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R SHEET

FORM PTO-1595 U.S.  
(Rev. 6-93)  
OMB No. 0651-0011 (exp. 4/94)



101209271

DEPARTMENT OF COMMERCE  
Patent and Trademark Office

To the Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
George Weiner and Arthur M. Krieg

2. Name and address of receiving party(ies)  
Name: University of Iowa Research Foundation

Additional name(s) of conveying party(ies) attached? [ ] Yes [x] No

Internal Address:

3. Nature of conveyance:  
[x] Assignment [ ] Merger  
[ ] Security Agreement [ ] Change of Name  
[ ] Other

Street Address: 214 Technology Innovation Center,  
Oakdale Research Campus  
Iowa City, Iowa 52242

Additional name(s) & addresses(es) attached? [ ] Yes [x] No

Execution Date: October 25, 1999 and November 11,  
1999, respectively

I hereby certify that this correspondence is being  
deposited with the United States Postal Service in  
first class mail in an envelope addressed to the  
Commissioner of Patents and Trademarks, Washington,  
D.C. 20231 on 12/21/1999

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is

A. Patent Application No.(s)  
09/286,098

B. Patent No.(s)

Additional numbers attached? [ ] Yes [x] No

5. Name and address of party to whom correspondence  
Concerning document should be mailed:

Name: Helen C. Lockhart, Esq.  
Address: WOLF, GREENFIELD & SACKS, P.C.  
Federal Reserve Plaza  
600 Atlantic Avenue  
Boston, MA 02210

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40.00

[x] Enclosed

[ ] Authorized to be charged to deposit account

The Commissioner is authorized to charge any fee deficiencies  
to Deposit Account No.: 23/2825.

8. Deposit Account No: 23/2825

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9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copies are true copy of the original document.

Helen C. Lockhart

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 5

Mail documents to be recorded with required cover sheet information to:  
Box Assignment, Commissioner of Patents and Trademarks,  
Washington, D.C. 20231

11/21/1999 VBRDMM 6651/70/271 40.00 JP

195:31 TO

**ASSIGNMENT**

In consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, I, the undersigned, George Weiner, hereby

Sell, assign and transfer to the University of Iowa Research Foundation, a corporation of Iowa having a place of business at 214 Technology Innovation Center, Oakdale Research Campus, Iowa City, Iowa, 52242, its successors, assigns and legal representatives, all hereinafter referred to as the Assignee, the entire right, title and interest for the United States and all foreign countries, in and to any and all inventions which are disclosed in the application for United States Letters Patent filed on April 2, 1999, assigned serial no. 09/286,098 and entitled **METHODS AND PRODUCTS FOR TUMOR IMMUNOTHERAPY**, and in and to said application and all divisional, continuing, substitute, renewal, reissue and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said inventions; and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said inventions including the right to apply for patent rights in each foreign country and all rights to priority.

Agree that said Assignee may apply for and receive Letters Patent for said inventions in its own name; and when requested, without charge to but at the expense of said Assignee, agree to carry out in good faith the intent and purpose of this assignment by executing all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said inventions, by executing all rightful oaths, assignments, powers of attorney and other papers, by communicating to said Assignee all facts known to me relating to said inventions and the history thereof, and generally by doing everything possible which said Assignee shall consider desirable for aiding in securing and maintaining proper patent protection for said inventions and for vesting title to said inventions and all applications for patents and all patents on said inventions, in said Assignee.

Hereby request the Honorable Commissioner of Patents and Trademarks to issue said Letters Patent to said Assignee.

Covenant with said Assignee that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by me, and that full right to convey the same as herein expressed is possessed by me.

10-25-99  
Date

George Weiner  
George Weiner

STATE OF Iowa :

COUNTY OF Johnson :

Subscribed and sworn to before me this 25 day of October, 1999.

SEAL

Linda L. Kass  
Notary Public

My Commission Expires 10-17-2002

C1039/7026

## ASSIGNMENT

In consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, I, the undersigned, Arthur M. Krieg, hereby

Sell, assign and transfer to the University of Iowa Research Foundation, a corporation of Iowa having a place of business at 214 Technology Innovation Center, Oakdale Research Campus, Iowa City, Iowa, 52242, its successors, assigns and legal representatives, all hereinafter referred to as the Assignee, the entire right, title and interest for the United States and all foreign countries, in and to any and all inventions which are disclosed in the application for United States Letters Patent filed on April 2, 1999, assigned serial no. 09/286,098 and entitled **METHODS AND PRODUCTS FOR TUMOR IMMUNOTHERAPY**, and in and to said application and all divisional, continuing, substitute, renewal, reissue and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said inventions; and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said inventions including the right to apply for patent rights in each foreign country and all rights to priority.

Agree that said Assignee may apply for and receive Letters Patent for said inventions in its own name; and when requested, without charge to but at the expense of said Assignee, agree to carry out in good faith the intent and purpose of this assignment by executing all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said inventions, by executing all rightful oaths, assignments, powers of attorney and other papers, by communicating to said Assignee all facts known to me relating to said inventions and the history thereof, and generally by doing everything possible which said Assignee shall consider desirable for aiding in securing and maintaining proper patent protection for said inventions and for vesting title to said inventions and all applications for patents and all patents on said inventions, in said Assignee.

Hereby request the Honorable Commissioner of Patents and Trademarks to issue said Letters Patent to said Assignee.

Covenant with said Assignee that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by me, and that full right to convey the same as herein expressed is possessed by me.

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