

11-17-99

Express Mail No. EL404930957US

FORM PTO-1595  
(Rev. 6-93)

RECOI

12-02-1999

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

101212328

To the Honorable Commissioner of Patents,

and original document or copy thereof.

## 1. Name(s) of conveying party(ies):

- 1) RITA J. KLEIN
- 2)
- 3)
- 4)
- 5)
- 6)

Additional names of conveying parties attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger
- ☐ Security Agreement ☐ Change of Name
- ☐ Other \_\_\_\_\_

## Execution Dates:

- 1) 11/16/99 4) \_\_\_\_\_
- 2) \_\_\_\_\_ 5) \_\_\_\_\_
- 3) \_\_\_\_\_ 6) \_\_\_\_\_

## 2. Name and address of receiving party:

Name: MICRON TECHNOLOGY, INC.

Internal Address: \_\_\_\_\_

Street Address: 8000 SOUTH FEDERAL WAY

City: BOISE State IDAHO

Zip: 83706-9632

Additional names & addresses attached? ☐ Yes ☒ No

## 4. Application number(s) or registration number(s):

If this document is being filed together with a new application,  
the execution date of the application is NOVEMBER 17, 1999.

## A. Patent Application No(s).

12/02/1999 JSHADAZZ 00000024 500665 09441923

01 FC:581 40.00 CH

## B. Patent No(s).

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: PERKINS COIE LLP

Internal Address: JOHN M. WECHKIN

Street Address: 1201 Third Avenue, Suite 4800

City: SEATTLE State: WA ZIP: 98101-3099

## 6. Total number of applications and patents involved.....

1

7. Total Fee (37 CFR 3.41): \$40

☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number: 50-0665

DO NOT USE THIS SPACE

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

JOHN M. WECHKIN

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document:

3

EXPRESS MAIL NO. EL404930957US

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Rita J. Klein

Docket No.: 10829.8399US

Disclosure Number: 98-1180

Filed: Concurrently herewith

For: METHOD FOR PLANARIZING  
MICROELECTRONIC  
SUBSTRATES HAVING  
APERTURES

## ASSIGNMENT:

☒ Enclosed for recording☐ Previously recorded

Date: \_\_\_\_\_

Reel: \_\_\_\_\_

FOR GOOD AND VALUABLE CONSIDERATION, the receipt, sufficiency and adequacy of which are hereby acknowledged, the undersigned does hereby:

SELL, ASSIGN AND TRANSFER to Micron Technology, Inc. (the "Assignee"), a corporation of Delaware, having a place of business at 8000 South Federal Way, Boise, Idaho 83706-9632, the entire right, title and interest for the United States and all foreign countries, in and to any and all improvements which are disclosed in the application for United States Letters Patent, which has been executed by the undersigned concurrently herewith and is entitled "**METHOD FOR PLANARIZING MICROELECTRONIC SUBSTRATES HAVING APERTURES**"; such application and all divisional, continuing, substitute, renewal, reissue and all other applications for patent which have been or shall be filed in the United States and all foreign countries on any of such improvements; all original and reissued patents which have been or shall be issued in the United States and all foreign countries on such improvements; and specifically including the right to file foreign applications under the provisions of any convention or treaty and claim priority based on such application in the United States of America.

AUTHORIZE AND REQUEST the issuing authority to issue any and all United States and foreign patents granted on such improvements to the Assignee;

WARRANT AND COVENANT that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by the undersigned, and that the full right to convey the same as herein expressed is possessed by the undersigned;

COVENANT that, when requested and at the expense of the Assignee, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all such improvements; execute all rightful oaths, declarations, assignments, powers of attorney and other papers; communicate to the Assignee all facts known to the undersigned relating to such improvements and the history thereof; and generally do everything possible which the Assignee shall consider desirable for securing, maintaining and enforcing proper patent protection for such improvements and for vesting title to such improvements in the Assignee;

TO BE BINDING on the heirs, assigns, representatives and successors of the undersigned and extend to the successors, assigns and nominees of the Assignee.

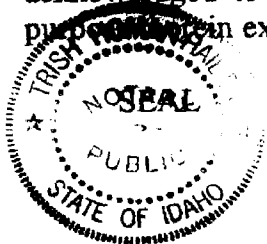
Rita Klein  
Rita J. Klein

Date: 11/16/99

STATE OF IDAHO )

County of Ada ) ss.

BEFORE ME, this 16th day of November, 1999, personally appeared the above-named individual, to me known to be the person who is described in and who executed the foregoing assignment instrument and acknowledged to me that she executed the same of her own free will for the purpose therein expressed.



Trish Roman-Valdez  
Notary or Consular Officer  
My Commission Expires 3-26-03