MMD11/15/99

Box Assignments

Hon. Commissioner of Patents and Trademarks

Washington, D.C. 20231

101203576

narks (NOV 1 5 1999 )

## RECORDATION FORM COVER SHEET PATENT

(Form PTO-1595)

Sir:

Please record the attached original documents or copy thereof:

- 1. The names of the conveying parties are David F. Bocian and Werner G. Kuhr.
- 2. The name of the party receiving the interest is The Regents of the University of California, a corporation of the State of California, having a principal place of business at 1111 Franklin Street, Twelfth Floor, Oakland, California 94607-5200.
- 3. The nature of the attached document is an Assignment, which was executed on September 10, 1999.
- 4. In connection with:

The patent application entitled HIGH DENSITY NON-VOLATILE MEMORY DEVICE, filed on July 1, 1999 and assigned Serial No. 09/346,228 by inventors David F. Bocian, Werner G. Kuhr and Jonathan S. Lindsey, with a Declaration filed on September 27, 1999 executed by said inventors on September 10, 1999.

Patent Appln. No.	Filing Date	Inventors
09/346,228	July 1, 1999	David F. Bocian Werner G. Kuhr Jonathan S. Lindsey
Patent No.	Date Issued	<u>Inventors</u>

5. The name and address to whom all correspondence concerning this matter should be mailed is:

Tom Hunter, Reg. No. 38,498
MAJESTIC, PARSONS, SIEBERT & HSUE P.C.
4 Embarcadero Center, Suite 1100
San Francisco, California 94111-4106

Telephone:

(415) 248-5500

Telefacsimile: (415) 362-5418

- 6. A total of 1 application is involved in this request.
- 7. The fee of \$40.00 each, or a total of \$40, is enclosed to cover the costs of recordal.

Serial No.: 09/346,221

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- 8. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. 13-1030.
- 9. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Respectfully submitted,

MAJESTIC, PARSONS, SIEBERT & HSUE P.C.

Dated: November 8, 1999.

Atty. Docket: 2500.109US0

Fom Hunter, Reg. No. 38,498

The total number of pages including cover sheet, attachments, and document is 3.

Serial No.: 09/346,221

## **ASSIGNMENT**

U.C. Case No. 99-022-1	
For good and valuable consideration, the receipt of which is	hereby acknowledge, ASSIGNORS,
1. <u>David F. Bocian</u>	2. Werner G. Kuhr
3.	4
its statewide administrative offices located 1111 Franklin Statewide administrative offices located 1111 Franklin Statewide administrative of the ASSIGI	of the University of California, a California Corporation, having treet, Twelfth Floor, Oakland, California 94607-5200, and the NEE all of its/their rights, title and interest for the United States and to, any and all improvements which are disclosed in the
HIGH DENSITY NON-VOLATILE MEMORY DEVICE	
and which is found in	
(a) U.S. provisional application filed herewith and list	ing the above named person as inventor
(b) U.S. patent application filed herewith and listing t	he above named person as inventor
(c) X U.S. application serial no. 09/346,228	, filed on <u>July 1, 1999</u>
(d) U.S. Patent No	, issued
rights under all International Conventions for the Protection	ssue, reexamination or extension of said Letters Patent and all of Industrial Property; ed into no assignment, sale, agreement or encumbrance which
documents relating to said invention and said Letters Pate ASSIGNOR and will testify as to the same in any interference execute and deliver to ASSIGNEE or its legal representative for, obtain, maintain, issue or enforce said application, said which may be necessary or desirable to carry out the purpose	ts request, be provided promptly with all pertinent facts and ant and legal equivalents as may be known and accessible to ace, litigation, or proceeding relating thereto and will promptly any and all papers, instruments or affidavits required to apply invention and said Letters Patent and said equivalents thereof ses thereof. An attorney of record is authorized and requested ment the filing date and serial number of said application when
AND the <u>ASSIGNORS</u> request the Commissioner of Patents a and any reissue or extension thereof to the ASSIGNEE, The	and Trademarks to issue said Letters Patent of the United States Regents of the University of California.
executed this Signature of	of Inventors
10 day of Jept. 1999 David F. Bo	ocian Deur
10 day of Sept 1999 Werner G.	Kuhr

**REGORDED: 11/15/1999** 

PATENT
' REEL: 010383 FRAME: 0092