Docket No.: Q00-1007-US1

FORM PTO-1595 (Modified) (Rev. 6-93) OMB No. 0651-0011 (exp.4/94)

12-03-1999



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U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

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Tab settings → → ▼	5237 <b>*</b> *
To the Honorable Commissioner of Pacific and Tradoman	to a record the attached original documents or copy thereof.
Name of conveying party(ies):     Maurice Schlumberger and Serge Shats	2. Name and address of receiving party(ies):  Name: Quantum Corporation  Address: 500 McCarthy Boulevard
Additional names(s) of conveying party(ies)	33 C. S
3. Nature of conveyance:	000 = 1
🖾 Assignment 🗆 Merger	
☐ Security Agreement ☐ Change of Name	City: Milpitas State/Prov.: CA
□ Other	Country: USA ZIP: 95035
Execution Date: 10/28/99	Additional name(s) & address(es) □ Yes ☒ No
Additional numbe	ers □ Yes 🄀 No
5. Name and address of party to whom correspondence	6. Total number of applications and patents involved:
concerning document should be mailed:  Name: Henry J. Groth	7. Total fee (37 CFR 3.41):\$ 40.00
Registration No. 39,696  Address: Quantum Corporation, Patent Department	Enclosed - Any excess or insufficiency should be credited or debited to deposit account
500 McCarthy Boulevard	Authorized to be charged to deposit account  8. Deposit account number:
City: Milpitas State/Prov.: CA	50-0283
Country: USA ZIP: 95035	
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	ormation is true and correct and any attached cope is a true copy  November 1 3999
Henry J. Groth	Signature Dec 2
Name of Person Signing  Total number of pages inclu	ding cover sheet, attachments, and

**PATENT REEL: 010406 FRAME: 0837** 

## PATENT ASSIGNMENT

WHEREAS We, MAURICE SCHLUMBERGER, a citizen of France, residing at 620 Olive St., Menlo Park, CA 94025, and SERGE SHATS, a citizen of Russia, residing at 670 San Antonio Road, Palo Alto, CA 94306 have invented improvements for a certain new and useful "METHOD AND APPARATUS FOR EXTENDING CACHE HISTORY", for which we are filing an application for Letters Patent to the United States Patent and Trademark Office, said application having been executed by us on \_\_\_\_\_\_; and

WHEREAS, QUANTUM CORPORATION, a corporation existing under the laws of the State of Delaware and doing business at 500 McCarthy Blvd., Milpitas, California 95035, is desirous of obtaining the entire right, title and interest in, to and under the said invention and the said patent application,

NOW THEREFORE, in consideration of the sum of One Dollar (\$1.00) to each of us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, We, MAURICE SCHLUMBERGER and SERGE SHATS, have sold, assigned, transferred, and set over, and by these presents do hereby sell, assign, transfer and set over unto the said QUANTUM CORPORATION, its successors, legal representatives and assign our entire right, title and interest in, to and under the said invention and the said application for Letters Patent executed on even date herewith, a copy of which as filed in the United States Patent and Trademark Office is contained in a file marked "Docket No. Q00-1007-US1" in the Corporate Legal Department of the said Quantum Corporation, any provisional applications upon which said application for Letters Patent is based upon, and all divisions, continuations and continuations-in-part thereof, and all Letters Patent of the United States which may be granted thereon and all applications for Letters Patent which may be granted for said invention in any country or countries foreign to the United States of America, and to all extensions, renewals and reissues thereof, and to all reexamination certificates issued thereon, and the right to claim priority under the International Convention for the Protection of Industrial Property; and, we hereby authorize and request the Commissioner of Patents and Trademarks of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said invention to the said QUANTUM CORPORATION, its successors, legal representatives and assigns, in accordance with this instrument.

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AND we hereby covenant that each of us has the full right to convey his entire interest herein assigned, and that each of us has not executed, and will not execute, any agreement in conflict herewith.

AND hereby further covenant and agree that each of us will communicate to the said QUANTUM CORPORATION, its successors, legal representatives and assigns, any facts known to him respecting said invention and said applications, and testify in any legal proceedings, execute all lawful papers, make all rightful oaths and generally do everything possible to aid the said QUANTUM CORPORATION, its successors, legal representatives and assigns to obtain and enforce proper patent protection for said invention in all countries.

IN TESTIMONY WHEREOF, the undersigned have hereunto set our hands and seals on the date set out adjacent to our name herein below.

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PATENT REEL: 010406 FRAME: 0839

Date: 10/28/99

State of California

County of Santa Clara

On 10/28/99 before me, Charlotte Webster undersigned Notary Public, personally appeared MAURICE SCHLUMBERGER being personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument. Witness my hand and seal.

CHARLOTTE WEBSTER Commission # 1115294 Notary Public - California Santa Clara County My Comm. Expires Nov 3, 2000 Millettl Millette Notary Public

ac - California iara County im. Expires Nov 3, 2000 SERGE SHATS

Date: 10/28/99

State of California

County of Santa Clara

On 1008 before me, Charlotte Wisser, the undersigned Notary Public, personally appeared SERGE SHATS being personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument. Witness my hand and seal.

Charlotte webs Tec Notary Public

