

12-01-1999



101212009

IEET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

MJ-0730

Tab settings → → →

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1 Name of conveying party(ies):

BaoKang Yang
Sarah B. Martinez

Additional names(s) of conveying party(ies) attached? ☐ Yes ☒ No

3 Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: December 11, 1997

2. Name and address of receiving party(ies):

Name: Bristol-Myers Squibb Company

Internal Address:

Street Address: 345 Park Avenue

City: New York State: NY ZIP: 10154

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4 Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/355,170

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5 Name and address of party to whom correspondence concerning document should be mailed:

Name: John M. Kilcoyne

Internal Address:

Street Address: Bristol-Myers Squibb Company

100 Headquarters Park Drive

City: Skillman State: NJ ZIP: 08558

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41): \$ 40.00

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number:

02-3867

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copies are a true copy of the original document.

John M. Kilcoyne

Name of Person Signing

Signature

November 19, 1999

Total number of pages including cover sheet, attachments, and document:

3

PATENT

REEL: 010400 FRAME: 0745

09355170
00000074 02-3867
12/01/1999 10:11:11
01 FT:501


ASSIGNMENT

For good and valuable consideration received, including salary or payment for the making of inventions, or employee benefits, we do hereby assign to BRISTOL-MYERS SQUIBB COMPANY, a Delaware corporation having a principal place of business at 345 Park Avenue, in the City, County and State of New York, its successors and assigns, the entire title, including priority rights in and to all of our inventions and improvements disclosed in an application for Letters Patent for *Fortified Confectionery Delivery Systems and Methods of Preparation Thereof* which was executed on the date of signature shown below by:

Assignor(s): BaoKang Yang
 Sarah B. Martinez

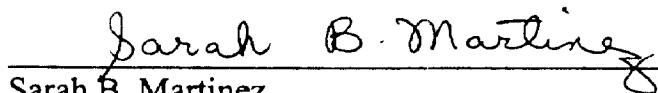
and filed in the United States Patent and Trademark Office on November 24, 1997, herewith, together with said application, and any divisional, continuation or reissue application thereof, applications corresponding thereto in whole or in part in all other countries, and title to Letters Patent and similar protective rights granted on said applications, as well as the right to claim any applicable priority rights arising from said applications under the terms of any applicable conventions, treaties, statutes or regulations, said applications to be filed and issued in the name of BRISTOL-MYERS SQUIBB COMPANY or its designee; and we agree to execute such documents as in the judgment of BRISTOL-MYERS SQUIBB COMPANY may be necessary to obtain any such patents and similar protective rights and maintain the title thereto in BRISTOL-MYERS SQUIBB COMPANY or its designee; and we further agree that, upon request, but without out-of-pocket expense to ourselves, we shall furnish to BRISTOL-MYERS SQUIBB COMPANY or its designee any data, information, exhibits, memoranda, or other evidence in our possession relating to any of said inventions or improvements and shall testify in any ex parte or inter partes legal or administrative proceedings relating thereto; and we authorize and request issuance of all Letters Patent and similar protective rights that may be granted on BRISTOL-MYERS SQUIBB COMPANY or its designee. This document shall be governed, construed and interpreted in all respects in accordance with the laws of the State of Indiana, USA.

Executed on this 11 day of December, 1997.



BaoKang Yang

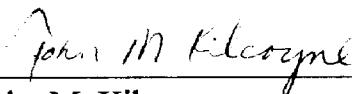
Executed on this 11th day of December, 1997.



Sarah B. Martinez

AFFIDAVIT

I, John M. Kilcoyne, of BRISTOL-MYERS SQUIBB COMPANY, do hereby swear by virtue of my signature below, that the attached is a true copy of the original document and that it is in the possession of BRISTOL-MYERS SQUIBB COMPANY.

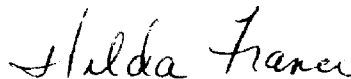


John M. Kilcoyne
Assistant Counsel-Patents

Dated: November 11, 1999

STATE OF NEW JERSEY)
 : SS.:
COUNTY OF SOMERSET)

Subscribed and sworn to before me this 11th day of November 1999.



Hilda Franco
Notary Public of New Jersey
My Commission Expires on January 20, 2000