

RECORDATION

FORM COVER SHEET

09/402913

12-06-1999

Docket No. 990644/LH

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10-13-99



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S ONLY. Rec'd PCT/PTO 13 OCT 1999

To the Honorable Com.

Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Hiroyuki ANDO
Isamu MATSUMOTO
Akira YAMAMOTO
Yoshihiro ISHIHARA

2. Name and address of receiving party(ies):

- (1) KOMATSU INDUSTRIES CORPORATION
3-6, Akasaka 2-chome, Minato-ku,
Tokyo 107-0052, Japan
- (2) NICHIDAI CORPORATION
13, Kitamachida, Takigi, Kyotanabe-shi,
Kyoto 610-0341, Japan

3. Nature of conveyance: - ASSIGNMENT

09,402913

Execution Date(s): October 8, 1999 (all four inventors), _____, _____, _____, _____, respectively.

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is as follows:

October 8, 1999 (all four inventors), _____, _____, _____, _____, respectively.

A. Patent Application No. (s)

B. Patent No.(s)

5. Name and address of party to whom correspondence concerning document should be mailed:

FRISHAUF, HOLTZ, GOODMAN, LANGER & CHICK, P.C.
767 Third Avenue, 25th Floor
New York, New York 10017-2023

6. Total number of applications and patents involved: one7. Total fee (37 CFR 3.41): \$ 40.00

☒ Amount included with filing fee attached hereto.

☐ Check enclosed.

8. To the extent not tendered by check, authorization is given to charge the Assignment Fee (37 CFR.341) to Account No. 06-1378.

9. Statement and signature:

To the best of my knowledge and belief the foregoing information is true and correct and any attached copy is a true copy of the original document.

Dated: October 13, 1999

By: Leonard Holtz, Reg. No. 22,974

Express Mail® Mailing Label No. EL 495 833 258 USDate of Deposit: October 13, 1999

I hereby certify that this paper and enclosed application and fee are being deposited with the United States Postal Service "Express Mail Post Office Addresses" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patent and Trademarks, Washington, D.C. 20231.

Barbara Villani
Barbara Villani

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Total number of pages comprising cover sheet: 2

PATENT
REEL: 010411 FRAME: 0134

ASSIGNMENT

In consideration of value received, I, having a residence and post office address as stated below next to my name, the sole inventor (if only one name is listed below) or a joint inventor (if plural inventors are listed below) of an invention described in an application for United States patent entitled:

FORGING DIE DEVICE AND UPSET FORGING METHOD

sell and assign to **KOMATSU INDUSTRIES CORPORATION and NICHIDAI CORPORATION**

a corporation of **Japan**

having a business address at **3-6, Akasaka 2-chome, Minato-ku, Tokyo 107-0052, Japan** and **13, Kitamachida, Takigi, Kyotanabe-shi, Kyoto 610-0341, Japan**, respectively its successors, assigns or nominees, hereinafter referred to as "Assignee", my entire right, title and interest in and to said invention as disclosed, shown and described in said application for United States patent executed concurrently herewith;

corresponding to international application number **PCT/JP98/01858** filed **April 22, 1998**,

and in and to all applications for patent and patents for said invention, in all countries of the world, including all divisions, reissues, continuations, substitutions and extensions thereof and all rights arising under or pursuant to any and all international agreements, treaties or laws relating to the protection of industrial property, including rights of priority, resulting from the filing of any of said applications; and I authorize and request any official whose duty it is to issue patents, to issue any patent on said invention or resulting therefrom to said Assignee, and I agree that on request and without further consideration, but at the expense of said Assignee, I will communicate to said Assignee or its representatives all facts known to me respecting said invention and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue, or other applications, make all rightful oaths and declarations, and generally do everything possible to aid said Assignee to obtain and enforce proper patent protection for said invention in all countries.

I hereby grant to any attorney member of the following law firm the power to insert on this Assignment the Serial number and filing date of said application when known.

SERIAL NO.:

FILING DATE:

Frishauf, Holtz, Goodman, Langer & Chick, P.C., 767 Third Avenue - 25th Floor, New York, N.Y. 10017-2023.

INVENTOR: SIGNATURE**DATE****ADDRESS**

Sign: <i>[Signature]</i>	Date: Oct. 8, 1999	Address: c/o Komatsu Factory of KOMATSU INDUSTRIES CORPORATION, 5, Jikata, Yokaichi-machi, Komatsu-shi, Ishikawa 923-0868, Japan
Type: Hiroyuki ANDO	Witness: <i>[Signature]</i>	
Sign: Isamu MATSUMOTO	Date: Oct. 8, 1999	Address: c/o Komatsu Factory of KOMATSU INDUSTRIES CORPORATION, 5, Jikata, Yokaichi-machi, Komatsu-shi, Ishikawa 923-0868, Japan
Type: Isamu MATSUMOTO	Witness: <i>[Signature]</i>	
Sign: <i>[Signature]</i>	Date: Oct. 8, 1999	Address: Room 501, Exel Heights Shintanabe, 12-1, Kitaguchi, Kawara, Kyotanabe-shi, Kyoto 610-0361, Japan
Type: Akira YAMAMOTO	Witness: <i>[Signature]</i>	
Sign: Yoshihiro ISHIHARA	Date: Oct. 8, 1999	Address: 6-18, Koaza Kamigaito, Oaza Hirao, Yamashiro-cho, Soraku-gun, Kyoto 619-0202, Japan
Type: Yoshihiro ISHIHARA	Witness: <i>[Signature]</i>	

NOTES: MUST BE DATED.**WITNESS DESIRABLE.****LEGALIZATION NOT REQUIRED.****RECORDING OFFICER:****After recording, please return to:**

FRISHAUF, HOLTZ, GOODMAN, LANGER & CHICK, P.C.
767 Third Avenue - 25th Floor, New York, N.Y. 10017-2023
Tel. No.: (212) 319-4900 Fax No.: (212) 319-5101

RECORDED: 10/13/1999

PATENT
REEL: 010411 FRAME: 0135