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(Rev. 6-93)
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RECORDATION FC

12-07-1999

PARTMENT OF COMMERCE
Patent and Trademark Office

PATENT



101215981

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Richard Hilliard Gaylord, III **William Alfred Syverson**
Frederick William Kern, Jr.
Donald Joseph Martin
Harald Franz Okorn-Schmidt
John Joseph Snyder

2. Name and address of receiving party(ies):

Name: International Business Machines Corporation

Internal Address: _____

Street Address: New Orchard RoadCity: ArmonkState: NYZIP: 10504

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other _____Execution Date: 11/4/99, 11/4/99, 11/4/99, 11/3/99,
11/5/99, 11/4/99Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

11/4/99, 11/4/99, 11/4/99,If this document is being filed together with a new application, the execution date of the application is: 11/3/99, 11/5/99,
11/4/99

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: John A. Merecki - (518) 220-1850

Internal Address: _____

Street Address: Schmeiser, Olsen & Watts3 Lear Jet Lane, Suite 201City: LathamState: NYZIP: 12110

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☒ Authorized to be charged to deposit account

8. Deposit account number:

09-0456(IBM)

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Robert A. Walsh for
William D. Sabo - Reg. No.-27,465

(802) 769-9454

Name of Person Signing

Robert A. Walsh, Reg. 26,516

Signature

Date

Total number of pages including cover sheet, attachments, and document:

7

PATENT

REEL: 010416 FRAME: 0203

BU9-99-043

ASSIGNMENT

Whereas, We

(1) Richard Hilliard Gaylord, III
County of ChittendenCity of Essex Junction
and State of Vermont(2) Frederick William Kern, Jr.
County of ChittendenCity of Colchester
and State of Vermont(3) Donald Joseph Martin
County of FranklinCity of Fairfield
and State of Vermont(4) Harald Franz Okorn-Schmidt
County of PutnamCity of Putnam Valley
and State of New York(5) John Joseph Snyder
County of ChittendenCity of Colchester
and State of Vermont(6) William Alfred Syverson
County of ChittendenCity of Colchester
and State of Vermont

have invented certain improvements in a:

**APPARATUS AND METHOD FOR REMOVING CONTAMINANTS FROM A
WORKPIECE USING A CHEMICALLY REACTIVE ADDITIVE**

Dates That and executed, respectively, a United States patent application therefor on

Inventors

Signed the

Declaration

(1) 11/4/99

(3) 11/4/99

(5)

1999; (2) 11/4/99

1999; (4)

1999; and (6) 11/4/99

1999;


1999;

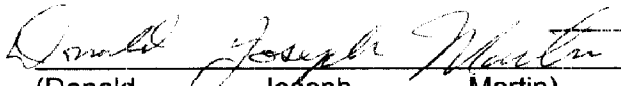
1999.

And whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said applications to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

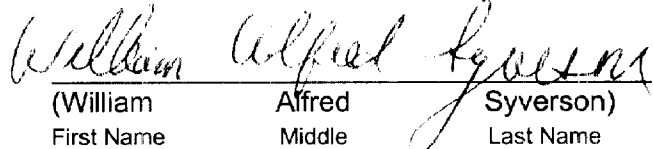
(1) at Essex Junction, VT
on Nov 4 1999(Richard
First NameHilliard
MiddleGaylord, III)
Last NameSignature
Inventor

(2) at *Essex Jct, VT*  Signature
 on *11/4/1999* (Frederick William Kern, Jr.)
 First Name Middle Last Name Inventor

(3) at *Essex Jct, VT*  Signature
 on *11/4/1999* (Donald Joseph Martin)
 First Name Middle Last Name Inventor

(4) at _____ Signature
 on _____ 1999 (Harald Franz Okorn-Schmidt)
 First Name Middle Last Name Inventor

(5) at _____ Signature
 on _____ 1999 (John Joseph Snyder)
 First Name Middle Last Name Inventor

(6) at *Essex Jct, VT*  Signature
 on *11/4/1999* (William Alfred Syverson)
 First Name Middle Last Name Inventor

EU9-99-043

ASSIGNMENT

Whereas, We

(1) Richard Hilliard Gaylord, III
County of Chittenden

City of Essex Junction
and State of Vermont

(2) Frederick William Kern, Jr.
County of Chittenden

City of Colchester
and State of Vermont

(3) Donald Joseph Martin
County of Franklin

City of Fairfield
and State of Vermont

(4) Harald Franz Okorn-Schmidt
County of Putnam

City of Putnam Valley
and State of New York

(5) John Joseph Snyder
County of Chittenden

City of Colchester
and State of Vermont

(6) William Alfred Syverson
County of Chittenden

City of Colchester
and State of Vermont

Have invented certain improvements in a:

APPARATUS AND METHOD FOR REMOVING CONTAMINANTS FROM A WORKPIECE USING A CHEMICALLY REACTIVE ADDITIVE

| | | | |
|-------------|--|---------------|-------|
| Dates That | and executed, respectively, a United States patent application therefor on | | |
| Inventors | | | |
| Signed the | (1) | 1999; (2) | 1999; |
| Declaration | (3) | 1999; (4) | 1999; |
| | (5) 11/5/99 | 1999; and (6) | 1999. |

And whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said applications to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

| | | | | | |
|--------|------|------------|----------|---------------|-----------|
| (1) at | | (Richard | Hilliard | Gaylord, III) | Signature |
| on | 1999 | First Name | Middle | Last Name | Inventor |

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BU9-99-043

| | | | | | |
|--------------------------------------|------|--------------------------|-------------------|-----------------------------|-----------------------|
| (2) at on | 1999 | (Frederick First Name | William Middle | Kern, Jr.) Last Name | Signature Inventor |
| (3) at on | 1999 | (Donald First Name | Joseph Middle | Martin) Last Name | Signature Inventor |
| (4) at on | 1999 | (Harald First Name | Franz Middle | Okorn-Schmidt) Last Name | Signature Inventor |
| (5) at 5:11pm on #764 1999 333 | | (John First Name | Joseph Middle | Snyder) Last Name | Signature Inventor |
| (6) at on | 1999 | (William First Name | Alfred Middle | Syverson) Last Name | Signature Inventor |

BU9-99-043

ASSIGNMENT

Whereas, We

- | | |
|--|--|
| (1) Richard Hiliard Gaylord, III County of Chittenden | City of Essex Junction and State of Vermont |
| (2) Frederick William Kern, Jr. County of Chittenden | City of Colchester and State of Vermont |
| (3) Donald Joseph Martin County of Franklin | City of Fairfield and State of Vermont |
| (4) Harald Franz Okorn-Schmidt County of Putnam | City of Putnam Valley and State of New York |
| (5) John Joseph Snyder County of Chittenden | City of Colchester and State of Vermont |
| (6) William Alfred Syverson County of Chittenden | City of Colchester and State of Vermont |

have invented certain improvements in a.

APPARATUS AND METHOD FOR REMOVING CONTAMINANTS FROM A WORKPIECE USING A CHEMICALLY REACTIVE ADDITIVE

Dates That Inventors Signed the Declaration and executed, respectively, a United States patent application therefor on

| | | | |
|-----|-------|-----|-------|
| (1) | 1999; | (2) | 1999; |
| (3) | 1999; | (4) | 1999; |
| (5) | 1999; | (6) | 1999. |

Nov. 03,

And whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said applications to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM

| | | | | | |
|--------|------|------------|---------|---------------|-----------|
| (1) at | | (Richard | Hiliard | Gaylord, III) | Signature |
| on | 1999 | First Name | Middle | Last Name | Inventor |

BU9-99-043

| | | | | | |
|--------|------|------------|---------|------------|-----------|
| (2) at | | (Frederick | William | Kern, Jr.) | Signature |
| on | 1999 | First Name | Middle | Last Name | Inventor |

| | | | | | |
|--------|------|------------|--------|-----------|-----------|
| (3) at | | (Donald | Joseph | Martin) | Signature |
| on | 1999 | First Name | Middle | Last Name | Inventor |

| | | | | | |
|--------|-------------------------|---------------|-----------|---------------------|-----------|
| (4) at | <i>Yorktown Heights</i> | <i>Harold</i> | <i>Fz</i> | <i>Okom-Schmidt</i> | Signature |
| on | <i>Nov. 03, 1999</i> | (Harald | Franz | Okom-Schmidt) | Inventor |
| | | First Name | Middle | Last Name | |

| | | | | | |
|--------|------|------------|--------|-----------|-----------|
| (5) at | | (John | Joseph | Snyder) | Signature |
| on | 1999 | First Name | Middle | Last Name | Inventor |

| | | | | | |
|--------|------|------------|--------|-----------|-----------|
| (6) at | | (William | Alfred | Syverson) | Signature |
| on | 1999 | First Name | Middle | Last Name | Inventor |