

11-18-1999

HEET

U.S. DEPARTMENT OF COMMERCE

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To the Honorable Commissioner of Patents and Trademarks

the attached original documents or copy thereof.

1. Name of conveying party(ies)

Shawn X. Gao; Sigfrid D. Soli; Hsiang-Feng Cai

Additional name(s) of conveying party(ies) attached?

Yes _____ No X

3. Nature of conveyance:

X Assignment _____ Merger
 _____ Security Agreement _____ Change of Name
 _____ Other _____

Execution Date: 10/29/99; 11/08/1999

2. Name and address of receiving party(ies):

Name: House Ear Institute

Internal Address: _____

Street Address: 2100 West Third Street, Fifth FloorCity: Los Angeles State: CA ZIP: 90057Additional name(s) & address(es) attached? _____ Yes X No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application the execution date of the application is: _____

A. Patent Application No.(s) 09/409 163

B. Patent No.(s) _____

Additional numbers attached? _____ Yes _____ X No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: George W Hoover II

Internal Address: _____

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLPStreet Address: 12400 Wilshire BoulevardSeventh FloorCity: Los Angeles State: CA ZIP: 900256. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41)\$ 40.00

_____ Enclosed

_____ Authorized to be charged to deposit account

8. Deposit account number:

02-2666

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

George W Hoover, Reg. No. 32,992

Name of Person Signing, Reg. No.

Signature

November 9, 1999

Date

Total number of pages including cover sheet: 2I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to : Assistant Commissioner for Patents, Washington, D.C. 20231 on: November 9, 1999

D.L. Mendrygal

November 9, 1999

Date

Attny Dkt No. 037422.P006

ASSIGNMENT

In consideration of good and valuable consideration, the receipt of which is hereby acknowledged, We, the undersigned, Shawn X. Gao, Sigfrid D. Soli and Hsiang-Feng Chi hereby sell, assign, and transfer to: House Ear Institute, a corporation of the State of California, having a principal place of business at: 2100 West Third Street, Fifth Floor, Los Angeles, California 90057 ("Assignee"), its successors, assigns and legal representatives, the entire right, title and interest for the United States and all foreign countries, in and to any and all improvements which are disclosed in the application for United States Letters Patent,

☐ which has been executed by the undersigned concurrently herewith,

☒ which was filed September 30, 1999 and assigned Serial No. 09/409,163

and is entitled: BAND-LIMITED ADAPTIVE FEEDBACK CANCELLER FOR HEARING AIDS


and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said improvements; and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said improvements; and in and to all rights of priority resulting from the filing of said United States application:

Agree that said Assignee may apply for and receive Letters Patent for said improvements in its own name; and that, when requested, without charge to, but at the expense of, said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this Assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said improvements; execute all rightful oaths, assignments powers of attorney and other papers; communicate to said Assignee, its successors, assigns, and representatives, all facts known to the undersigned relating to said improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns and legal representatives; and

Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

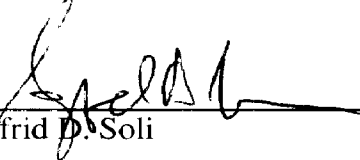
Date:

10/29/99


Shawn X. Gao

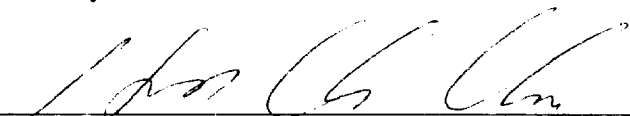
Date:

10/29/99


Sigfrid D. Soli

Date:

11/08/99


Hsiang-Feng Chi

Docket No. 037422.P006

RECORDED: 11/15/1999

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