

12-02-1999

Substitute Form PTO-1595
Attorney Docket No.: 10417-007001
Client's Ref. No.: S21-119034M/HWm20
11.22.99

F



HEET

101212335

Jc676 U.S. PTO
09/444820
11/22/99

Assistant Commissioner for Patents: Please record the attached original document(s) or copy(ies).

1. Name of conveying party(ies):

Nobuyuki Sekikawa, Koichi Hirata, Wataru
Andoh, Noriyasu Katagiri andAdditional name(s) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

SANYO ELECTRIC CO., LTD.
2-5-5, Keihanondori
Moriguchi-shi, Osaka
JAPAN

3. Nature of conveyance:

- ☒
- Assignment
-
- ☐
- Merger
-
- ☐
- Security Agreement
-
- ☐
- Change of Name
-
- ☐
- Other

Execution Date: 11/17/1999

Additional names/addresses attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed with a new application, the execution date of the application is: 11/17/1999

A. Patent Application No(s):

B. Patent No(s):

09/444820

Additional numbers attached? ☐ Yes ☒ No

5. Name/address of party to whom correspondence concerning document should be mailed:

JOHN B. PEGRAM
Fish & Richardson P.C.
45 Rockefeller Plaza, Suite 2800
New York, NY 10111

6. Total number of applications/patents involved: 1

7. Total fee (37 CFR §3.41): \$40

- ☒
- Enclosed
-
- ☐
- Authorized to charge Deposit Account.

8. Deposit Account No.: 06-1050

Please apply any additional charges, or any credits, to our
Deposit Account No. 06-1050.

DO NOT USE THIS SPACE

9. Statement and Signature: *To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

John B. Pegram

Reg. No. 25198

Name of Person Signing

Signature

Date

Nov. 22, 1999

Total number of pages including coversheet, attachments and document:

30003019.doc

12/01/1999 TTON11 00000169 09444820

01 FC:581

40.00 DP

CERTIFICATE OF MAILING BY EXPRESS MAIL

Express Mail Label No. EE647183285US

I hereby certify under 37 CFR §1.10 that this correspondence is being deposited with the United States Postal Service as Express Mail Post Office to Addressee with sufficient postage on the date indicated below and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

NOVEMBER 22, 1999 Peter Consoli

Date of Deposit

Signature

PETER CONSOLI

Typed Name of Person Signing Certificate

PATENT
REEL: 010403 FRAME: 0785

ASSIGNMENT

For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the undersigned hereby assign(s), transfer(s) and set(s) over to:

SANYO ELECTRIC CO., LTD.

having the address: 2-5-5, Keihanondori, Moriguchi-shi, Osaka, Japan

(hereinafter designated as the "ASSIGNEE"), its successors and assigns, the entire right, title, and interest for the United States in the invention, and all applications for patent and any Letters Patent which may be granted therefor, including said application, and all United States Letters Patents which may be granted therefor, and all divisions, reissues, continuations and extensions thereof, the said interest being the entire ownership of said Letters Patent when granted to be held by said ASSIGNEE, its successors, assigns or their legal representatives, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been and enjoyed by Assignor(s) if this assignment had not been made, the application being known by the Title:

SEMICONDUCTOR DEVICE HAVING CAPACITANCE ELEMENT AND METHOD OF PRODUCING THE SAME

Attorney Docket No.

for which the undersigned has/have executed an application for patent in the United States of America on the same day herewith. Where this instrument is not filed concurrently with the application, the following identifying information may be added after execution:

Serial No.

Filing Date:

1. The undersigned hereby agree(s) to sign and execute any further documents and instruments which may be necessary, lawful and proper in the prosecution of said above-named application or in the preparation and prosecution of any continuing, continuation-in-part, substitute, divisional, renewal, reviewed or reissue application or in any amendments, extension of interference proceedings, or otherwise to secure the title thereto to the ASSIGNEE.

2. The undersigned agree(s) to execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.

3. The undersigned agree to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent to the ASSIGNEE.

4. The undersigned hereby authorize(s) and request(s) the Commissioner of Patents in the United States to issue any and all Letters Patents resulting from said application or any division or divisions or continuing applications thereof to the said ASSIGNEE.

5. The undersigned hereby grant(s) to the firm of Fish & Richardson the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United State Patent and Trademark Office for recordation of this document.

In witness whereof, executed by the undersigned on the date(s) opposite the undersigned name(s).

November 17, 1999
Date
N.S. 99/11/17
Nobuyuki Nobuyuki Sekikawa
Typed Name: Nobuyuki Sekikawa

November 17, 1999
Date
K.H. 99/11/17
Koichi Hirata
Typed Name: Koichi Hirata

November 17, 1999
Date
Wataru Andoh
Typed Name: Wataru Andoh

November 17, 1999
Date
Noriyasu Katagiri
Typed Name: Noriyasu Katagiri

This assignment may be signed before a U.S. Notary Public or before at least two witnesses who also sign here:

Date

Typed Name:

Date

Typed Name: