

MRD 11/5/99

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U.S. PTO
09/434485
11/05/99

RECORDATION COVER SHEET - PATENT APPLICATION

NAME OF CONVEYING PARTY : Koji YAMADA
NAME OF RECEIVING PARTY : Oki Electric Industry Co., Ltd.
ADDRESS OF RECEIVING PARTY : 7-12, Toranomom 1-chome, Minato-ku,
Tokyo, Japan
NATURE OF CONVEYANCE : Assignment of U.S. patent application
CONVEYANCE EXECUTION DATE : August 10, 1999
PATENT APPLICATION NO. : To Be Assigned
APPLICATION FILED TOGETHER
WITH THIS DOCUMENT : Yes
APPLICATION EXECUTION DATE : August 10, 1999
CORRESPONDENCE ADDRESS : RABIN & CHAMPAGNE, P.C.
1725 K Street, N.W., Suite 1111
Washington, D.C. 20006
ATTORNEY REFERENCE : OKI 228
TOTAL NUMBER OF PATENTS
AND APPLICATIONS INVOLVED : One
TOTAL FEE PAID : \$40.00
METHOD OF PAYMENT : ☒ Enclosed
☐ Authorized to be charged to
deposit account

11-22-1999



101205886

In the event there is attached hereto no check, or a check for an insufficient amount, please charge the fee to our Account No. 18-0002 and notify us accordingly.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

11/18/1999 SCARICH 00000065 09434485

03 FC:581

40.00 OP

SMR:vap

Steven M. Rabin
(Reg. No. 29,102)

Total number of pages including cover sheet, attachments and document: 2

PATENT
REEL: 010386 FRAME: 0362

ASSIGNMENT OF APPLICATION FOR UNITED STATES LETTERS PATENT

WHEREAS Koji Yamada

hereinafter referred to collectively as the assignor, has invented a certain improvement
WAVEGUIDE OPTICAL SEMICONDUCTOR DEVICE,
 relating to METHOD OF FABRICATING THE SAME AND OPTICAL DEVICE MODULE,
 [X] the inventor's declaration for said application being executed concurrently with the execution of this instrument;
 said application to be filed in the United States Patent and Trademark Office;

[] said application having been filed in the United States Patent and Trademark Office on _____, authorization being
 hereby given to my attorneys, Steven M. Rabin, Thomas M. Champagne, and Robert H. Berdo, Jr. of RABIN,
 CHAMPAGNE & LYNT, P.C., 1725 K Street, N.W., Washington, D.C. 20006, to insert here in parentheses
 (Application Serial No. _____) the application serial number of said application when known;

[] said application having been filed under the Patent Cooperation Treaty on _____,
 Serial No. _____, the United States of America being designated.
Oki Electric Industry Co., Ltd.

AND WHEREAS of 7-12, Toranomom 1-chome, Minato-ku, Tokyo, Japan

hereinafter referred to as the assignee, is desirous of acquiring the entire right, title and interest in and to said
 application, including any and all divisions and continuations thereof, and in and to said invention and any and all

patents which may be granted therefor, including any and all renewals, reissues and prolongations thereof;

NOW THIS WITNESSETH, that for and in consideration of One Dollar (\$1.00), and other good and valuable
 consideration paid by said assignee to said assignor, the receipt of which is hereby acknowledged, said assignor
 hereby assigns, sells and transfers to said assignee, and said assignee's successors and assigns, the full and
 exclusive right, title and interest in and to said application, including any and all divisions and continuations thereof,
 and in and to said invention and any and all patents which may be granted therefor, including any and all renewals,
 reissues and prolongations thereof; said assignee, and said assignee's successors and assigns, to have, hold,
 exercise and enjoy the said application, including any and all divisions and continuations thereof, and the said
 invention and any and all patents which may be granted therefor, including any and all renewals, reissues and
 prolongations thereof, with all the rights, powers, privileges and advantages in anywise arising from or appertaining
 thereto, for and during the term or terms of any and all such patents when granted, including any and all renewals,
 reissues and prolongations thereof, for the use and benefit of said assignee, and said assignee's successors and
 assigns, in as ample and beneficial a manner as the said assignor might or could have held and enjoyed the same,
 if this assignment had not been made.

AND said assignor hereby agrees to perform, upon the request of said assignee, or said assignee's successors
 or assigns, any and all acts relating to the obtaining or to the asserting of said patents, including any and all
 renewals, reissues and prolongations thereof.

AND said assignor authorizes and requests the Commissioner of Patents and Trademarks to issue Letters
 Patent on said application, and on any and all divisions and continuations thereof,
 to said assignee, and said assignee's successors and assigns, in accordance herewith.

EXECUTED, this 14 day of August, 1999.

WITNESSES:

ASSIGNOR:

Signature: Takashi UshikuboSignature: Koji YamadaName: Takashi UshikuboName: Koji YamadaAddress: c/o Oki Electric Industry Co., Ltd.7-12, Toranomom 1-chome, Minato-ku, Tokyo, Japan

Signature: _____

Signature: _____

Name: _____

Name: _____

Address: _____

Signature: _____

Signature: _____

Name: _____

Name: _____

Address: _____

☐ Continued