MN) 199

40.00 CH

01 FC:581

RECORI

12-07-1999

101216037

OCKET: DOCKET: CA9-99-029

Department of Commerce tent & Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof. 2. Name and address of receiving party(ies): 1. Name of conveying party(ies): John F. Hornibrook, Name: International Business Machines Corporation John E. Lumby, DEC 1 Walid Rjaibi, and Calisto Paul Zuzarte Street Address: New Orchard Road City: Armonk State: NY ZIP: 10504 Additional name(s) & address(es) attached? Additional name(s) of conveying party(ies) attached __Yes XX No __Yes XX No 3. Nature of conveyance: Execution Date: November 16, 1999 XX Assignment 4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is:__ A. Patent Application No.(s): 09/404,440 B. Patent No.(s) Additional numbers attached? __Yes XX No Total Number of applications and patents 5. Name and address of party to whom correspondence concerning document involved: One should be mailed: Total fee (37 CFR 3.41).....\$ 40.00 IBM Corporation Name: Ingrid M. Foerster __ Enclosed Internal. XX Authorized to be charged to Address: Intellectual Property Law deposit account Street 555 Bailey Avenue, Dept J46/G464 Address: San Jose, CA 95141-1003 8. Deposit Account Number: 09-0466 9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. 11/23/99 Ingrid M. Foerster Total number of pages including cover sheet, attachments, and document: / ${f 2}$ / 12/06/1999 DNGUYEN 00000212 090466 09404440

> PATENT REEL: 010414 FRAME: 0865

Docket No.: CA9-99-029

INVENTOR AND CITY

Whereas, we

John F. Hornibrook of Toronto, Province of **Ontario** and Country of Canada John E. Lumby of Toronto, and (2) Province of Ontario and Country of Canada Walid Rjaibi and (3) of Willowdale, Province of Ontario and Country of Canada Calisto Paul Zuzarte of Pickering, and (4)Province of Ontario and Country of Canada,

have invented certain improvements in

TITLE

DATE

A SYSTEM AND PROCESS FOR EVALUATING THE PERFORMANCE OF A DATABASE SYSTEM

DATES THAT INVENTORS SIGNED THE DECLARATION and executed, respectively, a United States patent application therefor on

(1) 1 /6, , 1999, (2) 1 / 6, , 1999, (3) 1999, (4) 1 / 6, , 1999.

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filling of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed and sealed

CITY (1) at Torinto

DATE on <u>Nov 16</u>, 1999,

CITY and (2) at ____ (oronto

DATE on 6 Nov., 1999

CITY and (3) at <u>Terruto</u>

on Nos.16, 1999,

DATE on NOV, 16 , 1999.

RECORDED: 12/01/1999

John F. Hornibrook SIGNATURE INVENTOR

John E. Lumby SIGNATURE INVENTOR

SIGNATURE SIGNATURE INVENTOR

Paul Zuzarte SIGNATURE INVENTOR

PATENT REEL: 010414 FRAME: 0866