

12-03-1999

285/35677 Case 388

FORM PTO-1595

REC



U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

101213976

1-31-92

NOV 25 1999

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

ROWLAND W. KANNER, PAUL W. LOMBARD and JOSEPH M. AULT, JR.

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 2. Name and address of receiving party(ies):

Name: ATRION MEDICAL PRODUCTS, INC.Internal Address: 1426 Curt Francis Road, P.O. Box 564Arab, Alabama 35016Street Address: SAME AS ABOVE

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_

Additional name(s) and address(es) attached? ☒ Yes ☐ No

## 3. Nature of conveyance:

☒ Assignment ☐ Merger☐ Securing Agreement ☐ Change of Name☐ Other \_\_\_\_\_Execution Date: April 28, 1999

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

09/064,331 Filed: April 22, 1998

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: RAIFORD A. BLACKSTONE, JR., ESQ.Internal Address: TREXLER, BUSHNELL, GIANGIORGI  
& BLACKSTONE, LTD.  
105 West Adams Street  
Chicago, IL 60603Street Address: SAME AS ABOVE

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_

## 6. Total number of applications and patents involved:

1

## 7. Total fee (37 CFR 3.41)..... \$ 40.00

☒ Enclosed☒ Authorized to be charged to deposit account

## 8. Deposit account number:

20-1495

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

## 9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Linda L. Palomar

Name of Person Signing

[Signature]  
SignatureNovember 22, 1999

Date

Total number of pages including cover sheet, attachments, and document:

12/02/1999 DNGUYEN 00000139 09064331

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40.00 DP

TREXLER, BUSHNELL, GIANGIORGI &amp; BLACKSTONE, LTD. • 105 W. ADAMS ST. • CHICAGO, ILLINOIS 60603

PATENT

REEL: 010406 FRAME: 0507

Name and address of receiving party(ies)

Name: BAUSCH AND LOMB PHARMACEUTICALS, INC.

Internal Address: 8500 Hidden River Parkway

Tampa, Florida 33637

Street Address: SAME AS ABOVE

City: State: Zip:

MRD  
2-16-99



02-26-1999



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#3

285/35677 Case 388

FORM PTO-1595  
1-31-92

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PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

<p>1. Name of conveying party(ies)</p> <p>ROWLAND W. KANNER, PAUL W. LOMBARD and JOSEPH M. AULT, JR.</p> <p>Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<p>2. Name and address of receiving party(ies):</p> <p>Name: <u>ATRION MEDICAL PRODUCTS, INC.</u></p> <p>Internal Address: <u>1426 Curt Francis Road, P.O. Box 564</u></p> <p><u>Arab, Alabama 35016</u></p> <p>Street Address: <u>SAME AS ABOVE</u></p> <p>City: _____ State: _____ Zip: _____</p> <p>Additional name(s) and address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>
<p>3. Nature of conveyance:</p> <p><input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger</p> <p><input type="checkbox"/> Securing Agreement <input type="checkbox"/> Change of Name</p> <p><input type="checkbox"/> Other _____</p> <p>Execution Date: <u>April 28, 1999</u></p>	

NOV 2 5 1999

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)	B. Patent No.(s)
09/064,331	

Additional numbers attached? ☐ Yes ☒ No

<p>5. Name and address of party to whom correspondence concerning document should be mailed:</p> <p>Name: <u>RAIFORD A. BLACKSTONE, JR., ESQ.</u></p> <p>Internal Address <u>TREXLER, BUSHNELL, GIANGIORGI</u> <u>&amp; BLACKSTONE, LTD.</u> <u>105 West Adams Street</u> <u>Chicago, IL 60603</u></p> <p>Street Address: <u>SAME AS ABOVE</u></p> <p>City: _____ State: _____ Zip: _____</p>	<p>6. Total number of applications and patents involved:</p> <p style="text-align: right;">1</p> <p>7. Total fee (37 CFR 3.41)..... \$ <u>40.00</u></p> <p><input checked="" type="checkbox"/> Enclosed</p> <p><input checked="" type="checkbox"/> Authorized to be charged to deposit account</p> <p>8. Deposit account number:</p> <p><u>20-1495</u></p> <p>(Attach duplicate copy of this page if paying by deposit account)</p>
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07/18/1999 ANDHAMME 00000086 09064331

0 FC:381 90.00 DP

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

<u>Linda L. Palomar</u> Name of Person Signing	 Signature	<u>February 10, 1999</u> Date
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Total number of pages including cover sheet, attachments, and document: \_\_\_\_\_

5

TREXLER, BUSHNELL, GIANGIORGI & BLACKSTONE, LTD. • 105 W. ADAMS ST. • CHICAGO, ILLINOIS 60603 • (312) 704-1890

**ASSIGNMENT**

WHEREAS, we **Rowland W. Kanner** of 3600 Wyeth Drive, Guntersville, Alabama 35976, **Paul Wesley Lombard** of 2381 Villaret Drive, Huntsville, Alabama 35803 and **Joseph Murray Ault, Jr.** of 4 Wards Road, Blairstown, New Jersey 07825, respectively, (collectively "ASSIGNORS") have invented certain new and useful improvements in **NON-BUBBLE FORMING DROPPER TIP** for which we have executed an application for Letters Patent of the United States on the 22 day of April, 1998; and

WHEREAS, ATRION MEDICAL PRODUCTS, INC., a corporation organized and existing under the laws of the State of Delaware, and having its principal place of business at 1426 Curt Francis Road, P.O. Box 564, Arab, Alabama 35016 now desires to acquire an undivided one-half interest in said United States Patent Application, entitled NON-BUBBLE FORMING DROPPER TIP, the invention or inventions disclosed therein, and in any foreign application or patent corresponding to said international convention or treaty, and to any and all divisions, reissues, continuations, and extensions thereof;

WHEREAS, BAUSCH AND LOMB PHARMACEUTICALS, INC., a corporation having its principal place of business at 8500 Hidden River Parkway, Tampa, Florida 33637 desires to acquire an undivided one-half interest in the above-identified United States patent application and any corresponding foreign Letters Patent which result from said United States patent application.

NOW, THEREFORE, for and in consideration of One Dollar (\$1.00), the above noted premises, and other good and valuable considerations, the receipt of all which is hereby acknowledged, ASSIGNOR, by these presences, does hereby sell, assign, transfer, and set over unto ATRION MEDICAL PRODUCTS, INC. an undivided one-half interest in the right, title, and interest in and to said United States Patent Application, entitled NON-BUBBLE FORMING DROPPER TIP, the invention or inventions disclosed therein, and in any foreign application or patent corresponding to said international convention or treaty, and to any and all divisions, reissues, continuations, and extensions thereof, all of the same to be held and enjoyed by ATRION MEDICAL PRODUCTS, INC., its successors and assigns to the full end of any said patent term or terms; and

NOW, THEREFORE, for and in consideration of One Dollar (\$1.00), the above noted premises, and other good and valuable considerations, the receipt of all which is hereby acknowledged, ASSIGNOR, by these presences, does hereby sell, assign, transfer, and set over unto BAUSCH AND LOMB PHARMACEUTICALS, INC. an undivided one-half interest in the right, title, and interest in and to said United States Patent Application, entitled NON-BUBBLE FORMING DROPPER TIP, the invention or inventions disclosed therein, and in any foreign application or patent corresponding to said international convention or treaty,

and to any and all divisions, reissues, continuations, and extensions thereof, all of the same to be held and enjoyed by BAUSCH AND LOMB PHARMACEUTICALS, INC., its successors and assigns to the full end of any said patent term or terms.

Executed this 28<sup>th</sup> day of April, 1998, and  
4<sup>th</sup> day of May, 1998

Rowland W. Kanner

By: [Signature]

STATE OF Alabama )  
COUNTY OF Marshall )

SS

On this 28<sup>th</sup> day of April, 1998, before me personally appeared Rowland W. Kanner, to me known, who being by me duly sworn did depose and state that he is Rowland W. Kanner who executed the foregoing instrument.

SEAL

[Signature]

Notary Public

My Commission Expires 7-11-98.

Paul Wesley Lombard

By: Paul Wesley Lombard

STATE OF Alabama )  
COUNTY OF Marshall )

SS

On this 28<sup>th</sup> day of April, 1998, before me personally appeared Paul Wesley Lombard, to me known, who being by me duly sworn did depose and state that he is Paul Wesley Lombard who executed the foregoing instrument.

SEAL

[Signature]

Notary Public

My Commission Expires 7-11-98.

Joseph Murray Ault, Jr.

STATE OF New Jersey )  
 )  
COUNTY OF Union )

By:

SS

Joseph Murray Ault Jr.

On this 4th day of MAY, 1998, before me personally appeared Joseph Murray Ault, Jr., to me known, who being by me duly sworn did depose and state that he is Joseph Murray Ault, Jr. who executed the foregoing instrument.

SEAL

Antoinette Hopkins  
Notary Public

ANTOINETTE HOPKINS  
NOTARY PUBLIC OF NEW JERSEY  
MY COMMISSION EXPIRES FEB. 11, 2002

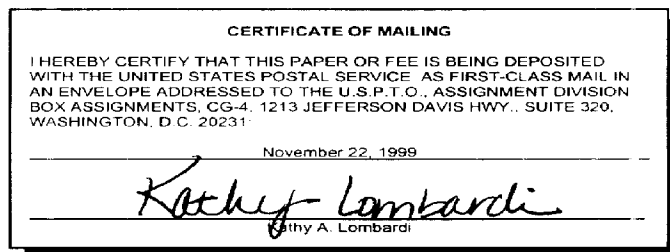
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NOV 26 1999

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Batch No.: EO2 )  
Serial No.: 09/064,331 )  
Filed: April 22, 1998 )  
For: NON-BUBBLE FORMING )  
DROPPER TIP )  
Applicant: KANNER et al. )  
Examiner: J. Kaufman )  
Art Unit: 3754 )  
Attorney Ref: 285/35677/388 )



**REQUEST FOR CORRECTION OF RECORDED ASSIGNMENT DATA**

U.S. Patent and Trademark Office  
Assignment Division  
Box Assignments  
CG-4  
1213 Jefferson Davis Hwy  
Suite 320  
Washington, D.C. 20231

Dear Sir:

Enclosed is:

1. The original Cover Sheet (executed February 10, 1999) and original Assignment from Rowland W. Kanner, Paul Wesley Lombard, and Joseph Murray Ault, Jr. to Atrion Medical Products, Inc. and Bausch and Lomb Pharmaceuticals, Inc.
2. The original Notice of Recordation of Assignment Document (having a recordation date of 2/16/99) received from the Patent Office.
3. A new Cover Sheet with corrections made.
4. A Check for \$40.00.

The Notice of Recordation of Assignment Document is incorrect as it only lists one of the Assignees. The incorrect naming of the Assignees was listed on the original Cover Sheet. The correct Assignees are Atrion Medical Products, Inc. And Bausch and Lomb Pharmaceuticals, Inc. (as in the Assignment), not only Atrion Medical Products, Inc. (as listed on the Notice of Recordation of Assignment document and the original Cover Sheet).

Correction is requested and a new Notice of Recordation of Assignment document reflecting the correct information is requested.

RECORDED: 11/26/1999

PATENT  
REEL: 010406 FRAME: 0513