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PATENTS ONI

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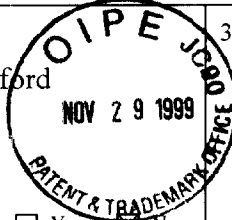
DEPT. OF COMMERCE
1 Trademark Office

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

2. Name of conveying party(ies):

Theodore M. Klein and John C. Sanford



3. Name and address of receiving party(ies)

Cornell Research Foundation, Inc.
20 Thornwood Drive
Suite 105
Ithaca, NY 14850Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ NoAdditional name(s) and address(es) attached? ☐ Yes ☒ No

4. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: September 29, 1999 and September 30, 1999

5. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/363,891; 09/079,440; 08/442,522;
08/319,352; 07/906,018; 07/711,585; 07/581,154;
07/205,155

B. Patent No.(s)

5,886,244

Additional numbers attached? ☐ Yes ☒ No

6. Name and address of party to whom correspondence concerning document should be mailed:

Michael E. Yates
Pioneer Hi-Bred International, Inc.
Corporate Intellectual Property
7100 N.W. 62nd Avenue
P.O. Box 1000
Johnston, Iowa 50131-10007. Total number of applications and patents involved: 98. Total fee (37 CFR 3.41) \$40.00

- ☐ Enclosed
☒ Authorized to be charged to deposit account

9. Deposit account number: 16-1852.

(Attach a duplicate copy of this page if paying by deposit account)

12/01/1999 JSHADAZZ-00000044 161852 09363891

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10. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Michael E. Yates
Name of Person Signing

Signature

November 23, 1999
Date
Total number of pages including cover sheet, attachments, and document: 3PATENT
REEL: 010404 FRAME: 0104

ASSIGNMENT

In consideration of One Dollar (\$1.00), and other good and valuable consideration, the receipt of which is hereby acknowledged, (I) (we) the undersigned,

Theodore M. Klein
2229 Rosewood Drive
Wilmington, Delaware 19810

Hereby sell, assign and transfer to:

CORNELL RESEARCH FOUNDATION, INC.

a corporation of The State of New York, having a place of business at 20 Thornwood Drive, Suite 105, Ithaca, New York 14850, its successors, assigns and legal representatives, the entire right, title and interest for the United States and all foreign countries, in and to any and all improvements which are disclosed in the application for United States Letters Patent and Patent Applications, USSN 09/363,891, filed 30 July 1999, which is a continuation of; USSN 09/079,440, filed 15 May 1998, now U.S. Patent 5,886,244, which is a continuation of; and USSN 08/442,522, filed 16 May 1995 and now allowed, which is a divisional of; USSN 08/319,352, filed 6 October 1994, now allowed, which is a continuation of USSN 07/906,018, filed 26 June 1992, now abandoned, which is a continuation of USSN 07/711,585, filed 6 June 1991, now abandoned, which is continuation of 07/581,154, filed 7 September 1990, now abandoned, which is a continuation of USSN 07/205,155, filed 10 June 1988, now abandoned, entitled

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and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said improvements; and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said improvements;

Agree that said Assignee may apply for and receive Letters Patent for said improvements in its own name; and that, when requested, without charge to but at the expense of said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this Assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said improvements, execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns, and representatives, all facts known to the undersigned relating to said improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns and legal representatives; and

Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Date: Sept. 29, 1999

Theodore M. Klein (L.S.)
Theodore M. Klein

ASSIGNMENT

In consideration of One Dollar (\$1.00), and other good and valuable consideration, the receipt of which is hereby acknowledged, (I) (we) the undersigned,

John C. Sanford
7160 Stone Hill Road
Levonía, New York 14487

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a corporation of The State of New York, having a place of business at 20 Thornwood Drive, Suite 105, Ithaca, New York 14850, its successors, assigns and legal representatives, the entire right, title and interest for the United States and all foreign countries, in and to any and all improvements which are disclosed in the application for United States Letters Patent and Patent Applications, USSN 09/363,891, filed 30 July 1999, which is a continuation of; USSN 09/079,440, filed 15 May 1998, now U.S. Patent 5,886,244, which is a continuation of; and USSN 08/442,522, filed 16 May 1995 and now allowed, which is a divisional of; USSN 08/319,352, filed 6 October 1994, now allowed, which is a continuation of USSN 077/906,018, filed 26 June 1992, now abandoned, which is a continuation of USSN 07/711,585, filed 6 June 1991, now abandoned, which is continuation of 07/581,154, filed 7 September 1990, now abandoned, which is a continuation of USSN 07/205,155, filed 10 June 1988, now abandoned, entitled

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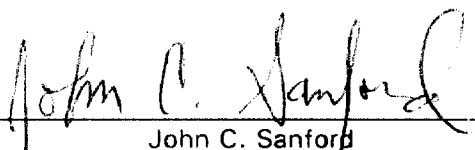
and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said improvements; and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said improvements;

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Date: _____

9/30/99



John C. Sanford (L.S.)