

MRD 11/15/99

11-19-1999

FORM PTO-1595
(Rev. 6-93)
OMB No. 0657-0071 (exp. 4/94)U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office
Docket No. 48409 (360)

Tab settings

101203396

To the Honorable Commissioner of Patents, attached original documents or copy thereof.

1. Name of conveying party(ies):

Kenneth G. Mann; and Nancy Swords Jenny

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: November 8, 1999

2. Name and address of receiving party(ies):

Name: University of Vermont and State Agriculture College

Internal Address:

Street Address: 340 Waterman Bldg.

City: Burlington State: VT ZIP: 05405

Additional name(s) & address(es) attached?

☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

09/377,250

Additional numbers attached?

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Robert L. Buchanan

Internal Address: Dike, Bronstein, Roberts & Cushman,
LLP

Street Address: 130 Water Street

City: Boston State: MA ZIP: 02109-4280

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41) \$ 40.00

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

11/18/1999 DATES 00000138 09377250

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9. Statement and signature.

40.00 OP

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Robert L. Buchanan (Reg. No. 40,927)

Name of Person Signing

Signature

Date

6

Total number of pages including cover sheet, attachments, and document:

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

(Form PTO-1595--Recordation Form Cover Sheet - page 1 of 3)

PATENT
REEL: 010382 FRAME: 0076

ASSIGNMENT

WHEREAS, I, Kenneth G. Mann, inventor residing at 72 East Shore Road, South, Grand Isle, Vermont 05458, a citizen of the United States; and I, Nancy Swords Jenny, inventor residing at 8 Juniper Drive, Colchester, Vermont 05446, a citizen of the United States (hereinafter referred to as "Assignors"), have invented certain new and useful improvements in "Angiogenesis Inhibitors And Uses Thereof", for which an application for United States Letters Patent with the same title was filed with the United States Patent and Trademark Office, under application number 09/377,250 and a filing date of August 19, 1999 and

WHEREAS, The University of Vermont and State Agriculture College With Offices at Dean's Office, College of Medicine E109 Health Science Complex, (hereinafter referred to as the "Assignee"), is desirous of acquiring the entire right, title and interest in and to the same invention, and in and to the said application, and any Letters Patent that may issue thereon;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN, be it known that for and in consideration of One Dollar (\$1.00), and other valuable and legally sufficient consideration, the receipt of which is hereby acknowledged, the Assignors have sold, assigned, and transferred, and does hereby sell, assign and transfer to the Assignee, its successors and assigns, their entire right, title and interest for the United States in and to the said invention and in and to the said application and all patents which may be granted therefor, and all divisions, reissues, substitutions, continuations, in whole or in part, re-examinations, and extensions thereof, and all applications claiming priority therefrom; and the Assignor hereby authorizes and request the Commissioner of Patents and Trademarks to issue all patents for said invention, or patents resulting therefrom, insofar as their interest is concerned, to the Assignee; to have and to hold for the sole and exclusive use and benefit of the Assignee, its successors and assigns, to the full end of the term or terms for which any and all of said Letters Patent for said invention may issue, to the same extent as the Assignors would hold and enjoy if this Assignment had not been made.


The Assignors also have sold, assigned, and transferred, and do hereby sell, assign and transfer to the Assignee, its successors and assigns, their entire right, title and interest in and to the invention disclosed in said application, in all countries of the world foreign to the United States, including the right to file applications and obtain patents for said invention in its own name in said countries and including all rights of priority in said countries under the terms of any applicable international convention; to have and to hold for the sole and exclusive use and benefit of the Assignee, its successors and assigns, to the full end of the term or terms for which any and all of said Letters Patent for said invention may issue, to the same extent as the Assignors would hold and enjoy if this Assignment had not been made.

The Assignors further agree to execute any and all patent applications, assignments, affidavits, and any other papers in connection therewith necessary to perfect such patent rights, and also agree, at the request of the Assignee, to testify in any legal proceedings, sign all lawful papers, make all lawful oaths, and generally do everything possible to aid said Assignee, its successors and assigns, to obtain, maintain and enforce patent protection for said invention in all countries.

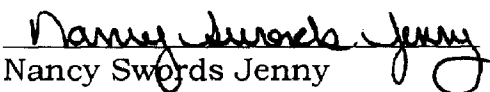
The Assignors also hereby grant the law firm of Dike, Bronstein, Roberts & Cushman, LLP the power to insert on this Assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this documents.

IN WITNESS WHEREOF, the Assignor has caused this Assignment to be executed.

Dated this 8th day of NOV, 1999.


Kenneth G. Mann

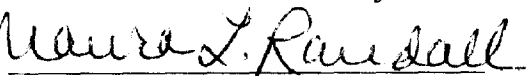
Dated this 8th day of November, 1999.


Nancy Swords Jenny

CERTIFICATE

State of Vermont)
County of Chittenden

Personally appeared before me the above-named Kenneth G. Mann, who signed the foregoing Assignment in my presence on the date thereof and acknowledged the same to be his voluntary act and deed.


Maureen L. Randall

NOTARY PUBLIC

My Commissioner Expires: Feb 10, 2003

CERTIFICATE

State of Vermont)
County of Windsor

Personally appeared before me the above-named Nancy Swords Jenny, who signed the foregoing Assignment in my presence on the date thereof and acknowledged the same to be her voluntary act and deed.

Maura L. Randall

NOTARY PUBLIC

My Commissioner Expires: Feb 10, 2003