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PATEN

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IBM Docket No.: BU9-99-096

To the Honorable Commissioner of Patents at

nal documents or copy thereof.

101204980

1. Name of conveying party(ies):

s of receiving party(ies):

Cuc K. Huynh  
Paul A. Manfredi  
Thomas J. Martin  
Douglas P. Nadeau  
Yutong Wu

Name: International Business Machines Corporation

Internal Address: N/A

Street Address: New Orchard Road

City: Armonk State: New York

Zip Code: 10504

Additional Name(s) & Address(es) attached?

[ ] Yes [X] No

Additional name(s) of conveying party(ies) attached?

[ ] Yes [X] No

3. Nature of Conveyance:

[X] Assignment [ ] Merger  
[ ] Security Agreement [ ] Change of Name  
[ ] Other

Execution Date: 10/11/99, 10/18/99, 10/13/99,  
10/11/99 & 10/11/99.

4. Application number(s) of patent number(s):

Title of Application: OFF-CONCENTRIC POLISHING SYSTEM DESIGN

If this document is being filed together with a new application, the execution date of the application is: 10/11/99, 10/18/99, 19\_\_.

A. Patent Application No.(s) B. Patent No.(s) 10/13/99, 10/11/99 & 10/11/99

Additional numbers attached? [ ] Yes [X] No

5. Name and address of party whom correspondence concerning document should be mailed:

6. Total number of applications and patents involved:.....[ 1 ]

Name: DeLIO & PETERSON, LLC  
Street Address: 121 Whitney Avenue  
City: New Haven State: CT  
Zip Code: 06510-1241

7. Total Fee (37 CFR 3.41) \$40.00  
[ ] Enclosed  
[X] Authorized to be charged to Deposit Account number below  
[X] Any deficiencies in the enclosed fees

11/17/99 TRADE 09433681  
03 FC:581 40.00 CH

8. Assignee IBM Corporation Deposit Account Number:

09-0456

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9. Statement and Signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Howard J. Walter, Jr.

Name of Person Signing  
Registration No.: 24,832

Signature

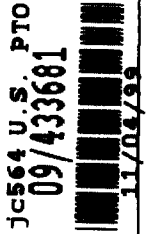
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2 Nov '99 Date

Total number of pages including cover sheet, attachments, and document: [ 3 ]

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PATENT  
REEL: 010383 FRAME: 0534



# ASSIGNMENT

Whereas, we

Inventor and  
City

(1) **Cuc K. Huynh**  
County of **Chittenden**

City of **Jericho**  
and State of **Vermont**

and

(2) **Paul A. Manfredi**  
County of **Washington**

City of **Waterbury Center**  
and State of **Vermont**

and

(3) **Thomas J. Martin**  
County of **Franklin**

City of **Franklin**  
and State of **Vermont**

and

(4) **Douglas P. Nadeau**  
County of **Chittenden**

City of **Underhill**  
and State of **Vermont**

and

(5) **Yutong Wu**  
County of **Chittenden**

City of **South Burlington**  
and State of **Vermont**

Title

have invented certain improvements in  
**OFF-CONCENTRIC POLISHING SYSTEM DESIGN**

Date That  
Inventors  
Signed the  
Declaration

and have executed, respectively, a United States patent application therefor on  
(1) 10/11, 1999, and (2) 10/18, 1999,  
and (3) 10/13, 1999, and (4) 10/11, 1999;  
and (5) 10/11, 1999.

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we the above named hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

City (1) Signed at Essex Junction, Vt State \_\_\_\_\_  
City State

Date on 10/11, 1999 Cuc K. Huynh signature  
Date on signature

# ASSIGNMENT (continued)

TITLE: OFF-CENTRIC POLISHING SYSTEM DESIGN

City (2) Signed at Essex Junction Vermont  
City State  
 Date on 10/18, 1999 Paul A. Manfredi signature  
Paul A. Manfredi

City (3) Signed at Essex Jct VT  
City State  
 Date on 10/3, 1999 Thomas J. Martin signature  
Thomas J. Martin

City (4) Signed at Essex Jct VT  
City State  
 Date on 10/11, 1999 Douglas P. Nadeau signature  
Douglas P. Nadeau

City (5) Signed at Essex Jct. V T. VT  
City State  
 Date on Oct. 11, 1999 Yutong Wu signature  
Yutong Wu

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