

11-18-1999

(Rev. 6-93)

Patent and Trademark Office



101204085

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Stuart Edwards; John Gaiser, David Utley, Scott West,  
and Jay QinAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other \_\_\_\_\_

Execution Date: June 16, 1999

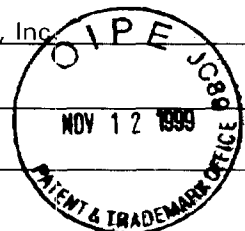
## 2. Name and address of receiving party(ies)

Name: Conway Stuart Medical, Inc.

Internal Address: \_\_\_\_\_

Street Address: 735 Palomar Avenue

City: Sunnyvale State: CA ZIP: 94086

Additional name(s) & address(es) attached: ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

09/304,773

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Daniel D. Ryan

Internal Address: \_\_\_\_\_

Ryan Kromholz &amp; Manion, S.C.

Street Address: \_\_\_\_\_

633 West Wisconsin Avenue

City Milwaukee State WI ZIP: 53203

## 6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

☒ Enclosed☐ Authorized to be charged to deposit account

## 8. Deposit account number:

06-2360

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

## 9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Daniel D. Ryan

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments and document: ☐

## **A S S I G N M E N T**

**WHEREAS**, Stuart Edwards of Portola Valley, California, John Gaiser of Mountain View, California, David Utley of San Carlos, California, Scott West of Livermore, California, and Jay Chin of Fremont, California (hereinafter referred to as ASSIGNORS), have invented and own a certain invention entitled Expandable Electrode Assemblies for Forming Lesions to Treat Dysfunction in Sphincters and Adjoining Tissue Regions for which application for Letters Patent of the United States has been executed on even date herewith (attorney's Docket No. 16399-H);

**WHEREAS**, Conway Stuart Medical, Inc. of Sunnyvale, CA (hereinafter referred to as ASSIGNEE), is desirous of acquiring the entire interest in, to and under said invention and in, to and under Letters Patent or similar legal protection to be obtained therefor in the United States and in any and all foreign countries:

**NOW THEREFORE, TO ALL WHOM IT MAY CONCERN:** Be it known that in consideration of the payment of ASSIGNEE to ASSIGNORS of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNORS hereby sell, assign and transfer to ASSIGNEE the full and exclusive right, title and interest to said invention in the United States and its territorial possessions and in all foreign countries and to all Letters Patent or similar legal protection in the United States and its territorial possessions and in any and all foreign countries to be obtained for said invention by said application or any continuation, division, renewal substitute or reissue thereof or any legal equivalent thereof in a foreign country for the full term or terms for which the same may be granted.

ASSIGNORS hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale;

ASSIGNORS further covenant that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to ASSIGNORS and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof in any foreign country which may be necessary or desirable to carry out the purposes thereof.

June IN WITNESS THEREOF, I have hereunto set hand and seal this 16 day of  
\_\_\_\_\_, 1999.

[Signature]  
Stuart Edwards

State of \_\_\_\_\_ }  
County of \_\_\_\_\_ } ss.

Personally appeared before me this \_\_\_\_\_ day of \_\_\_\_\_, 1999,  
the above named Stuart Edwards, to me known to be the person who executed the foregoing  
Assignment and acknowledged the same as his free act and deed.

\_\_\_\_\_  
Notary Public  
My commission expires \_\_\_\_\_

(Seal)

\* \* \* \* \*

JUNE IN WITNESS THEREOF, I have hereunto set hand and seal this 16th day of  
\_\_\_\_\_, 1999.

[Signature]  
John Gaiser

State of \_\_\_\_\_ )  
 )ss.  
County of \_\_\_\_\_ )

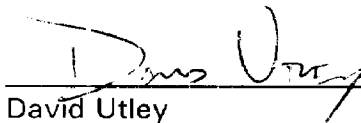
Personally appeared before me this \_\_\_\_\_ day of \_\_\_\_\_, 1999,  
the above named John Gaiser, to me known to be the person who executed the foregoing  
Assignment and acknowledged the same as his free act and deed.

\_\_\_\_\_  
Notary Public  
My commission expires \_\_\_\_\_

(Seal)

\* \* \* \* \*

IN WITNESS THEREOF, I have hereunto set hand and seal this 16 day of  
June, 1999.

  
\_\_\_\_\_  
David Utley

State of \_\_\_\_\_ )  
 )ss.  
County of \_\_\_\_\_ )

Personally appeared before me this \_\_\_\_\_ day of \_\_\_\_\_, 1999,  
the above named David Utley, to me known to be the person who executed the foregoing  
Assignment and acknowledged the same as his free act and deed.

\_\_\_\_\_  
Notary Public  
My commission expires \_\_\_\_\_

(Seal)

\* \* \* \* \*

June, 1999.

State of \_\_\_\_\_ )  
 ) ss.  
County of \_\_\_\_\_ )

Notary Public  
My commission expires \_\_\_\_\_

\* \* \* \*

June, 1999.

State of \_\_\_\_\_ )  
 ) ss.  
County of \_\_\_\_\_ )

Notary Public  
My commission expires \_\_\_\_\_

\* \* \* \*