

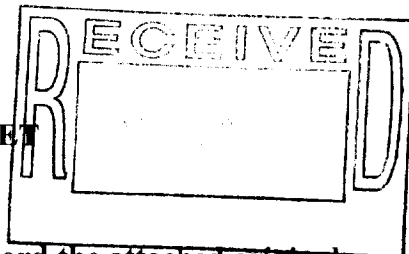
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2 SHEET



To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof:

<p>1. Name of conveying party(ies):</p> <p>Robert T. LaLonde, Frank D. Ramdayal, and Mianji Zhang</p>	<p>2. Name and address of receiving party(ies):</p> <p>The Research Foundation of State University of New York P.O. Box 9 Albany, New York 12201-0009</p>
<p>3. Nature of conveyance:</p> <p><input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other: _____</p> <p>Execution Date(s):</p> <p>1st (sole) Inventor: October 29, 1999 2nd Inventor: November 12, 1999 3rd Inventor: October 29, 1999 4th Inventor:</p>	
<p>4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date(s) of the application is(are):</p> <p>1st (sole) Inventor: 2nd Inventor: 3rd Inventor: 4th Inventor:</p> <p>A. Patent Application No.(s): 09/387,403</p> <p>B. Patent No.(s):</p>	

09/03/1999 09387403 00000006 00.00 DP
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5. Name and address of party to whom correspondence concerning document should be mailed:

**Michael L. Goldman, Esq.
NIXON PEABODY LLP
Clinton Square
P.O. Box 1051
Rochester, New York 14603**

6. Total number of applications and patents involved: **1**

7. Total fee (37 CFR 3.41): **\$40.00**

☐ Previously paid (see original transmittal)

☒ A check in the amount of **\$40.00** is enclosed.

8. Deposit Account Number: 14-1138

☐ Charge total fee to account.

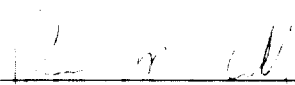
☒ Charge any additional fees to account.

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Date: 11/17/99


Dennis M. Connolly

[Total number of pages including cover sheet, attachments and document: **6**]


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Certificate of Mailing - 37 CFR 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner of Patents and Trademarks, Washington, D.C. 20231 on the date below.

11/17/99

Date


Jo Ann Whalen

PATENT

REEL: 010408 FRAME: 0537

ASSIGNMENT

WHEREAS, We, **Robert T. LaLonde**, a United States citizen, residing at 5001 Greenview Terrace, Syracuse, New York 13215, **Frank D. Ramdayal**, a United States citizen, residing at 140 W. 10th Street, Apt. 3FW, New York, New York 10014, and **Mianji Zhang**, a citizen of the Peoples Republic of China, residing at 338 Jamesville Avenue, Syracuse, New York 13210, have invented certain new and useful improvements in **FORMATION AND COMPOSITION OF NEW OPTICALLY ACTIVE COMPOUNDS** for which we have executed an application for Letters Patent in the United States, filed as U. S. Serial No. **09/387,403** on **September 2, 1999**;

AND WHEREAS, **The Research Foundation of State University of New York**, with its principal place of business at P.O. Box 9, Albany, New York 12201-0009, desires to acquire the entire right, title and interest in and to the said improvements and the said Application:

NOW, THEREFORE, for good and valuable consideration, including salary or payment for the making of inventions, or employee benefits, the receipt of which is hereby acknowledged, we, the said inventors, do hereby acknowledge that we have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said **The Research Foundation of State University of New York**, its successors, legal representatives and assigns, the entire right, title and interest throughout the world in, to and under the said improvements, and the said application and all divisions, renewals and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all rights of priority under International Conventions and applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and we hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said **The Research Foundation of State University of New York**, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we will communicate to the said **The Research Foundation of State University of New York**, its successors, legal representatives and assigns, any facts known to us respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the said **The Research Foundation of State University of New York**, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said improvements in all countries.

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 29 day of October, 1999.

Robert T. LaLonde
Robert T. LaLonde

STATE OF New York
COUNTY OF Madison SS:

This 29 day of October, 1999, before me personally came the above-named **Robert T. LaLonde**, to me personally known as the individual who executed the same of his/her own free will for the purposes therein set forth.

Barbara A. Hasenstab
Notary Public
Barbara A. Hasenstab
Notary Public, State of New York
Madison County, No. 0941400
My Commission expires November 1, 1999

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this ____ day
of _____, 1999.

Frank D. Ramdayal

STATE OF _____
COUNTY OF _____ SS:

This ____ day of _____, 1999, before me personally came the
above-named **Frank D. Ramdayal**, to me personally known as the individual who executed
the same of his/her own free will for the purposes therein set forth.

Notary Public

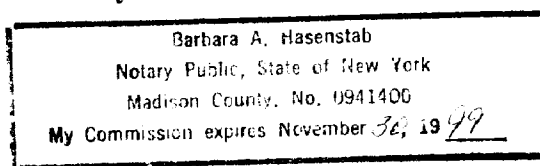
IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 29 day
of October, 1999.

Mianji Zhang
Mianji Zhang

STATE OF New York
COUNTY OF Onondago SS:

This 24 day of October, 1999, before me personally came the
above-named **Mianji Zhang**, to me personally known as the individual who executed the
same of his/her own free will for the purposes therein set forth.

Barbara A. Hasenstab
Notary Public



ASSIGNMENT

WHEREAS, We, **Robert T. LaLonde**, a United States citizen, residing at 5001 Greenview Terrace, Syracuse, New York 13215, **Frank D. Ramdayal**, a United States citizen, residing at 140 W. 10th Street, Apt. 3FW, New York, New York 10014, and **Mianji Zhang**, a citizen of the Peoples Republic of China, residing at 338 Jamesville Avenue, Syracuse, New York 13210, have invented certain new and useful improvements in **FORMATION AND COMPOSITION OF NEW OPTICALLY ACTIVE COMPOUNDS** for which we have executed an application for Letters Patent in the United States, filed as U. S. Serial No. **09/387,403** on **September 2, 1999**;

AND WHEREAS, **The Research Foundation of State University of New York**, with its principal place of business at P.O. Box 9, Albany, New York 12201-0009, desires to acquire the entire right, title and interest in and to the said improvements and the said Application:

NOW, THEREFORE, for good and valuable consideration, including salary or payment for the making of inventions, or employee benefits, the receipt of which is hereby acknowledged, we, the said inventors, do hereby acknowledge that we have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said **The Research Foundation of State University of New York**, its successors, legal representatives and assigns, the entire right, title and interest throughout the world in, to and under the said improvements, and the said application and all divisions, renewals and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all rights of priority under International Conventions and applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and we hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said **The Research Foundation of State University of New York**, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we will communicate to the said **The Research Foundation of State University of New York**, its successors, legal representatives and assigns, any facts known to us respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the said **The Research Foundation of State University of New York**, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said improvements in all countries.

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this ____ day of _____, 1999.

Robert T. LaLonde

STATE OF _____
COUNTY OF _____ SS:

This ____ day of _____, 1999, before me personally came the above-named **Robert T. LaLonde**, to me personally known as the individual who executed the same of his/her own free will for the purposes therein set forth.

Notary Public

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 12 day
of November, 1999.

Frank D. Ramdayal
Frank D. Ramdayal

STATE OF New York
COUNTY OF New York SS:

This 12 day of Nov, 1999, before me personally came the
above-named **Frank D. Ramdayal**, to me personally known as the individual who executed
the same of his/her own free will for the purposes therein set forth.

LILLIAN CAUCHI
Notary Public, State of New York
No. 01CA6033032
Qualified in New York County
Commission Expires November 8, 2001

Lillian Cauchi
Notary Public

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this ____ day
of _____, 1999.

Mianji Zhang

STATE OF _____
COUNTY OF _____ SS:

This ____ day of _____, 1999, before me personally came the
above-named **Mianji Zhang**, to me personally known as the individual who executed the
same of his/her own free will for the purposes therein set forth.

Notary Public