

12-06-1999

OMB No. 0651-0011 (Rev. 4/94)

SHEET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Rec'd PCT/PTO 28 SEP 1999

Tab Setting

101214993

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof

1. Name of conveying party(ies):

Hanns J. Bueschelberger
Antonio Pla' IbanezAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ OtherExecution Date: September 20, 1999

2. Name and address of receiving party(ies)

Name: LITEF GmbH

Internal Address: _____

Street Address: Lorracher Str. 18,
D-79115 Freiburg im Breisgau
FEDERAL REPUBLIC OF GERMANY
City: _____ State: _____ ZIP: _____Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: Sept. 20, 1999

A. Patent Application No.(s)

(09/402184)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Elliott N. Kramsky, Esq.

Internal Address: _____

Street Address: 5850 Canoga Avenue

10/04/1999 MCLAYBRO 00000145 09402184

Suite 400

09 FC:581

40.00 00

City: Woodland Hill State: CA ZIP: 913676. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41).....\$ 40☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number: _____

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Elliott N. Kramsky

Name of Person Signing



Signature

Sept. 27, 1999

Date

Total number of pages including cover sheet, attachments, and document: 3

ASSIGNMENT

WHEREAS we, the undersigned Hanns J. Bueschelberger, of Tarodunumweg 95, D-79199 Kirchzarten, Federal Republic of Germany, and the undersigned Antonio Pla' Ibanez of Oltmannstr. 20, D - 79100 Merzhausen, Federal Republic of Germany, have invented a new and useful OPTICAL WAVEGUIDE CONNECTION FOR AN OPTICAL RECEIVER, an application for United States Letters Patent (pursuant to the U.S. national stage of International patent application PCT/EP99/00059) for which is attached hereto;

WHEREAS we verily believe ourselves to be the original, first and sole inventors of the invention set forth in said application for Letters Patent and represent that we have not conveyed any right or interest therein; and

WHEREAS LITEF GmbH, a corporation of the Federal Republic of Germany having a principal place of business at Lorracher Str. 18, D-79115 Freiburg im Breisgau, Federal Republic of Germany (hereinafter referred to as "LITEF") as our employer laid unlimited claim to said invention pursuant to the Law of Inventions of Employees of 1957, transferring by operation of law to LITEF the entire and exclusive right, title and interest in and to said invention, any and all patent applications which may be filed thereon, and any and all Letters Patent which may be granted or issued therefor in the United States, including any and all divisions, continuations, reissues and extensions of any of the foregoing;

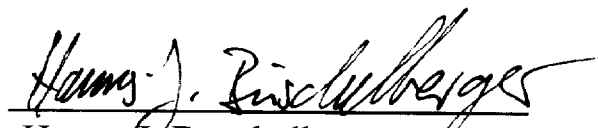
NOW, THEREFORE, in consideration of the obligations assumed by us when LITEF laid unlimited claim to said invention, we do hereby assign the entire and exclusive right, title and interest in and to said invention, applications and Letters Patent which may be granted or issued for said invention in the United States, including all divisions, continuations, reissues and extensions thereof, effective as of the date LITEF laid claim to said invention, and we hereby authorize the Commissioner of Patents and Trademarks of the United States to issue all Letters Patent relating to the foregoing assigned rights to LITEF, its successors and assigns

We agree that we will at all times keep LITEF advised of our whereabouts, and that we will promptly, upon request but at no out-of-pocket cost or expense to ourselves do all lawful acts, including the execution of all necessary documents and the giving of testimony that, in the opinion of LITEF, its successors and assigns, may be necessary or desirable for obtaining, sustaining, reissuing and renewing United States Letters Patent for said invention, and for perfecting, affirming, recording and maintaining the title to LITEF, its successors and assigns thereto, and that we will generally cooperate with LITEF to the fullest extent in all matters pertaining to said invention and patents. and LITEF's title thereto.

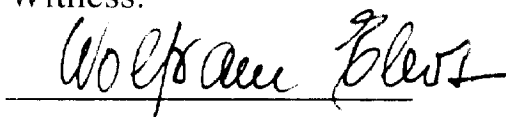
Any terms of this ASSIGNMENT which may be contrary to the terms of the Law of Inventions of Employees of 1957 are deemed to be amended so as to conform to this Law.

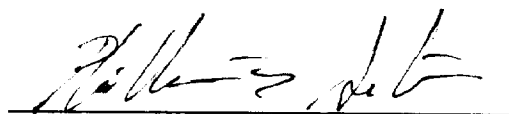
IN WITNESS WHEREOF, we have executed this instrument at

Freiburg, this 20 day of September 1999.

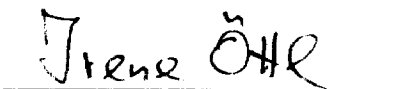

Hanns J. Büschelberger

Witness:


Wolfgang Blesl


Antonio Pla' Ibanez

Witness:


Irene Öhr