FORM. (Rev. 6-93) OMB No. 0651-0011 (exp.4/94) Copyright 1994-97 LegalStar P08/REV02



## RECORDATION FORM CO

# **PATENTS C**

# 11-19-1999

and Trademark Office

### 4110 11/15/CA V 101204176 Tab settings → → To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof. 2. Name and address of receiving party(ies)

PPG INDUSTRIES OHIO, INC.	Name: TRANSITIONS OPTICAL, INC.
	Internal Address:
Additional names(s) of conveying party(ies)	
3. Nature of conveyance:	
	Street Address: 9251 Belcher Road
☐ Security Agreement ☐ Change of Name	
☐ Other	City: Pinellas Park State: FL ZIP: 33782
Execution Date: November 4, 1999	Additional name(s) & address(es) attached?   Yes  No
4. Application number(s) or registration numbers(s):	
If this document is being filed together with a new application	, the execution date of the application is:
A. Patent Application No.(s)	B. Patent No.(s)
09/114,089	
5. Name and address of party to whom correspondence concerning document should be mailed:	ched?
Name: Irwin M. Stein, Esq.	7. Total fee (37 CFR 3.41):\$ 40.00
Internal Address: Law - Intellectual Property - 39S	☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account
11/ <del>18/1999 DCOATES 00000237 162025</del> 09114089	'
01 FC:581 40.00 CH	Authorized to be charged to deposit account
Street Address: One PPG Place	8. Deposit account number:
	16-2025
City: Pittsburgh State: PA ZIP: 15272	10 2023
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of the original document.	ation is true and correct and any attached copy is a true copy
	November 5, 1999
Name of Person Signing	Signature Date

Total number of pages including cover sheet, attachments, and document:

### ASSIGNMENT

IN CONSIDERATION of good and valuable consideration received from TRANSITIONS OPTICAL, INC., a corporation of Delaware, having a place of business at Pinellas Park, Florida, and intending to be legally bound hereby, PPG INDUSTRIES OHIO, INC., a corporation of Delaware, having a place of business at Cleveland, Ohio, does hereby sell, assign and transfer to TRANSITIONS OPTICAL, INC., its entire right, title and interest in and to any and all improvements which are disclosed in the application for Letters Patent of the United States of America, Serial Number 09/114, 089, filed July 10, 1998, entitled NOVEL PHOTOCHROMIC BENZOPYRAN-FUSED NAPHTHOPYRANS, and in any application for Letters Patent of other countries based on said United States application, the same to be held and enjoyed by TRANSITIONS OPTICAL, INC., its successors, assigns, or legal representatives, to the full end of the term for which any United States Letters Patent therefor and any patent of other countries therefor may be granted, as fully and entirely as the same would have been held and enjoyed by PPG INDUSTRIES OHIO, INC. if this assignment and sale had not been made.

PPG INDUSTRIES OHIO, INC. hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

PPG INDUSTRIES OHIO, INC. further covenants that TRANSITIONS OPTICAL, INC., will upon its request, be provided promptly with access to all pertinent facts and documents relating to said improvements and said Letters Patent and legal equivalents as may be known and accessible to PPG INDUSTRIES OHIO, INC. for the purpose of any interference, litigation or legal proceeding related thereto, and will promptly execute and deliver to TRANSITIONS OPTICAL, INC., or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said improvements and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

PPG INDUSTRIES OHIO, INC. hereby authorizes and requests the Commissioner of Patents of any country in which is filed an application for Letters Patent for said improvements, or resulting from said application, or from any division, reissue, reexamination, or extension thereof, to issue any and all Letters Patent of such country, to TRANSITIONS OPTICAL, INC., as sole assignee.

Donna Lee Walker
Vice President

Tax

STATE OF PENNSYLVANIA

SS

County of Allegheny

On this 5th day of November 1999, appeared before me in person the above Donna Lee Walker and acknowledged the above to be her signature, and that she signed, sealed and

Donna Lee Walker and acknowledged the above to be her signature, and that she signed, sealed are delivered the above instrument as her voluntary act and deed and for the purposes herein set forth.

Notary Public

Notarial Seal Marion G. Eastman, Notary Public Pittsburgh, Allegheny County My Commission Expires April 8, 2000

Member, Pennsylvania Association of Notaries

PATENT REEL: 010384 FRAME: 0373

RECORDED: 11/15/1999