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To the Honorable Commissioner of Patents and Trademarks: Pl

1. Name of conveying party(ies):

John J. Dougherty

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: November 1, 1999

2. Name and address of receiving party(ies)

Name: General Electric Company

Address: 1 River Road

Schectady, NY 12345

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/356,155

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Edward J. Ellis

Address: CANTOR COLBURN LLP

88 Day Hill Road

City: Windsor State: CT ZIP: 06095

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

06-1130

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Edward J. Ellis, 40,389

Name of Person Signing

Signature

November 12, 1999

Date

Total number of pages including cover sheet, attachments, and document: 2

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

PATENT  
REEL: 010391 FRAME: 0267

## ASSIGNMENT

In consideration of One Dollar and other good and valuable consideration, of which we acknowledge receipt, I, John J. Dougherty, of 1736 Morgan Lane, Collegeville, PA 19426, sell and assign to General Electric Company, a New York Corporation, its successors and assigns the entire right, title and interest in and to the improvements RESIDENTIAL CIRCUIT BREAKER WITH SELECTABLE CURRENT SETTING, LOAD CONTROL AND POWER LINE CARRIER SIGNALING invented by us, as described in the application for United States patent executed concurrently herewith, and any and all applications for patent and patents therefor in any and all countries, including all divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said improvements or resulting therefrom to said General Electric Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, we will communicate to said Company or its representatives or nominees any facts known to us respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said improvements in all countries.

The aforementioned Assignor John J. Dougherty of 1736 Morgan Lane, Collegeville, PA 19426, hereby declare that all statements made herein of our own knowledge are true and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signed at town of Plainville, State of Connecticut, this 15<sup>th</sup> day of November, 1999.

Witnessed by:

Reverie Panloto  
Witness Signature & Date

John J. Dougherty  
John J. Dougherty

Reverie Panloto  
Printed Name of Witness