

11-19-1999

FORM PTO-1595
1-31-92DEPARTMENT OF COMMERCE
Patent and Trademark Office

MWD 11/15/99

R

101204178

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Robert S. Anderson
Mirza Q. Baig
Jeffrey A. DeNeve
Brian R. Dershem
Hasan (NMI) Kabir
Rodney D. McLamb
Jason S. Moe
Huan T. Nguyen
Kevin E. Pielmeier
James H. Siwicke
Reid W. Waitt

2. Name and address of receiving party(ies):

Name: Caterpillar CommercialStreet Address: SARLc/o Caterpillar Overseas S.A.
76, Route de Frontenex
1211 Geneva, SwitzerlandAdditional name(s) & addresses
attached? ☐ Yes ☒ NoAdditional Names attached: ☒ Yes ☐ No

3. Nature of Conveyance:

☒ Assignment
☐ Security Agreement
☐ OtherExecution Date(s): 08/09/1999, 09/15/1999,
07/29/1999, 08/06/1999,
09/15/1999, 08/24/1999,
08/09/1999, 10/14/1999,
08/09/1999, 07/22/1999, and
08/06/1999

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is _____.

A. Patent Application No.(s)

09/092,804, filed: June 5, 1998

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

Title of Invention: ENGINE MOUNTING ARRANGEMENT

Attorney Docket No.: 98-407

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Diana L. Charlton
CATERPILLAR INC.Internal Address: Intellectual Property Dept.Street Address: 100 N. E. Adams StreetCity: Peoria State: ILZip: 61629-6490

6. Total number of applications and patents involved [1]

7. Total fee (37 CFR §3.41) \$ 40.00

☐ Enclosed☒ Authorized to be charged to deposit account8. Deposit account number: 03-1129

(If charged duplicate copy attached)

9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

11/18/1999 DC:NTES 00000235 031129 09092804
10.00 (1) Diana L. Charlton
Name of Person Signing

Signature

11/12/1999
Date

Total number of pages comprising cover sheet, attachments, and document: [6]

Mail document to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks
Box Assignments
Washington, D.C. 20231

11/18/1999 DC:NTES 00000235 031129 09092804

01 FC:561

PATENT
REEL: 010384 FRAME: 0392

ASSIGNMENT

WHEREAS, ROBERT S. ANDERSON; MIRZA Q. BAIG; JEFFREY A. DENEVE; BRIAN R. DERSHEM; HASAN (NMI) KABIR; RODNEY D. MCLAMB; JASON S. MOE; HUAN T. NGUYEN; KEVIN E. PIELMEIER; JAMES H. SIWICKE; and REID W. WAITT, residing respectively at Garner, North Carolina; Inkster, Michigan; England, United Kingdom; Sanford, North Carolina; Southgate, Michigan; Cary, North Carolina; Sanford, North Carolina; Fort Worth, Texas; Cary, North Carolina; Mexico, Mexico; and Angier, North Carolina, hereinafter referred to as ASSIGNORS, have invented a new and useful

ENGINE MOUNTING ARRANGEMENT

for which ASSIGNORS have made application for Letters Patent in the United States of America, such invention being described and identified by said application identified as Application No. 09/092,804, filed June 5, 1998; and

WHEREAS, CATERPILLAR COMMERCIAL SARL, a corporation duly organized and existing under the laws of Switzerland, domiciled at c/o Caterpillar Overseas S. A., 76, Route de Frontenex, 1211 Geneva, Switzerland, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said invention and any Letters Patent granted thereon by the United States of America and any foreign country;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by ASSIGNORS from ASSIGNEE, the receipt of which is hereby acknowledged by ASSIGNORS;

1. ASSIGNORS hereby sell, assign, transfer and convey unto ASSIGNEE the entire right, title and interest in and to said invention, any application therefor, and any Letters Patent granted thereon by the United States of America and any foreign country, including any continuing application, reissue or extension thereof, together with the right to file applications and obtain patents for said invention in any foreign country, and covenant that ASSIGNORS have the full right to do so.

2. At the request and expense of ASSIGNEE, ASSIGNORS agree to communicate to ASSIGNEE any facts known respecting said invention, to execute all papers necessary to file any application on said invention necessary or desirable to aid ASSIGNEE in obtaining and enforcing proper protection for said invention in the United States of America and any foreign country.

3. ASSIGNORS hereby authorize and request the COMMISSIONER OF PATENTS AND TRADEMARKS to issue the United States Letters Patent on said invention, when granted, to CATERPILLAR COMMERCIAL SARL.

4. This assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon ASSIGNORS, ASSIGNORS' heirs and legal representatives.

IN TESTIMONY WHEREOF, ASSIGNORS have executed this instrument.

Robert S. Anderson
ROBERT S. ANDERSON

8-9-99
Date

IN WITNESS OF the execution hereof by ROBERT S. ANDERSON we have hereunto set our hands.

Keon Palmer 09AUG 1999
Witness (1) (Date)

Address: 1207 KELTY CT
CARY NC 27511

[Signature] 9 AUG 1999
Witness (2) (Date)

Address: 312 Grant St
Sanford, NC 27330

MIRZA QADIR BAIG
MIRZA Q. BAIG

Sept 15, 99
Date

IN WITNESS OF the execution hereof by MIRZA Q. BAIG we have hereunto set our hands.

[Signature] 15 Sept 1999
Witness (1) (Date)

Address: 11250 Burlington #443
Santa Fe, MI 48195

Rabia Baig 15 Sept 1999
Witness (2) (Date)

Address: 524 TOBIN DRIVE
APT # 311, INKSTER,
MI 48141

Jeffrey A. Deneve
JEFFREY A. DENEVE

29 July 1999
Date

IN WITNESS OF the execution hereof by JEFFREY A. DENEVE we have hereunto set our hands.

Steve Vest 29/7/99
Witness (1) (Date)

Address: 39 Phillip Dr.
Glen Parva
LEICESTER

[Signature] 29/7/99
Witness (2) (Date)

Address: 34, SPENCER DRIVE
BALLESLOPE
PUNCEBURY CV13 0SQ

Brian R. Dershem
BRIAN R. DERSHEM

6 August 1999
Date

IN WITNESS OF the execution hereof by BRIAN R. DERSHEM we have hereunto set our hands.

See Ed 8/6/99
Witness (1) (Date)

Michael Hallis 8/6/99
Witness (2) (Date)

Address: 1945 Kirkwood Rd
Raleigh, NC 27603

Address: 1801 Giganteo Lane
Apex, NC 27502

Hasan Kabir
HASAN (NMI) KABIR

15 Sept. 1999
Date

IN WITNESS OF the execution hereof by HASAN (NMI) KABIR we have hereunto set our hands.

Qadir MIRZA QADIR BAIG Sept 15, 99
Witness (1) (Date)

Rabia Baig Sept 15, '99
Witness (2) (Date)

Address: 524 TOBIN DR 311
INKSTER MI 48141

Address: 524 TOBIN DRIVE
APT 311, INKSTER
MI - 48141

Rodney D. Mclamb
RODNEY D. MCLAMB

24 Aug 1999
Date

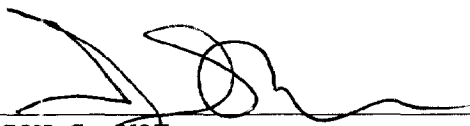
IN WITNESS OF the execution hereof by RODNEY D. MCLAMB we have hereunto set our hands.

Missy Hall 24 Aug 1999
Witness (1) (Date)

Collyer Hudgins 24 Aug 1999
Witness (2) (Date)

Address: 312 West Tree Ln
Cam
NC, 27513

Address: 8452 Webb's Mill Rd.
Spring Hope, NC
27552


JASON S. MOE

9 Aug 1999
Date

IN WITNESS OF the execution hereof by JASON S. MOE we have hereunto set our hands.

Kevin Pielmeier 09 AUG 99
Witness (1) (Date)

Address: 1207 KELTY CT
CARY NC 27511

Robert J Anderson 8-9-99
Witness (2) (Date)

Address: 1340 CANE CREEK DR
GARNER, NC 27529

Huan Nguyen T.
HUAN T. NGUYEN

10/14/99
Date

IN WITNESS OF the execution hereof by HUAN T. NGUYEN we have hereunto set our hands.

Meredith M. 10/14/99
Witness (1) (Date)

Address: _____
8359 Harwood Rd #315
North Highland 1705 TX 76060


Richard Aue
Witness (2) (Date)

Address: 1445 Comanche DR
Auen, TX 75013

Kevin Pielmeier
KEVIN E. PIELMEIER

09 AUG 99
Date

IN WITNESS OF the execution hereof by KEVIN E. PIELMEIER we have hereunto set our hands.


Witness (1) (Date)

Address: 312 Grant St
Sanford, NC 27330

Robert J Anderson 8-9-99
Witness (2) (Date)

Address: 1340 CANE CREEK DR
GARNER, NC 27529

James H. Siwiche
JAMES H. SIWICKE

July 22, 1999
Date

IN WITNESS OF the execution hereof by JAMES H. SIWICKE we have hereunto set our hands.

Terry L. Esing 22-Jul-99
Witness (1) (Date)

Address: Bosques del Pirineo 441
San Pedro Garza Garcia, N.L.
Mexico

Both J. form 7/22/99
Witness (2) (Date)

Address: RIO PANTEPEC 903
SAN PEDRO, GARZA GARCIA
N.L. MEXICO

Reid W. Waitt
REID W. WAITT

Aug 6, 1999
Date

IN WITNESS OF the execution hereof by REID W. WAITT we have hereunto set our hands.

Pete Holden Aug 6, 1999
Witness (1) (Date)

Address: 109 Streamview Dr.
Apex NC 27502

J/C Pat. 6-AUG-99
Witness (2) (Date)

Address: 1913 SNOWY OWL
CARY, NC 27511