

12-07-1999

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Our Ref.: 620-54

Commissioner of Patents and Trademarks  
Box Assignment, Washington, D.C. 20231

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

<p>1. Name of conveying party(ies): Nicholas Barrie LA THANGUE Susana DE LA LUNA</p> <p>Additional name/s of conveying party/ies attached? <input type="checkbox"/></p> <p>2. Nature of conveyance: <input checked="" type="checkbox"/> Assignment      <input type="checkbox"/> Merger <input type="checkbox"/> Security Assignment      <input type="checkbox"/> Change of Name <input type="checkbox"/> Other</p> <p>Execution Date: 10/1/99 &amp; 9/28/99</p>	<p>2. Name and address of receiving party(ies):</p> <p>Name: <u>PROLIFIX LIMITED</u> Internal Address: _____ Street Address: <u>91 Milton Park, Abingdon</u> _____ City: <u>Abingdon, Oxon</u> State/Country: <u>United Kingdom</u> Zip: <u>OX14 4RY</u></p> <p>Additional name/s &amp; address/es attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>
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4. Application number(s) or patent number(s):  
If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

<p>A. Patent Application No(s). (1) 09/189,627 (2) _____ (3) _____</p>	<p>B. Patent No(s). (1) _____ (2) _____ (3) _____</p>
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Additional numbers attached ☐ Yes ☒ No

<p>5. Name and address of party to whom correspondence concerning document should be mailed:</p> <p>Name: <u>B.J. Sadoff</u> Internal Address: _____ Street Address: <u>Nixon &amp; Vanderhye P.C.</u> <u>1100 North Glebe Road</u> <u>8<sup>th</sup> Floor</u> City: <u>Arlington</u> State: <u>VA</u> Zip: <u>22201</u></p>	<p>6. Total number of applications &amp; patents involved: <u>1</u></p> <p>7. Total fee (37 CFR 3.41) \$ <u>40.00</u> <input checked="" type="checkbox"/> Enclosed <input type="checkbox"/> Authorized to be charged to deposit account #14-1140</p> <p>8. The Commissioner is hereby authorized to charge any <u>deficiency</u> in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper thereafter filed in this application by this firm) to our <b>Account No. 14-1140.</b></p>
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DO NOT USE THIS SPACE

9. Statements and signature.  
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

<p><u>B.J. Sadoff</u> Name of Person Signing Reg. No. 36.663</p>	<p><u>[Signature]</u> Signature</p>	<p><u>November 22, 1999</u> Date</p>
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Total number of pages including **original** cover sheet, attachments, and document: [3]

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## ASSIGNMENT OF US PATENT APPLICATION

WHEREAS,

(1) \* **LA THANGUE, Nicholas Barrie** of Institute of Biomedical and Life Sciences,  
Davidson Building, University of Glasgow, Glasgow G12 8QQ

(2) \* **DE LA LUNA, Susana** of \* *Institute de Recerca Oncologica,*  
*Hospital d'en i Regnals Avia, Castelldefels Km. 2,7*  
*08907-L'Hospitalet de Llobregat, Barcelona, Spain*  
(hereinafter together referred to as the "ASSIGNOR") have made a new and useful  
invention entitled

\* **TRANSCRIPTION FACTOR DP-3 AND ISOFORMS THEREOF**

for which ASSIGNOR has

☐ executed on even date herewith

☐ executed on \_\_\_\_\_ 19

☒ filed on 10 November 1998

an application for Letters Patent of the United States of America,  
Serial No. \* 09/189,627 (a continuation-in-part of application Serial No. 08/723,415 and  
PCT/GB97/01324)

And WHEREAS

\* **PROLIFIX LIMITED**

a company organized under the laws of \* England and Wales  
and having a principal place of business at

\* 91 Milton Park, Abingdon, Oxon, OX14 4RY, United Kingdom

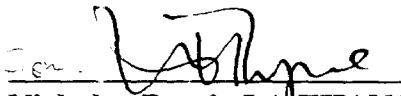

(hereinafter referred to as the "ASSIGNEE") is desirous of acquiring the entire right, title  
and interest in and to said application and the invention(s) and improvement(s) therein  
disclosed, for the United States of America, and any Letters Patent which may issue  
therefor in the United States and all divisions, continuations, reissues, reexaminations,  
renewals and/or extensions thereof.

NOW THEREFORE in consideration of the sum of ten U.S. dollars (\$10.00) and  
other good and valuable consideration, the receipt and sufficiency of which is hereby  
acknowledged, the ASSIGNOR does hereby assign, sell, transfer and set over unto the  
ASSIGNEE the entire right, title and interest in and to said application and the invention(s)  
and improvement(s) therein disclosed, for the United States of America, and any Letters  
Patent which may issue therefor in the United States and all divisions, continuations,  
reissues, reexaminations, renewals and/or extensions thereof, said ASSIGNEE to have and  
to hold the interests herein assigned to the full ends of the terms of said Letters Patent and  
any and all divisions, continuations, reissues, reexaminations, renewals and/or extensions  
thereof, respectively, as fully and entirely as the same would have been held and enjoyed  
by the ASSIGNOR had this assignment not been made.

And for the consideration aforesaid, ASSIGNOR agrees that ASSIGNOR will, upon request, communicate to said ASSIGNEE or the representatives thereof any facts known to ASSIGNOR respecting the invention(s) and improvement(s) of the said United States application, and will, upon request, but without expense to ASSIGNOR, testify in any legal proceedings, sign all lawful papers, execute all divisional, continuation, reissue, reexamination, renewal and/or extension applications, make all rightful oaths, and generally do all other and further lawful acts deemed necessary or expedient by said ASSIGNEE or by counsel for said ASSIGNEE, to assist or enable said ASSIGNEE to obtain and enforce full benefits from the rights and interests herein assigned.

This assignment shall be binding upon the ASSIGNOR's heirs, executors, administrators, successors and/or assigns, and shall inure to the benefit of the heirs, executors, administrators, successors, and/or assigns, as the case may be, of the ASSIGNEE.

The Commissioner of Patents is requested to issue such Letters Patent in accordance with this assignment.

- (1) Signature:   
Name: **Nicholas Barrie LA THANGUE**  
Date: 1 October 1999
- (2) Signature:   
Name: **Susana DE LA LUNA**  
Date: 23 September 1999