

11-22-1999



U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

101205910

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Robert H. Holmes, III

Additional Name(s) attached: ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: Caterpillar, Inc.

Internal Address: Patent Department

Street Address:

100 N.E. Adams Street

City: Peoria

State: Illinois

ZIP: 61629-6490

3. Nature of Conveyance:

☒ Assignment

☐ Security Agreement

☐ Other

Execution Date(s): 11/10/99

Additional name(s) & address(es)

attached: ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is 11/10/99.

A. Patent Application No. (s)

Patent No. (s)

Additional numbers attached? ☐ Yes ☒ No

Title of Invention: Arrangement for Mounting a Cutting Head Assembly of a Delimbing Device on a Support Member

Attorney Docket No. 1002-0646

Caterpillar Docket No. 99-408

09/438042

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Bradford G. Addison

Magingor, Addison & Moore

Internal Address:

Street Address: Bank One Center/Tower

111 Monument Circle, Suite 3000

City: Indianapolis State: IN

Zip: 46204-5130

6. Total number of applications and patents involved

[1]

7. Total fee (37 CFR 3.41) \$40.00

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number: 03-1129

(If charged duplicate copy attached)

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Byron G. Buck II

Name of Person Signing

Signature

10 NOV 99

Date

Total number of pages comprising cover sheet, attachments, and document: [2]

Mail document to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks

Box Assignments

Washington, D.C. 20231

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from 317 6382139 - HOLMES MFG CORP

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11/10/99 WED 12:06 FAX 317 6382139

MAGINOT ADDISON & MOORE

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ASSIGNMENT

WHEREAS, Robert H. Holmes III, residing at Bonifay, Florida, hereinafter referred to as ASSIGNOR, has invented a new and useful

ARRANGMENT FOR MOUNTING A CUTTING HEAD ASSEMBLY
OF A DELINEING DEVICE ON A SUPPORT MEMBER

for which ASSIGNOR is about to file an international application and/or make application for Letters Patent in the United States of America, such invention being described and identified by said application having an attached International Application Request and/or an attached Declaration and Power of Attorney executed by ASSIGNOR on 11-10-99 and

WHEREAS, CATERPILLAR INC., a Delaware corporation having a place of business at Peoria, State of Illinois United States of America, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said invention and any Letters Patent granted thereon by the United States of America and any foreign country;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by ASSIGNOR from ASSIGNEE, the receipt of which is hereby acknowledged by ASSIGNOR:

1. ASSIGNOR hereby sells, assigns, transfers and conveys unto ASSIGNEE the entire right, title and interest in and to said invention, any application therefor, and any Letters Patent granted thereon by the United States of America and any foreign country, including any continuing application, reissue or extension thereof, together with the right to file applications and obtain patents for said invention in any foreign country, and covenant that ASSIGNOR has full right to do so.

2. At the request and expense of ASSIGNEE, ASSIGNOR agrees to communicate to ASSIGNEE any facts known respecting said invention, to execute all papers necessary to file any application on said invention necessary or desirable to aid ASSIGNEE in obtaining and enforcing proper protection for said invention in the United States of America and any foreign country.

3. ASSIGNOR hereby authorizes and requests the COMMISSIONER OF PATENTS AND TRADEMARKS to issue the United States Letters Patent on said invention, when granted, to CATERPILLAR INC.

4. This assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon ASSIGNOR, ASSIGNOR's heirs and legal representatives.

IN TESTIMONY WHEREOF, ASSIGNOR has executed this instrument.

Robert H. Holmes III
Robert H. Holmes III

11-10-99
Date

IN WITNESS OF the execution hereof by ROBERT H. HOLMES III we have hereunto set our hands.

Cynthia M. Holmes 11-10-99
Witness (1) (Date)

David H. White 11/10/99
Witness (2) (Date)

Address: RR1 Box 190
Bonifay FL 32025

Address: 544 Leving Dr.
Bellingham, FL 10490