee under this Assignment.

IN TESTIMONY WHEREOF, we have hereunto signed our names on the date indicated hereinafter.

Oct 21st 1999
Date

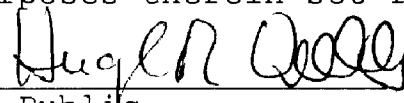

Amarpreet S. Sawhney

STATE OF MASSACHUSETTS

: ss.:

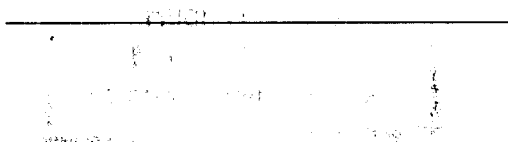
COUNTY OF SUFFOLK)

On this 21st day of OCTOBER, 1999, appeared before me in person the above-named Amarpreet S. Sawhney and acknowledged the above to be his signature and that he signed, sealed and delivered the above instrument as his voluntary act and deed, and for the uses and purposes therein set forth.


Notary Public

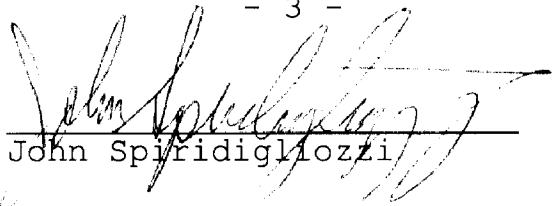
(Notarial seal)

My Commission expires:



10.4.99

Date

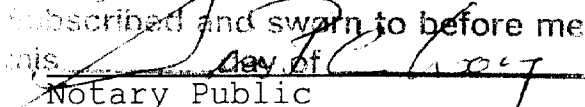

John Spiridigliozzi

STATE OF Mass)

: SS.:

COUNTY OF Suffolk)

On this 4th day of Oct, 1999, appeared before me in person the above-named John Spiridigliozzi and acknowledged the above to be his signature and that he signed, sealed and delivered the above instrument as his voluntary act and deed, and for the uses and purposes therein set forth.


Subscribed and sworn to before me
this 4th day of Oct, 1999
Notary Public

(Notarial seal)

My Commission expires:
January 20, 2006