

12-06-1999

BOX ASSIGNMENTS

DO NOT USE FOR TRADEMARKS

ET
NLY

101215510

TO THE ASSISTANT COMMISSIONER OF PATENTS AND TRADEMARKS:
SIR: PLEASE RECORD THE ATTACHED ORIGINAL DOCUMENTS OR COPY THEREOF.

1. NAME OF CONVEYING PARTY(IES) (ASSIGNORS(S)):

1. Rainer GEWALD

2. Gunter LABAN

3.

4.

5.

6.

7.

8.

ADDITIONAL NAME(S) OF CONVEYING PARTY(IES) ATTACHED? ☐ YES ☒ NO

2. PARTY(IES) (ASSIGNEE(S)) RECEIVING INTEREST:

NAME: ASTA Medica Aktiengesellschaft

ADDRESS: An der Pikardie 10
D-01277 Dresden
GERMANY

ADDITIONAL NAME(S) & ADDRESS(ES) ATTACHED? ☐ YES ☒ NO

3. NATURE OF CONVEYANCE (DOCUMENT):

(Submit herewith only one document for recordation—multiple copies of same Assignment signed by different inventors is one document)☒ ASSIGNMENT OF☒ WHOLE☐ PART INTEREST☐ ORIGINAL☐ FACSIMILE/PHOTOCOPY☐ CHANGE OF NAME☐ ACCURATE TRANSLATION☐ SECURITY☐ MERGER☐ OTHER:

EXEC. DATE: February 18, 1998 and February 23, 1998

EXECUTION DATE(S) ON THE DECLARATION IF FILED HERewith: (NOTE: IF DATES ON DECLARATION AND ASSIGNMENT DIFFER SEE ATTY!) February 18, 1998 and February 23, 1998

4.5 APPL. NO.(S) OR PAT NO.(S). OTHERS ON ADDITIONAL SHEET(S) attached? ☐ YES ☒ NOA. PAT. APP. NO.(S)
series code/serial
no

M#

1st INVENTOR
if not in item 1

B. PATENT NO(S)

M#

1st INVENTOR
if not in item 1

New Application

251624

5. Name & Address of Party to Whom Correspondence
Concerning Document Should be Mailed:

Pillsbury Madison & Sutro LLP
Intellectual Property Group
1100 NEW YORK AVENUE, N.W.
NINTH FLOOR, EAST TOWER
WASHINGTON, D.C. 20005-3918

6. NUMBER INVOLVED:

APPLNS 1 + PATS 0 = TOTAL

1

7. AMOUNT OF FEE ENCLOSED: (Code 581)
ABOVE TOTAL x \$40 = \$40

5.5 ATTY DKT:

PMS 241624

96/18 PH

8. IF ABOVE FEE IS MISSING OR INADEQUATE CHARGE
INSUFFICIENCY TO DEPOSIT ACCOUNT NUMBER: 03-3975

UNDER ORDER NO

11468

241624

MATTER NO.

CLIENT REF.

dup. sheet not required

CLIENT NO.

MATTER NO.

9. STATEMENT AND SIGNATURE.

12/01/1999 SEARCHED 00000000 09/13/02
02 FC:581
I, the undersigned, being duly sworn, depose and believe, the foregoing information is true and correct and any attached copy is a true copy of the original document. 40.00 OP

Signature

Attorney: Ann S. Hobbs

Reg. No. 36830

Atty/Sec: ASH/slb

TEL: (202) 861-3063

Date: November 18, 1999

FAX: (202) 822-0944

10. Total number of pages including this
cover sheet, attachments and document
(do not file dup. Cover sheet)

2

FILE WITH PTO RETURN RECEIPT (PAT-103A)

PATENT
REEL: 010411 FRAME: 0430

PAT-114X 11/99

NONPROVISIONAL

ASSIGNMENT
OF NONPROVISIONAL APPLICATION

NONPROVISIONAL

For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged,
undersigned, to wit:

INSERT
NAME(S) OF
INVENTOR(S)

(1) Rainer GEWALD	(4)
(2) Gunter LABAN	(5)
(3)	[] x box if continued on page 2

who at the request of, hereby sell(s), assign(s) and transfer(s) unto:

INSERT
NAME(S) OF
ASSIGNEE(S)
& ADDRESS(ES)

ASTA Medica Aktiengesellschaft

An der Pikardie 10

D-01277 Dresden

GERMANY

(hereinafter designated "ASSIGNEE") the entire right, title and interest for the United States of America as defined in 35 U.S.C. 100, in the invention and all applications including any and all divisions, continuations, substitutes, and reissues thereof, and all resulting patents, known as

TITLE OF
INVENTION

PROCESS FOR THE PREPARATION OF ENANTIOMERICALLY PURE 3-HYDROXYOCTANEDIOIC ACID DIESTERS BY ASYMMETRIC CATALYTIC HYDROGENATION

for which the undersigned executed an application for Letters Patent of the United States of America:

NOTE →→→
(Complete
line A, B and/or C)

(A) ☒ even data herewith

(B) ☐ on

(C) ☐ in U.S. Appln. No. / filed

AND the undersigned hereby authorize(s) and request(s) the United States Commissioner of Patents and Trademarks to issue said Letters Patent to the said ASSIGNEE, for its interest as ASSIGNEE, its successors, assigns and legal representatives; the undersigned agree(s) that the attorney of record in said application shall hereinafter act on behalf of said ASSIGNEE;

AND the undersigned hereby agree(s) to testify and execute any papers for ASSIGNEE, its successors, assigns and legal representatives, deemed essential by ASSIGNEE to ASSIGNEE'S full protection and title in and to the invention hereby transferred.

NOTE →→→ The undersigned hereby authorize(s) Cushman Darby & Cushman Intellectual Property Group of Pillsbury Madison & Suto, of the above address to insert hereon any further identification necessary or desirable for recordation of this document.

INVENTOR(S)

DATE SIGNED

WITNESSES

1) Rainer Gewald
Name: Rainer GEWALD

Feb 18th, 1998

Uwe Sander
Dr. Uwe Sander

2) Gunter Laban
Name: Gunter LABAN

Feb 23rd, 1998

Uwe Sander
Dr. Uwe Sander

3) _____
Name: _____

4) _____
Name: _____

5) _____
Name: _____

IF ADDITIONAL INVENTORS, check box ☐ and continue on page 2.