

12-06-1999

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OMB No. 0651-0011 (exp. 4/94)

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U.S. Department of Commerce
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101215370

MMO 11-22-99

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original document or copy thereof.

1. Name of conveying party(ies):

	<u>Date</u>
HARAUHIRU HARRY GOTO	10/12/99
JAU-AN WANG	10/13/99
JENNY T. TRAN	11/17/99

Additional name(s) of conveying party(ies) attached? Yes No

3. Nature of conveyance:

<input checked="" type="checkbox"/> Assignment	<input type="checkbox"/> Merger
<input type="checkbox"/> Security Agreement	<input type="checkbox"/> Change of Name
<input type="checkbox"/> Other	

Execution Date: _____

2. Name and address of receiving party(ies):

Name: APPLIED KOMATSU TECHNOLOGY, INC.

Internal Address: P.O. Box 450-A

Street Address: _____

City: Santa Clara State: CA Zip: 95052

Additional name(s) & address(es) attached? Yes No

4. Application number(s) or registration number(s):

If this document is being filed together with a new application, the execution date of the application is: _____ (Date of Filing)

A. Patent Application No.(s) 09/374,875 ON 08/16/99

B. Patent No.(s)

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Patent Counsel

Internal Address: APPLIED KOMATSU TECHNOLOGY, INC.

ATTN: LAWRENC EDELMAN

Street Address: P.O. Box 450-A

City: Santa Clara State: CA Zip: 95052

6. Total number of applications and patents involved: 1

7. Total fee (37 C.F.R. 3.41)----- \$ 40.00

Enclosed

Authorized to be charged to deposit account

50-1074

8. Deposit account number:

50-1074

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

ROBERT W. MULCAHY Reg No. 25,436
Name of Person Signing

[Signature]
Signature

11/17/99
Date

Total number of pages including cover sheet, attachments and document: 3

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J:\FORMS\272 (AUGUST 20, 1998)

PATENT
REEL: 010409 FRAME: 0769

ASSIGNMENT

A. The ASSIGNORS in this Assignment agreement are:

1. Haruhiro Harry Goto
2. Kai-An Wang
3. Jenny T. Tran

B. The ASSIGNEE in this Assignment agreement is:

Applied Komatsu Technology, Inc.
a Japanese corporation
No. 1, 7 ban, 2-chome
Nishishinjuku, Tokyo
Japan

C. The ASSIGNORS have jointly made a certain Invention for which each ASSIGNOR has executed, simultaneously with his/her execution of this Assignment agreement, an Application for United States Patent (hereinafter "Application") entitled:

"Etching Aluminum Over Refractory Metal with Successive Plasmas"

Attorney Docket no.: AM 3126

D. In consideration of good and valuable consideration, the receipt of which is acknowledged by ASSIGNORS, the ASSIGNORS hereby sell, assign, and transfer to ASSIGNEE the full and exclusive right, title and interest in and to: (a) said Application and said Invention; (b) all rights to apply for patents on said Invention in any and all countries pursuant to the International Convention for the Protection of Industrial Property or otherwise; (c) any and all Applications filed and any and all Patents granted on said Invention in any and all countries and groups of countries, including each and every Application filed and each and every Patent granted on any application which is a division, substitution, or continuation of said Application; and (d) each and every reissue or extension of any of said Patents.

E. ASSIGNORS agree to cooperate with ASSIGNEE to enable ASSIGNEE to enjoy to the fullest extent the right, title and interest to said Invention herein conveyed in any and all countries and groups of countries. Such cooperation by ASSIGNORS shall include prompt production of pertinent facts and documents, giving testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by ASSIGNEE (a) for perfecting in ASSIGNEE the right, title and interest herein conveyed; (b) for prosecuting any of said applications; (c) for filing and prosecuting substitute, divisional, continuing or additional applications covering said Invention; (d) for filing and prosecuting applications for reissuance of any of said Patents; (e) for interference or other priority proceedings involving said Invention; and (f) for legal proceedings involving said Invention and any application therefor and any Patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions.

F. The term and covenants of this agreement shall inure to the benefit of ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon ASSIGNORS, their respective heirs, legal representatives and assigns.

G. ASSIGNORS warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, the ASSIGNORS have executed this instrument on the dates indicated below.

1) October 13, 1999 Haruhiro Harry Goto
(Date) Haruhiro Harry Goto

2) 11/13/99 Kai-An Wang
(Date) Kai-An Wang

3) 11/17/99 Jenny T. Tran
(Date) Jenny T. Tran