

12-02-1999

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MED 11/29/99

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TO THE ASSISTANT COMMISSIONER OF PATENTS AND TRADEMARKS:

SIR: PLEASE RECORD THE ATTACHED ORIGINAL DOCUMENTS OR COPY THEREOF.

1. NAME OF CONVEYING PARTY(IES) (ASSIGNORS(S)):

1. Guillaume Le Baut

3.

5.

7.

2.

4.

6.

8.

ADDITIONAL NAME(S) OF CONVEYING PARTY(IES) ATTACHED? ☐ YES ☒ NO

2. PARTY(IES) (ASSIGNEE(S)) RECEIVING INTEREST:

NAME: Asta Medica Aktiengesellschaft

ADDRESS: An der Pikardie 10, D-01277 Dresden, Germany

ADDITIONAL NAME(S) & ADDRESS(ES) ATTACHED? ☐ YES ☒ NO

3. NATURE OF CONVEYANCE (DOCUMENT):

(Submit herewith only one document for recordation—multiple copies of same Assignment signed by different inventors is one document)☒ ASSIGNMENT OF ☒ WHOLE ☐ PART INTEREST☐ ORIGINAL ☐ FACSIMILE/PHOTOCOPY☐ CHANGE OF NAME ☐ ACCURATE TRANSLATION☐ SECURITY ☐ MERGER ☐ OTHER:

EXEC. DATE: September 29, 1999

EXECUTION DATE(S) ON THE DECLARATION IF FILED HERewith: (NOTE: IF DATES ON DECLARATION AND ASSIGNMENT DIFFER SEE ATTY!)

4.5 APPL. NO.(S) OR PAT NO.(S). OTHERS ON ADDITIONAL SHEET(S) attached? ☐ YES ☐ NO

A. PAT. APP. NO.(S) series code/serial no	M#	1 <sup>st</sup> INVENTOR if not in item 1	B. PATENT NO(S)	M#	1 <sup>st</sup> INVENTOR if not in item 1
09/285,058	259955				

5. Name &amp; Address of Party to Whom Correspondence Concerning Document Should be Mailed:

**Pillsbury Madison & Sutro LLP**  
**Intellectual Property Group**  
 1100 NEW YORK AVENUE, N.W.  
 NINTH FLOOR, EAST TOWER  
 WASHINGTON, D.C. 20005-3918

6. NUMBER INVOLVED:

APPLNS 1 + PATS \_\_\_\_\_ = TOTAL 1

7. AMOUNT OF FEE ENCLOSED: (Code 581)

ABOVE TOTAL x \$40 = \$40

5.5 ATTY DKT:

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98/02 PH/DE

8. IF ABOVE FEE IS MISSING OR INADEQUATE CHARGE

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UNDER ORDER NO

11468

259955

MATTER NO.

CLIENT REF.

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CLIENT NO.

MATTER NO.

9. STATEMENT AND SIGNATURE.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Signature

Attorney: Ann S. Hobbs, Ph.D.

Reg. No. 36830

Date: November 29, 1999

Atty/Sec: ASH/maf

TEL: (202) 861-3063

FAX: (202) 822-0944

 10. Total number of pages including this  
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2

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11/30/1999 RTSEGAYE 00000059 09285058

03 FC:581

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PAT-114X 11/99

**PATENT**  
**REEL: 010401 FRAME: 0675**

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USA Patent Appln.  
Sole or Joint

For Inventions made outside USA

executed with or after application

Atty. Dkt.	PM259955	98/02 PH/DE
	M#	Client Ref.

NONPROVISIONAL

ASSIGNMENT  
OF NONPROVISIONAL APPLICATION

NONPROVISIONAL

In consideration of the sum of Ten Dollars (\$10.00) and other good and valuable consideration paid to each of the undersigned, to wit:

INSERT  
NAME(S) OF  
INVENTOR(S)

(1) Guillaume Le Baut	(2)
(3)	(4)
(5)	<input type="checkbox"/> x box if continued on page 2

the receipt and sufficiency of which are hereby acknowledged by the undersigned who at the request of, hereby sell(s), assign(s) and transfer(s) unto:

INSERT  
NAME(S) OF  
ASSIGNEE(S)  
& ADDRESS(ES)

Asta Medica Aktiengesellschaft  
An der Pikardie 10  
D-01277, Dresden  
Germany

(hereinafter designated "ASSIGNEE") his entire right, title and interest for the United States of America as defined in 35 U.S.C. 100, in the invention and all applications including any and all divisions, continuations, substitutes, and reissues thereof; and all resulting patents, known as

TITLE OF  
INVENTION

INDOLYL-3-GLYOXYLIC ACID DERIVATIVES HAVING ANTITUMOR ACTION

for which the undersigned executed an application for Letters Patents of the United States of America:

NOTE → →  
(Complete

line A, B and/or C)

(A) ☐ even date herewith

(B) ☐ on

(C) ☒ in U.S. Appln. No. 09/285,058

filed April 2, 1999

AND the undersigned hereby authorize(s) and request(s) the United States Commissioner of Patents and Trademarks to issue said Letters Patent to the said ASSIGNEE, for its interest as ASSIGNEE, its successors, assigns and legal representatives; the undersigned agree(s) that the attorney of record in said application shall hereinafter act on behalf of said ASSIGNEE;

AND the undersigned hereby agree(s) to testify and execute any papers for ASSIGNEE, its successors, assigns and legal representatives, deemed essential by ASSIGNEE to ASSIGNEE'S full protection and title in and to the invention hereby transferred.

NOTE → → The undersigned hereby authorize(s) Pillsbury Madison & Sutro LLP of the above address to insert hereon any further identification necessary or desirable for recordation of this document.

INVENTORS

1):  
Name: Guillaume Le Baut  
2)  
Name:  
3)  
Name:  
4) Name:  
Name:  
5) Name:  
Name:

DATE SIGNED

29/9/1999

WITNESSES

Pat. Comm.