

11-24-1999

Docket No.: B-13 NP

T U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office



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101210803

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Emilio Barbera-Guillem
M. Bud Nelson
Stephanie Castro

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: **08 November 1999**

2. Name and address of receiving party(ies):

Name: **BioCrystal Limited**

Internal Address: _____

Street Address: **575 McCorkle Boulevard**

City: **Westerville** State: **OH** ZIP: **43082**

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: **09 November 1999**

A. Patent Application No.(s)

B. Patent No.(s)

09/436159

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **M. Bud Nelson**

11/22/1999 MAIL ROOM 00000001 09436159

03 IC:581

40.00 OP

Street Address: **BioCrystal Limited**

575 McCorkle Boulevard

City: **Westerville,** State: **OH** ZIP: **43082**

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ **40.00**

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☐ Authorized to be charged to deposit account

8. Deposit account number:

500438

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

M. Bud Nelson

Name of Person Signing

M. Bud Nelson

Signature

08 November 1999

Date

Total number of pages including cover sheet, attachments, and document:

2

PATENT

REEL: 010390 FRAME: 0452

ASSIGNMENT

In consideration of One Dollar (\$1.00), and other good and valuable consideration, the receipt of which is hereby acknowledged, Emilio Barberá-Guillem, M. Bud Nelson, and Stephanie Castro, the undersigned


Hereby sells, assigns and transfers to **BioCrystal Ltd.**

a company of the State of Ohio, having a place of business at 575 McCorkle Boulevard, Westerville, Ohio, 43082-8888, its successors, assigns and legal representatives, the entire right, title and interest for the United States and all foreign countries, in and to any and all improvements which are disclosed in the application for United States Letters Patent, which has been executed by the undersigned concurrently herewith and is entitled: **Functionalized nanocrystals and their use in labeling for strand synthesis or sequence determination**, and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said improvements; and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said improvements;

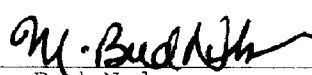
Agree that said Assignee may apply for and receive Letters Patent for said improvements in its own name; and that, when requested, without charge to or at the expense of said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said improvements; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns, and representatives, all facts known to the undersigned relating to said improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns and legal representatives; and

Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

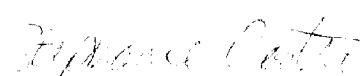
Date 1 November 1999


Emilio Barberá-Guillem

Date 8 November 1999


M. Bud Nelson

Date 8 November 1999


Stephanie Castro