

12-03-1999

SHEET

U.S. DEPT. OF COMMERCE
Patent and Trademark Office

CS99-059

To the Honorable Commissioner of Patent



Y

101213845

Original documents or copy thereof.

1. Name of conveying party(ies):

JAMES YONG MENG LEE

Additional name(s) or conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance

- ☒ Assignment ☐ Merger
- ☐ Security Agreement ☐ Change of Name
- ☐ Other

Execution Date: 9/29/99

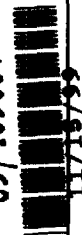
2. Name and address of receiving party(ies):

Name: Chartered Semiconductor Manufacturing Ltd.

Internal Address:

Street Address:

60 Woodlands Industrial Park D
Street 2
City: Singapore Zip: 738406
Country: Singapore

Additional name(s) & address(es) attached? Yes ☒ NoJCS42 U.S. PTO
09/439357

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 9/29/99

A. Patent Application No(s)

B. Patent No(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: GEORGE O. SAILE

Internal Address:

Street Address: 20 MCINTOSH DRIVECity POUGHKEEPSIE State NY ZIP 126036. Total no. of applications and patents involved: 17. Total fee (37 CFR 3.41): \$ 40.00☐ Enclosed☒ Authorized to be charged to deposit acct.8. Deposit account number: 19-0033

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief the foregoing information is true and correct and any attached copy is a true copy of the original document

STEPHEN B. ACKERMAN

Name of Person Signing

Signature

November 12, 1999

Date

12/03/1999 TTOM11 00000040 190033 09439357

01 FC:581

40.00 CH

Total number of pages comprising cover sheet: 3PATENT
REEL: 010407 FRAME: 0893

For ☒ U.S. and/or ☒ Foreign Rights

For ☒ Application or ☐ U.S. Patent

By ☒ Inventor(s) or ☐ Present Owner

ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNOR:

JAMES YONG MENG LEE
Type or print name of ASSIGNOR

BLK 103 JURONG EAST ST 13
Address

#12-208 SINGAPORE 600103

SINGAPOREAN
Nationality

ASSIGNEE:

Chartered Semiconductor Manufacturing Ltd.
60 Woodlands Industrial Park D
Street 2
Singapore 738406
Singapore
Nationality

and the successors assigns and legal representatives of the ASSIGNEE

(complete one of the following)

- ☒ the entire right, title and interest
- ☐ an undivided _____ percent (____%) interest for the United States and its territorial possessions
(check the following box if foreign rights are also to be assigned)
- ☒ and in all foreign countries

in and to any and all improvements which are disclosed in the invention entitled:

Improved Shallow Trench Isolation Process

and which is found in

- (a) ☒ U.S. patent application executed on even date herewith
(b) ☐ U.S. patent application executed on _____
(c) ☐ U.S. application serial no. _____ filed on _____
(d) ☐ U.S. patent no. _____ issued _____
(e) ☐ and any legal equivalent thereof in a foreign country, including the right to claim priority
(also check (e) if foreign application(s) is also being assigned)

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute, thereof, and as to letters patent any re-issue or re-examination thereof


ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I / We have signed this

29th September 1999 (Date of signing)

WARNING Date of signing must be the same as the date of execution of the application if item (a) was checked above



Signature of ASSIGNOR(S)

If ASSIGNOR is a legal entity complete the following information

Type or print the name of the above person authorized to sign on
behalf of ASSIGNOR

Title

Note No witnessing, notarization or legalization is necessary; if the assignment is notarized or legalized then it will only be prima facie evidence (35 USC 261) Use next page if notarization is desired

___Notarization of Legalization Page Added