

RECORDATION FORM COVER SHEET  
PATENTS ONLYU.S. DEPARTMENT OF COMMERCE  
PATENT & TRADEMARK OFFICE

To the Honorable Commissioner of Patents and Trademarks:

Please record the attached original documents or copy thereof.

## 1. NAME OF CONVEYING PARTY(IES):

Wolfgang Robert BERGMANN  
John Edward WYDILA  
Paul Howard NEILL  
Loralei Marie BRANDT  
Joanne CRUDELE  
Chaitanya Umedbhai PATEL  
Christophe Michel FINEL  
Walter Thomas GIBSON  
Roger Michael LANE

ADDITIONAL NAME(S) OF CONVEYING PARTY(IES) ATTACHED?  
YES [ ] NO [XX]

## 2. NAME AND ADDRESS OF RECEIVING PARTY(IES):

NAME: Unilever Home & Personal Care USA,  
Division of Conopco, Inc.

STREET ADDRESS: 325 North Wells Street

CITY: Chicago STATE: Illinois ZIP: 60610

ADDITIONAL NAME(S) & ADDRESS(ES) ATTACHED?  
YES [ ] NO [XX]

11-22-1999



## 3. NATURE OF CONVEYANCE:

101207499

[XX] ASSIGNMENT [ ] SECURITY AGREEMENT [ ] MERGER [ ] CHANGE OF NAME [ ] OTHER  
EXECUTION DATE: June 14, 1999; June 15, 1999; June 16, 1999; June 17, 1999; July 13, 1999; August 19, 1999

## 4. APPLICATION NUMBER(S) OR PATENT NUMBER(S): 09/310,274 FILED: May 12, 1999

IF THIS DOCUMENT IS BEING FILED TOGETHER WITH A NEW APPLICATION, THE EXECUTION DATE OF THE APPLICATION IS:

A. PATENT APPLICATION NO.(S): 09/310,274

B. PATENT NO.(S)

ADDITIONAL NUMBERS ATTACHED? [ ] YES [XX] NO

5. NAME AND ADDRESS OF PARTY TO WHOM CORRESPONDENCE CONCERNING DOCUMENT SHOULD BE MAILED:  
UNILEVER PATENT DEPARTMENT, 45 RIVER ROAD, EDGEWATER, NEW JERSEY 07020

## 6. TOTAL NUMBER OF APPLICATIONS AND PATENTS INVOLVED: 1

7. TOTAL FEE (37 CFR 3.41): \$40.00 [ ] ENCLOSED [XX] AUTHORIZED TO BE CHARGED TO DEPOSIT ACCOUNT  
[XX] ANY DEFICIENCY OR OVERPAYMENT SHOULD BE CHARGED OR CREDITED TO DEPOSIT ACCOUNT 12-1155.

8. DEPOSIT ACCOUNT NUMBER: 12-1155 (Duplicate copy of this page is attached for payment by deposit account)

DO NOT USE THIS SPACE

## 9. STATEMENT AND SIGNATURE:

TO THE BEST OF MY KNOWLEDGE AND BELIEF, THE FOREGOING INFORMATION IS TRUE AND CORRECT AND ANY ATTACHED COPY IS A  
TRUE COPY OF THE ORIGINAL DOCUMENT.

RONALD A. KOATZ, ATTORNEY FOR APPLICANT(S)  
REGISTRATION NO. 31,774

DATE

TOTAL NUMBER OF PAGES COMPRISING COVER SHEET, ATTACHMENTS, AND DOCUMENT: 6

11/19/1999 JSHABAZZ 00000122 121155 09310274 OMB NO. 0651-0011 (EXP. 4/94)

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DO NOT DETACH THIS PORTION

MAIL DOCUMENTS TO BE RECORDED WITH REQUIRED COVER SHEET INFORMATION TO:  
COMMISSIONER OF PATENTS AND TRADEMARKS, BOX ASSIGNMENTS, WASHINGTON D.C. 20231

PUBLIC BURDEN REPORTING FOR THIS SAMPLE COVER SHEET IS ESTIMATED TO AVERAGE ABOVE 30 MINUTES PER DOCUMENTS TO BE RECORDED, INCLUDING TIME FOR  
REVIEWING THE DOCUMENT AND GATHERING THE DATA NEEDED, AND COMPLETING AND REVIEWING THE SAMPLE COVER SHEET. SEND COMMENTS REGARDING THIS BURDEN  
ESTIMATE TO THE U.S. PATENT AND TRADEMARK OFFICE, OFFICE OF INFORMATION SYSTEMS, P2-1000C, WASHINGTON, D.C. 20231 AND TO THE OFFICE OF MANAGEMENT AND  
BUDGET, PAPERWORK REDUCTION PROJECT (0651-0011), WASHINGTON, D.C. 20231

**ASSIGNMENT**

WHEREAS, **WOLFGANG ROBERT BERGMANN**, a citizen of the United States, residing at 5600 Black Walnut Trail, Long Grove, Illinois 60047; **JOHN EDWARD WYDILA**, a citizen of the United States, residing at 1261 Cranbrook Drive, Schaumburg, Illinois 60193; **PAUL HOWARD NEILL**, a citizen of the United States, residing at 131 Village Road, Hinsdale, Illinois 60521; **LAURALEI MARIE BRANDT**, a citizen of the United States, residing at 771 Laurel Lane, Cary, Illinois 60013; **JOANNE CRUDELE**, a citizen of the United States, residing at 26550 N. Marion Street, Wauconda, Illinois 60084; **CHAITANYA UMEDBHAI PATEL**, a citizen of the United States, residing at 537 Danbury Drive, #2S, Glen Ellyn, Illinois 60137; **CHRISTOPHE MICHEL FINEL**, a citizen of France, residing at 7 Rue Saint Nicholas 60200, Compiègne, France; **WALTER THOMAS GIBSON**, a citizen of Great Britain, residing at Braeside, Utkinton Lane, Cotebrook, Tarporley, Cheshire CW6 0JH, United Kingdom; and **ROGER MICHAEL LANE**, a citizen of Great Britain, residing at 20 Tower Road North, Heswall, Merseyside, L60 6RS, United Kingdom, invented certain improvements in

**HAIR CARE COMPOSITIONS AND METHOD FOR  
DEPOSITING SWOLLEN POLYMER PARTICLES ONTO HAIR**

(bearing Attorney's Docket Number 98-0108-B-HC,) which is found in:

- (a) ☐ U.S. patent application executed on \_\_\_\_\_
- (b) ☒ To comply with 37 CFR 3.21 for recordal of this assignment, I/WE an ASSIGNOR(S) signing below, hereby authorize and request my/our attorney, as named in the Declaration and Power of Attorney to insert below the filing date and application number when it becomes known.

U.S. application Serial No. 09/310,274 filed on May 12, 1999.

- (c) ☐ International Application No. PCT \_\_\_\_\_/\_\_\_\_\_.

AND WHEREAS, **UNILEVER HOME & PERSONAL CARE USA, DIVISION OF CONOPCO, INC.**, a corporation of New York having a principal place of business at 325 North Wells Street, Chicago, Illinois 60610 desires to acquire the entire right, title and interest in the said application and invention, and improvements and to any United States and foreign patents to be obtained therefor;

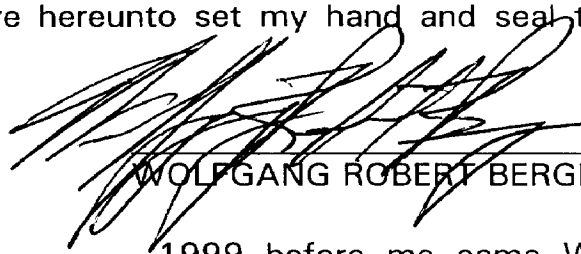
NOW, THEREFORE, in consideration of one dollar (\$1.00) and other good and valuable consideration in hand paid, the receipt and sufficiency of which is hereby acknowledged, I/We, the undersigned, hereby sell, assign and transfer to the aforesaid, **UNILEVER HOME & PERSONAL CARE USA, DIVISION OF CONOPCO, INC.**, its successors and assigns, the entire right, title and interest in and to the said application and invention therein disclosed for the United States and all foreign countries, and all rights of priority under the International Convention resulting from the filing of said application, and in and to any and all divisional, continuation, continuation-in-part, reissue, or other applications describing said invention or improvements thereon, and in and to any and all Letters Patent of the United States which may issue from said applications or any of them, and in and to any and all applications for patents describing said invention to countries foreign to the United States and in and to any and all patents which may be granted for said invention in said foreign countries, the same to be held by and for the sole and exclusive use and benefit of the said, **UNILEVER HOME & PERSONAL CARE USA, DIVISION OF CONOPCO, INC.**, its successors and assigns.

AND I/We do hereby authorize and request the Commissioner of Patents to issue the said Letters Patent of the United States to said, **UNILEVER HOME & PERSONAL CARE USA, DIVISION OF CONOPCO, INC.**, as the assignee of the entire right, title and interest in and to the same.

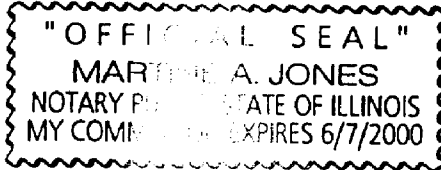
AND I/We do hereby agree to assist said, **UNILEVER HOME & PERSONAL CARE USA, DIVISION OF CONOPCO, INC.**, in the prosecution of any and all said applications and in the prosecution of any and all interferences or other proceedings which may arise involving said invention, and to execute and deliver any powers of attorney, affidavits, assignments, applications, reissues, documents, or other written instruments which, in the opinion of counsel for said, **UNILEVER HOME & PERSONAL CARE USA, DIVISION OF CONOPCO, INC.**, its successors and assigns, may be necessary in this or any foreign country fully to secure to said, **UNILEVER HOME & PERSONAL CARE USA, DIVISION OF CONOPCO, INC.**, its successors and assigns, any and all said applications, inventions, improvements, Letters Patent, rights, titles and interests hereby sold, assigned, transferred and conveyed.

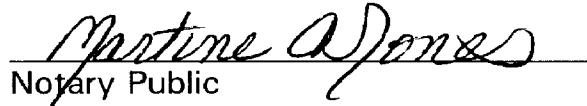
IN WITNESS WHEREOF, I have hereunto set my hand and seal this 14 day  
of JUNE, 1999.

STATE OF ILLINOIS )  
COUNTY OF COOK )

 (Seal)  
WOLFGANG ROBERT BERGMANN

On this 14 day of JUNE, 1999 before me came WOLFGANG  
ROBERT BERGMANN to me known to be the individual described in and who  
executed the foregoing instrument, and acknowledged that he/she executed the  
same.



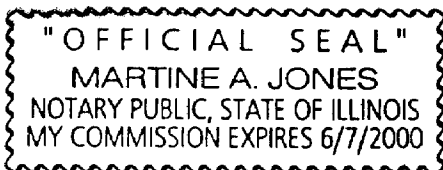
  
Notary Public

IN WITNESS WHEREOF, I have hereunto set my hand and seal this 15 day  
of JUNE, 1999.

STATE OF ILLINOIS )  
COUNTY OF COOK )

 (Seal)  
JOHN EDWARD WYDILA

On this 15 day of JUNE, 1999 before me came JOHN EDWARD  
WYDILA to me known to be the individual described in and who executed the  
foregoing instrument, and acknowledged that he/she executed the same.



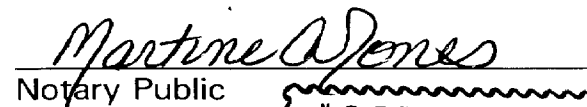
  
Notary Public

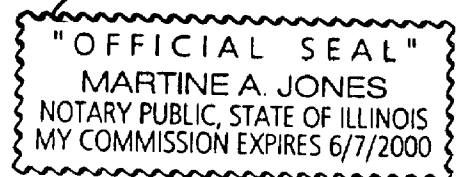
IN WITNESS WHEREOF, I have hereunto set my hand and seal this      day  
of June 14, 1999.

STATE OF ILLINOIS )  
COUNTY OF COOK )

 (Seal)  
PAUL HOWARD NEILL

On this 14 day of JUNE, 1999 before me came PAUL HOWARD  
NEILL to me known to be the individual described in and who executed the foregoing  
instrument, and acknowledged that he/she executed the same.

  
Notary Public



IN WITNESS WHEREOF, I have hereunto set my hand and seal this 16 day  
of June, 1999.

STATE OF ILLINOIS )  
COUNTY OF COOK )

Loralei Marie Brandt (Seal)  
LORALEI MARIE BRANDT

On this 16 day of JUNE, 1999 before me came LORALEI MARIE BRANDT to me known to be the individual described in and who executed the foregoing instrument, and acknowledged that he/she executed the same.



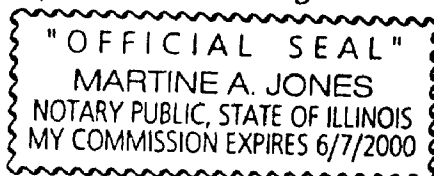
Martine A Jones  
Notary Public

IN WITNESS WHEREOF, I have hereunto set my hand and seal this 17<sup>th</sup> day  
of June, 1999.

STATE OF ILLINOIS )  
COUNTY OF COOK )

Joanne Crudele (Seal)  
JOANNE CRUDELE

On this 17 day of JUNE, 1999 before me came JOANNE CRUDELE to me known to be the individual described in and who executed the foregoing instrument, and acknowledged that he/she executed the same.



Martine A Jones  
Notary Public

IN WITNESS WHEREOF, I have hereunto set my hand and seal this 15 day  
of June, 1999.

STATE OF ILLINOIS )  
COUNTY OF COOK )

Chaitanya Umедbhai Patel (Seal)  
CHAITANYA UMEDBHAI PATEL

On this 15 day of June, 1999 before me came CHAITANYA UMEDBHAI PATEL to me known to be the individual described in and who executed the foregoing instrument, and acknowledged that he/she executed the same.



Eva M Belehraddek  
Notary Public

christophe Michel Finel 07/18/98

CHRISTOPHE MICHEL FINEL

DATED

Walter Thomas Gibson  
19/8/99

WALTER THOMAS GIBSON

19.8.99

DATED

Roger Michael Lane

ROGER MICHAEL LANE

19.8.99

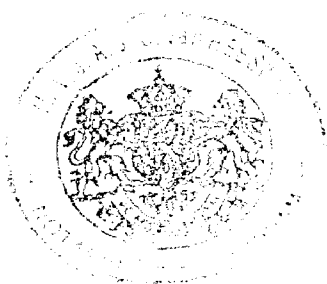
DATED

Walter Thomas Gibson  
19/8/99

(notarized)

Seen by me, Victor Joseph Antonius Johannes Clemens van Heeswijk, civil law notary in Rotterdam, the Netherlands, for legalisation of the signature placed on the attached document of mr Christophe Michel Finel, residing at 7, rue Saint Nicolas, 60200 Compiègne, France.

Rotterdam, 28 October 1999



A handwritten signature in black ink, appearing to be "V.J.A.J.C. van Heeswijk".

## APOSTILLE

(Convention de La Haye du 5 Octobre 1961)

1. Country: The NETHERLANDS  
This public document
2. has been signed by mr V.J.A.J.C. van Heeswijk
3. acting in the capacity of notary
4. bears the seal/stamp of mr V.J.A.J.C. van Heeswijk  
Certified
5. at ROTTERDAM                      6. on 29/10/1999
7. by the clerk of the District Court  
(Griffier van de Arrondissementsrechtbank)
8. N° 91381
9. stamp:                      10. Signature: 