

MKD 11/29/99



ASSI

12-01-1999



101211827

ER SHEET

To: Honorable Commissioner of Patents and Trademarks:

Please record the attached original document(s) or copy thereof.

1. Name of conveying party(ies)
 - a) Ronald E. Pelrine
 - b) Roy D. Kornbluh
 - c) Joseph S. Eckerle
2. Name and address of receiving party(ies):
 - a) Name: SRI International
 - Address: 333 Ravenswood Avenue
Menlo Park, CA 94025

3. Nature of conveyance

<input checked="" type="checkbox"/>	Assignment	<input type="checkbox"/>	Merger
<input type="checkbox"/>	Security Agreement	<input type="checkbox"/>	Change of Name
<input type="checkbox"/>	Other _____	<input type="checkbox"/>	License Agreement

Execution Date: Ronald E. Pelrine (September 24, 1999)
Roy D. Kornbluh (October 6, 1999)
Joseph S. Eckerle (October 4, 1999)

4. Application Number(s): 09/356,801

The title of the (new) application is:

ELASTOMERIC DIELECTRIC POLYMER FILM SONIC ACTUATOR

5. Please send all correspondence concerning this (these) documents to:

HICKMAN STEPHENS & COLEMAN, LLP
P.O. Box 52037
Palo Alto, CA 94303-0746
Tel. No.: (650) 470-7430
Fax No.: (650) 470-7440

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

- | | |
|-------------------------------------|--|
| <input checked="" type="checkbox"/> | Enclosed |
| <input type="checkbox"/> | Authorized to be charged to Deposit Account No. 50-0384
(Order No. <u>SR11P011A</u>) |

8. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Date: November 5, 1999

Brian R. Coleman
Registration No. 39,145

11/30/1999 1BERHE 00000090 09356801
03 FC:581

40.00 DP

Attorney Docket No. SRI1P011A

(Revised 01/96)

PATENT
REEL: 010400 FRAME: 0910

ASSIGNMENT OF PATENT APPLICATION

(Not Accompanying Application)

Whereas we the undersigned inventors have invented certain new and useful improvements as set forth in the patent application entitled:

ELASTOMERIC DIELECTRIC POLYMER FILM SONIC ACTUATOR

for which we have executed an application for a United States Letters Patent which was filed in the U.S. Patent and Trademark Office on July 19, 1999, and which bears the Application No. 09/356,801.

For good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, we the undersigned inventors hereby:

- 1) Sell(s), assign(s) and transfer(s) to **SRI International**, a California corporation having a place of business at 333 Ravenswood, Avenue, Menlo Park, CA 94025, (hereinafter referred to as ("ASSIGNEE")), the entire right title and interest in any and all improvements and inventions disclosed in, application(s) based upon, and Patent(s) (including foreign patents) granted upon the information which is disclosed in the above referenced application.
- 2) Authorize and request the Commissioner of Patents to issue any and all Letters Patents resulting from said application or any division(s), continuation(s), substitutes(s) or reissue(s) thereof to the ASSIGNEE.
- 3) Agree to execute all papers and documents and, entirely at the ASSIGNEE's expense, perform any acts which are reasonably necessary in connection with the prosecution of said application, as well as any derivative and applications thereof, foreign applications based thereon, and/or the enforcement of patents resulting from such applications.
- 4) Agree that the terms, covenants and conditions of this assignment shall inure to the benefit of the Assignee, its successors, assigns and other legal representative, and shall be binding upon the inventor(s), as well as the inventor's heirs, legal representatives and assigns.
- 5) Warrant and represent that we have not entered, and will not enter into any assignment, contract, or understanding that conflicts with this assignment.

Signed on the dates indicated beside our signatures.

- 1) Signature: Ronald E. Beltrine Date: 9/24/99
Typed Name: Ronald E. Beltrine
- 2) Signature: Roy D. Kornbluh Date: 6 OCT 99
Typed Name: Roy D. Kornbluh
- 3) Signature: Joseph S. Eckerle Date: 10/4/99
Typed Name: Joseph S. Eckerle

Atty. Docket No.: SRI1P011A

PATENT
REEL: 010400 FRAME: 0911

ALL PURPOSE ACKNOWLEDGMENT

STATE OF Colorado

COUNTY OF Boulder

On 9-24, 1999, before me, Coleen Ring,

personally appeared Ronald Pelrine,

personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument, and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

My Commission Expires 1/27/02
4770 Baseline Rd., Ste. 100
Boulder, CO 80303

Coleen R Ring
Notary Public

Kebisui/SRI Forms/Acknowl w-Witn for Assignment

ALL PURPOSE ACKNOWLEDGMENT

STATE OF California
COUNTY OF San Mateo

On October 6, 1999, before me, Kellyanne Y. Ebisui, Notary Public,
personally appeared Roy D. Kornbluh, ~~personally known to me (or~~ proved to me on the basis of
satisfactory evidence ~~to be the person(s) whose name(s) is/are~~ subscribed to the within
instrument, and acknowledged to me that ~~he/she/they~~ executed the same in ~~(his/her/their~~
authorized capacity ~~(ies)~~, and that by ~~his/her/their~~ signature ~~(s)~~ on the instrument the person ~~(s)~~, or
the entity upon behalf of which the person ~~(s)~~ acted, executed the instrument.



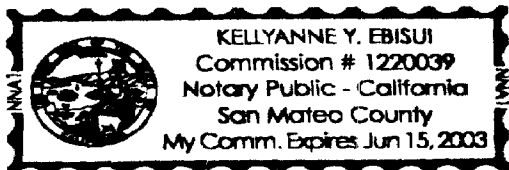
WITNESS my hand and official seal.

Kellyanne Y. Ebisui
Notary Public

ALL PURPOSE ACKNOWLEDGMENT

STATE OF California
COUNTY OF San Mateo

On October 4, 1999, before me, Kellyanne Y. Ebisui, Notary Public,
personally appeared Joseph S. Eckerle, ~~personally known to me (or~~ proved to me on the basis
of satisfactory evidence ~~to be the person(s) whose name(s) is/are~~ subscribed to the within
instrument, and acknowledged to me that ~~he/she/they~~ executed the same in ~~(his/her/their~~
authorized capacity ~~(ies)~~, and that by ~~his/her/their~~ signature ~~(s)~~ on the instrument the person ~~(s)~~, or
the entity upon behalf of which the person ~~(s)~~ acted, executed the instrument.



WITNESS my hand and official seal.

Kellyanne Y. Ebisui
Notary Public

SRI Forms/Acknowledgment for Assignment