

12-01 - 1999

torney Docket No. 19705-001

RECORDA



FORM PTO-1595

(Rev. 6-93)

OMB No. 0651-0011 (exp. 4/94)

101211446

DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Patricia Grasso, executed 11/09/99

Daniel W. Lee, executed 11/18/99

Matthew C. Lemung, executed 11/18/99

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: Albany Medical College

Internal Address: Research Administration

Street Address: 47 New Scotland Avenue (MC-1)  
Albany, NY 12208

3. Nature of conveyance:

☒ Assignment

☐ Security Agreement

☐ Other

☐ Merger

☐ Change of Name

Additional name(s) & address(es) attached? ☐ Yes ☒ No

Execution Date: see above

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is \_\_\_\_\_

A. Patent Application No(s)

U.S.S.N. 09/377,081, filed 08/19/99

B. Patent No(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence  
Concerning document should be mailed:

Name: Ivor R. Elrifi  
Address: MINTZ, LEVIN, COHN, FERRIS  
GLOVSKY and POPI O, P.C.  
One Financial Center  
Boston, MA 02111

6. Total number of applications and patents involved: [ 1 ]

7. Total fee (37 CFR 3.41)

\$40.00

☒ Enclosed

☐ The Commissioner is authorized to charge Deposit Account  
No: 50-0311, Reference No. \_\_\_\_\_

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9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Kristin E. Konzak, Reg. No. 44,848

November 19, 1999

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: [ 5 ]

Mail documents to be recorded with required cover sheet information to:

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TRADOC'S 1265800.1(R4P4011.DOC)

PATENT

REEL: 010399 FRAME: 0370

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11/01/1999 09377081

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**Express Mail Label No.:** EJ695371544US

**Date of Deposit:** 11/19/99

Attorney Docket No.: AMC-1  
(19705-001)

## **ASSIGNMENT OF INVENTION**

We, **Patricia Grasso, Daniel W. Lee, and Matthew C. Leinung**, the undersigned, have made the inventions and discoveries disclosed in an application for Letters Patent in the United States, entitled:

### **LEPTIN-RELATED PEPTIDES**

for which an application was filed on August 19, 1999, U.S. Serial Number 09/377,081, Attorney Docket No. AMC-1 (19705-001)

We, the undersigned, for good and valuable consideration, the receipt of which is hereby acknowledged, hereby have assigned, sold and transferred to and do hereby sell, assign and transfer to **Albany Medical College**, whose address is 47 New Scotland Avenue, Albany, NY 12208-3479, and its successors and assigns, all hereinafter referred to as the ASSIGNEE: (1) the entire right, title and interest in the United States and in all countries throughout the world in and to said inventions, discoveries and application, including any renewals, revivals, reissues, reexaminations, extensions, continuations and divisions thereof and any substitute applications therefor; (2) the full and complete right to file patent applications in the name of the ASSIGNEE, its designee, or in my/our names at the ASSIGNEE, or its designee's election, on the aforesaid inventions, discoveries and applications in all countries of the world; (3) the entire right, title and interest in and to any Letters Patent which may issue thereon in the United States or in any country, and any renewals, revivals, reissues, reexaminations and extensions thereof, and any patents of confirmation, registration and importation of the same; and (4) the entire right, title and interest in all Convention and Treaty Rights of all kinds thereon, including without limitation all rights of priority in any country of the world, in and to the above inventions, discoveries and applications.

We hereby authorize and request the competent authorities to grant and to issue any and all such Letters Patent in the United States and throughout the world to the ASSIGNEE of the entire right, title and interest therein, as fully and entirely as the same would have been held and enjoyed by me/us had this assignment, sale and transfer not been made.

We agree, at any time, upon the request of the ASSIGNEE, to execute and to deliver to the ASSIGNEE any additional applications for patents for said inventions and discoveries, or any part or parts thereof, and any applications for patents of confirmation, registration and importation based on any Letters Patent issuing on said inventions, discoveries, or applications and divisions, continuations, renewals, revivals, reissues, reexaminations and extensions thereof.

We further agree at any time to execute and to deliver upon request of the ASSIGNEE such additional documents, if any, as are necessary or desirable to secure patent protection on said inventions, discoveries and applications throughout all countries of the world, and otherwise to do the necessary to give full effect to and to perfect the rights of the ASSIGNEE under this

Assignment, including the execution, delivery and procurement of any and all further documents evidencing this assignment, transfer and sale as may be necessary or desirable.

I hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

I further covenant that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

Patricia Grasso  
Signature of Patricia Grasso

Nov. 9, 1999  
Date

IN WITNESS WHEREOF, I have hereunto set hand and seal

Toni M. Calordino  
Signature of Notary Public

TONI M. CALORDINO  
Notary Public, State of New York  
Qualified in Albany Co. No. 4964340  
Commission Expires April 02, 20 00

this 9<sup>th</sup> day of November, 1999  
Date of signing

Daniel W. Lee  
Signature of Daniel W. Lee

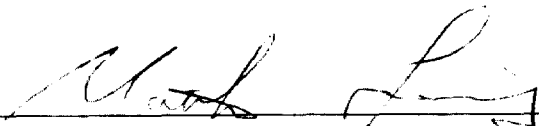
11/18/99  
Date

IN WITNESS WHEREOF, I have hereunto set hand and seal

Toni M. Calordino  
Signature of Notary Public

this 18<sup>th</sup> day of November 1999  
Date of signing

TONI M. CALORDINO  
Notary Public, State of New York  
Qualified in Albany Co. No. 4964340  
Commission Expires April 02, 20 00

  
\_\_\_\_\_  
Signature of Matthew C. Leinung

11/10/99  
\_\_\_\_\_  
Date

IN WITNESS WHEREOF, I have hereunto set hand and seal

  
\_\_\_\_\_  
Signature of Notary Public

this 18<sup>th</sup> day of November 1999  
\_\_\_\_\_  
Date of signing

**TONI M. CALORDINO**  
Notary Public, State of New York  
Qualified in Albany Co. No. 4964340  
Commission Expires April 02, 20 00

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(Assignment of Invention—page 3 of 3)

RECORDED: 11/19/1999

**PATENT**  
**REEL: 010399 FRAME: 0373**