11-18-1999 101203132

**PATENT** 

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Box Assignments Commissioner of Patents and Trademarks** Washington, D.C. 20231

	CORRECTION OF RECORDED COVE	CR SHEET ERROR(S) (37 C.F.R. 3.34)	
NOT	E See 37 CFR 3.34		
1.	Applicant has noted an error in the cover previously recorded on <u>August 16, 1999</u> .  Date of recordal	sheet for the assignment(s) (document(s))	
	Reel <u>010181</u> Frame <u>0309</u>		
2.	Attached hereto is:  [X] the originally recorded document.  [] a copy of the originally recorded do	cument.	
3.	Also attached hereto is a corrected cover sheet for recordation.		
4.	The error in the originally recorded cover compared with the recorded document be Assignee's Address is110-001 not "10"	cause:	
	CERTIFICATE OF MAILING/TR	ANSMISSION (37 C.F.R. 1.8(a))	
l hereby c	ertify that, on the date shown below, this corresponde	nce is being:	
	MAILING	FACSIMILE	
	deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents. Washington, D.C. 20231.	transmitted by facsimile to the Patent and Trademark Office.  Signature	
Date: <u>No</u>	ovember 8, 1999	John Richards (type or print name of person certifying)	

(Correction of Recorded Cover Sheet Error(s)—page 1 of 2) 16-8

11/18/1999 DNGUYEN 00000024 09257196 40.00 DP 01 FC:581

5.	Ç	the corrected cover sheet filed herewith. Payment of this set forth in the corrected cover sheet.	
NOTE	"A fee is required for each application, patent and registration against which the document is recorded as identified in the cover sheet." 37 CFR 3.41		
		7 7 .	
		1//h/l	
		SIGNATURE OF PRACTITIONER	
Reg. No.:	: 31,053		
C		John Richards	
		(type or print name of practitioner)	
Tel. No.:	(212) 708-1915	c/o Ladas & Parry	
		26 West 61st Street	

P.O. Address

New York, NY 10023

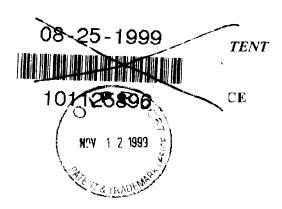
Customer No.:

(Correction of Recorded Cover Sheet Error(s)—page 2 of 2) 16-8

#### Practitioner's Docket No. <u>U 012120-</u>4

#### IN THE UNITED STATES

Box Assignments Commissioner of Patents and Trademarks Washington, D.C. 20231



NOTE: Documents and cover sheets to be recorded should be addressed to Assistant Commissioner for Patents, Box Assignments, Washington, D.C. 20231, unless they are filed together with new applications or with a petition under § 3.81(b)," 37 C.F.R. § 3.27.

#### ASSIGNMENT (DOCUMENT) COVER SHEET (37 C.F.R. § 3.31)

NOTE: "A cover sheet may not refer to both patents and trademarks." 37 C.F.R. § 3.31(b).

Attached please find an assignment (document) for recordal.

8-16-99

#### CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10\*

(When using Express Mail, the Express Mail label number is mandatory; Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

#### **MAILING**

deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

37 C.F.R. § 1.8(a)

37 C.F.R. § 1.10\*

with sufficient postage as first class mail.

as "Express Mail Post Office to Addressee"
Mailing Label No. \_\_\_\_\_ (mandatory)

#### TRANSMISSION

transmitted by facsimile to the Patent and Trademark Office.

Signature

John Richards

(type or print name of person certifying)

\*WARNING:

Date: \_\_August 11, 1999

Each paper or fee filed by "Express Mail" must have the number of the "Express Mail" mailing label placed thereon prior to mailing, 37 C.F.R. § 1.10(b).

"Since the filing of correspondence under § 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will **not** be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

(Assignment (Document) Cover Sheet—page 1 of 6) 16-6

PATENT REEL: 010379 FRAME: 0325

10E



# IDENTIFICATION OF APPLICATION(S) AND/OR PATENT(S) FOR ASSIGNMENT (DOCUMENT) RECORDAL

(37 C.F.R. § 3.21 and 37 C.F.R. § 3.31(a)(4))

*****	1. 12.12	(37 C.1.1C. § 3.21 and	57 C.I.R. § 5.51(a)(4))			
	NOTE:	Sec 37 C.F.R. §3.21.				
	NOTE:	§ 3.21 does not apply to documents other 67:	than assignment. Notice of June 24, 1992 (1140 O.G. 63-72 at			
Provis	nal applic sional a <mark>p</mark> j	essignment is for the following patent cation: SN: 09/257,106 oplication:	t application and/or issued patent: filed on Feburary 24, 1999 filed on			
Intern Patent		application: PCT	Issued:			
		(complete if applicable) which wa	s previously assigned and recorded			
		Date R	eelrame			
	NOTE:	subsequent to the execution of the patent identify the patent application by its date so that there can be no mistake as to the papplication under § 1.53(c) is executed be	iled under § 1.53(b) is executed concurrently with, or application, but before the patent application is filed, it must of execution, name of each inventor, and title of the invention patent application intended. If an assignment of a provisional efore the provisional application is filed, it must identify the inventor and title of the invention so that there can be no intended. 37 (Z.F.R. § 3.21)			
		(also complete the fo	llowing, if applicable)			
[]	and als	so for the applications and/or patent shown on the attached list of FUR APPLICATION(S) and/or PATEN	THER			
			Number of pages added			
	NOTE:	attached to the cover sheet to reduce the	ntained within a document, any listing may be copied and amount of typing necessary. A notation of this attachment can identification number on the cover sheet." Notice of June 24,			
			CATIONS AND/OR PATENTS TAL FEE			
	NOTE:		accompanied by the appropriate fee; a fee is required for each ocument is recorded as identified in the cover sheet. The 2. \$3.41.			
2.	Λ.	The total number of applications and/or patents identified in this cover sheet is 1				
	В.	The total fee is (37 C.F.R. § 1.21(h)):				
		1 x	\$40.00 = \$ <u>40.00</u>			
		Total number of applications and/or patents				

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( 1993 <u>I</u>
C. Payment of fee is made by:
[x] the attached check for \$ 40.00 [ ] Please charge Account the sum of \$  A duplicate of this cover sheet is attached.
Please charge Account 12-0425 for any fee deficiency or credit to account any overpayment.
NAME OF PARTY(IES) CONVEYING INTEREST (37 C.F.R. § 3.31(a)(1))
NOTE "The term 'party' as used in this rule [§ 3.31] means the person whose name appears on the documents to be recorded, that person's attorney or registered agent, or a corporate officer where a corporation's name appears on the documents." Notice of June 24, 1992 (1140 O.G. 63-72, at 65); M.P.E.P. § 302.07, 6th ed.
3. The party(ies) conveying interest is (are):
Name 1: Raghunath Vitthal CHAUDHARI Name 2: Manisha Madhukar TELKAR Name 3: Chandrashekhar Vasant RODE
NAME AND ADDRESS OF PARTY(IES) RECEIVING INTEREST (37 C.F.R. § 3.31 (a)(2))
4. The rights are being conveyed to:
Name: Council of Scientific and Industrial Reasearch Address: Rafi Marg New Delhi, 110 7001 INDIA
DESCRIPTION OF INTEREST CONVEYED OR

**DESCRIPTION OF INTEREST CONVEYED OR TRANSACTION RECORDED (37** C.F.R. § 3.31 (a)(3))

5.	The accompar	nying document intends to accomplish:
	[x]	an assignment.
	[ ]	a security agreement.
		a merger.
	[ ]	a license.
	[ ]	a change of name.
	[ ]	a change of address.
	[ ]	other:

(Assignment (Document) Cover Sheet—page 3 of 6) 16-6

## NAME AND ADDRESS OF PARTY TO WHOM CORRESPONDENCE SHOULD BE MAILED (37 C.F.R. § 3.31 (a)(5))

6.

Please address correspondence to:

		Name:	Ladas & Parry	/	
		Address	26 West 61st Str	treet	
			New York, NY	Y 10023	
		Telephone No	o.: (212) 708-1915	5	
		<b>.</b>			
		DATE ASSIG		JMENT) EXECUTED	
			(37 C.F.R. § 3.31)	l(a)(7))	
7 🛕	[v]	The attached assignment (	dagument) was ay	vacuted on May 12, 1000	
/. A.	լոյ	The attached assignment (	document) was ext	Date	
				Date	
OR	If t	here is more than one conveying p	arty who executed o	on different dates, indicate the date of execution of	the
		ignment document for each convey		,	
_					
В.	[ ]	The attached assignment v	vas executed by		
				Name	
		on			
		Date			
	rп	The attached ausignment v	use executed by		
	}	The attached assignment w	vas executed by	Name	
		on		Name	
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	LJ	The detached dissignment vi		Name	
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		Date			
	[]	The attached assignment w	as executed by		
				Name	
		on			
		Date			

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#### LANGUAGE OF ASSIGNMENT (DOCUMENT) TO BE RECORDED

8.

9.

10.

11.

NOTE:	"The Office will accept and record non-English language documents only if accompanied by an English translation signed by the individual making the translation," 37 C.F.R. § 3.26.
[x	tached document:  is in the English language.  is not in the English language and an English translation signed by the individual making the translation is attached.
	ORIGINAL DOCUMENT OR TRUE COPY SUBMITTED
NOTE:	See 37 C.F.R. § 3.24.
	itted herewith is:  ] the original document.  ] a true copy of the original document, which I certify to be a true copy.
NOTE:	"If the original [assignment] document is two-sided or the wrong size, the practitioner can comply with the requirement [set out in 37 C.F.R. § 3.24] by providing a true copy of the original document using only one side of each page on the correct size paper." Notice of June 24, 1992, 1140 O.G. 63-76, at 67.
NOTE:	"Certification shall be made by the person submitting a copy of an original document that the document submitted is a true copy of the original. The certification is not required to be in an oath or declaration form." $MP.E.P.$ , 6th ed., § 302.01
	ASSIGNMENT (DOCUMENT) TO RECORD CHANGE OF ADDRESS
	(check item, if applicable)
th	ecause the purpose of the attached documents is to record a change of address of the assignee, e particulars of the previously recorded assignments for each application and/or patent are nown.
	ASSIGNMENT (DOCUMENT) TO RECORD CHANGE OF NAME
	(check item, if applicable)
th	ecause the purpose of the attached documents is to record a change of name of the assignee, the particulars of the previously recorded assignments for each application and/or patent are nown.

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#### CHANGE OF PATENT MAINTENANCE FEE ADDRESS

(check item, if applicable)

12. [ ] A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent to the Office separately.

### **STATEMENT** (37 C.F.R. § 3.31(a)(9)) **AND SIGNATURE** (37 C.F.R. § 3.31(a)(10))

13. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

NOTE: "The term 'party' as used in this rule [\$ 3.31] means the person whose name appears on the documents to be recorded, that person's attorney or registered agent, or a corporate officer where a corporation's name appears on the document." Notice of June 24, 1992, 1140 O.G. 63-76, at 65; M.P.E.P. § 302.07 6th ed.

	Name of party submitting document
	Signature of party submitting document
	(complete the following, if the party submitting the document is applicant's attorney)
D N	SIGNATURE OF PRACTIFIONER
Reg. No.:	JOHN RICHARDS
Геl. No.: ( )	(type or print mante of practitionally
Customer No.:	P.O. Address 31053 (212) 708-1915
	IBER OF PAGES BEING SUBMITTED
	IBER OF PAGES BEING SUBMITTED submitted, including cover sheet attachment(s), and documents
14. The total number of pages being	

(Assignment (Document) Cover Sheet—page 6 of 6) 16-6

Practitioner's	Docket No.	U 012120-4
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Nationality

PA	TF	NT
		: V .

[ ] U.S. and/or [ ] Foreign Rights

[ ] U.S. Provisional Application

[X] U.S. Application or

[ ] U.S. Patent

	For:	[ ] PCT Application	
	By:	Multiple Inventors	
ASSIGNMENT OF I	NVENTION (	MULTIPLE INVENTORS	5)
In consideration of the payment by AS receipt of which is hereby acknowledged			
ASSIGNORS (Inventors)			
	Nation	al Chemical Laboratory	
Raghunath Vitthal CHAUDHARI		411 008 Maharashtra, India	INDIAN
(type or joint name of inventors)	Address		ationality
	Nation	al Chemical Laboratory	
Manisha Madhukar TELKAR	Pune 4	11 008 Maharashtra, India	INDIAN
Chandrashekhar Vasant RODE		al Chemical Laboratory 411 008 Maharashtra, India	INDIAN
	<u> </u>		
	47-47-4	· · · · · · · · · · · · · · · · · · ·	
hereby sells, assigns and transfers to			
ASSIGNEE			
COUNCIL OF SCIENTIFIC AND			
INDUSTRIAL RESEARCH	<u>Rafi M</u>		
(type or print name of ASSIGNEE)	Address	5	
INDIAN	New E	Delhi 110 001, INDIA	

For:

For:

For:

(Assignment of Invention—Multiple Inventors—page 1 of 3)

and the success	s, assigns and legal representatives of the ASSIGNEE	
,	(complete one of the following)	
[] an undivide	nt, title and interest percent (%) interest tes and its territorial possessions	
	(check the following box, if foreign rights are also to be assigned)	
•	nd in all foreign countries, including all rights to claim priority, all improvements which are disclosed in the invention entitled:  THE PREPARATION OF 2-PHENYL ETHANOL	
Name of inven Chandrashekha	(s) Raghunath Vitthal CHAUDHARI; Manisha Madhukar TELKAR; Vasant RODE	
	(check and complete (a), (b), (c), (d), (e), (f) or (g))	
and which is fo	d in (37 C.F.R. § 3.21)	
(a)	U.S. patent application executed on even date herewith	
(b)	U.S. patent application executed on	
(c)	U.S. provisional application naming the above inventor(s) for the above-	
	entitled invention.  [ ] Express mail label no.:  Mailed:	
	To comply with 37 C.F.R. 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney to insert below the filing date and application number when they become known.	
(d)	X] U.S. application no. 09/ <u>257,106</u> filed on <u>February 24, 1999</u>	
(e)	International application no. PCT//	
(f)	U.S. patent no issued	
	A change of address to which correspondence is to be sent regard patent maintenance fees is being sent separately.	ling

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof.

[ ]

claim priority

(g)

(also check (g), if foreign application(s) is also being assigned)

and any legal equivalent thereof in a foreign country, including the right to

(Assignment of Invention—Multiple Inventors—page 2 of 3)

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, We have hereunto set hand and seal this 12 1999

Date of signing

WARNING: The date of signing must be the same as the date of execution of the application, if item (a) was checked

above	$\mathcal{A}_{1}$ $01$
Raghunath Vitthal CHAUDHARI (type name of Inventor)	Signature of INVENTOR
Manisha Madhukar TELKAR	Admanda -
Chandrashekhar Vasant RODE	Uhick

[ ] Notarization or Legalization Page Added.

**RECORDED: 11/12/1999** 

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution, 35 USC 261. Use next page if notarization is desired.

(Assignment of Invention—Multiple Inventors—page 3 of 3)