

02-17-2000

FORM PTO-1695 (REV. 6-93) OMB No. 0651-0011 (exp. 7/94) (Modified) REI



U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

101248085

To the Assistant Commissioner of Patents:

1. Name of conveying party(ies):  
Sham Yuen CHAN  
Van-Mai TRAN  
Shu-Lan CHENG  
Additional name(s) of conveying party(ies)  yes  no

2. Name and address of receiving party(ies):  
Name: Bayer Corporation  
Internal Address:  
Street Address: 400 Morgan Lane  
City: West Haven State: CT ZIP: 06516  
Additional name(s) & address(es)  yes  no

3. Nature of conveyance:  
 Assignment  Merger  
 Security Agreement  Change of Name  
 Other  
Execution Date: July 30, 1998

4. Application number(s) or registration number(s):  
If this document is being filed together with a new application, the execution date of the application is:  
A. Patent Application No.(s)  
Serial No. 09/124,605  
Filed: July 28, 1998  
Additional numbers  yes  no

B. Patent No.(s)  
Additional numbers  yes  no

5. Name and address of party to whom correspondence concerning document should be mailed:  
Michael S. Greenfield  
McDonnell Boehnen Hulbert & Berghoff  
300 S. Wacker Drive  
Chicago, Illinois 60606 USA

6. Total number of applications and patents involved: 1  
7. Total fee (37 CFR 3.41) \$ 40.00  
 Enclosed  
 Authorized to charge to Deposit account  
8. Deposit account number: 13-2490

DO NOT USE THIS SPACE

9. Statement and Signature.  
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.  
Michael S. Greenfield  
Name of Person Signing  
Signature  
October 20, 1998  
Date

Total Number of pages including cover sheet, attachments and copies: 8

**ASSIGNMENT**

(Case No.: 98,064)

Name of Inventor(s): Sham Yuen CHAN,  
Van-Mai TRAN, Shu-Lan CHENGUSPTO Serial No.: 09/124,605

Date of Execution of Application: \_\_\_\_\_

Filing Date: July 28, 1998

In consideration of One Dollar (\$1.00) and other good and valuable considerations in hand paid, the receipt and sufficiency whereof are hereby acknowledged, the undersigned hereby assign to:

**BAYER CORPORATION**

its successors and assigns, the entire right, title and interest in the invention or improvements of the undersigned disclosed in an application for Letters Patent of the United States, entitled:

**Methods and Constructs for Protein Expression**

and identified as **Case No. 98,064** in the offices of McDonnell Boehnen Hulbert & Berghoff and in said application and any and all other applications, both United States and foreign, which the undersigned may file, either solely or jointly with others, on said invention or improvements, and in any and all Letters Patent of the United States and foreign countries, which may be obtained on any of said applications, and in any reissue or extension of such patents, and further assigns to said assignee the priority right provided by the International Convention.

The undersigned hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to said assignee.

The undersigned hereby authorize and request the attorneys of record in said application to insert in this assignment the filing date and serial number of said application when officially known.

The undersigned warrant themselves to be the owners of the entire right, title and interest in said invention or improvements and to have the right to make this assignment, and further warrant that there are no outstanding prior assignments, licenses, or other encumbrances on the interest herein assigned.

For said considerations the undersigned hereby agree, upon the request and at the expense of said assignee, its successors and assigns, to execute any and all divisional, continuation and substitute applications for said invention or improvements, and any necessary oath, affidavit or declaration relating thereto, and any application for the reissue or extension of any Letters Patent that may be granted upon said application and any and all applications and other documents for Letters Patent in foreign countries on said invention or improvements, that said assignee, its successors or assigns may deem necessary or expedient, and for the said considerations the

undersigned authorize said assignee to apply for patents for said invention or improvements in its own name in such countries where such procedure is proper and further agree, upon the request of said assignees, its successors and assigns, to cooperate to the best of the ability of the undersigned with said assignee, its successors and assigns, in any proceedings or transactions involving such applications or patents, including the preparation and execution of preliminary statements, giving and producing evidence, and performing any and all other acts necessary to obtain, maintain and enforce said Letters Patent, both United States and foreign, and vest all rights therein hereby conveyed in the assignees, its successors and assigns, whereby said Letters Patent will be held and enjoyed by the said assignees, its successors and assigns, to the full end of the term for which said Letters Patent will be granted, as fully and entirely as the same would have been held and enjoyed by the undersigned if this assignment had not been made.

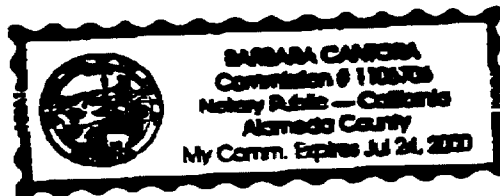
WITNESS my hand and seal this 30<sup>th</sup> day of July, 1998.

Shu-Lan Cheng  
Shu-Lan CHENG (signature)  
Inventor

State of California  
County of Alameda

The foregoing instrument was acknowledged before me this 30<sup>th</sup> day of July, 1998  
by Shu-Lan Cheng.

Barbara Cantora  
NOTARY PUBLIC (signature)



**ASSIGNMENT**

(Case No.: 98,064)

Name of Inventor(s): Sham Yuen CHAN,  
Van-Mai TRAN, Shu-Lan CHENGUSPTO Serial No.: 09/164,605

Date of Execution of Application: \_\_\_\_\_

Filing Date: July 28, 1998

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**BAYER CORPORATION**

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**Methods and Constructs for Protein Expression**

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undersigned authorize said assignee to apply for patents for said invention or improvements in its own name in such countries where such procedure is proper and further agree, upon the request of said assignees, its successors and assigns, to cooperate to the best of the ability of the undersigned with said assignee, its successors and assigns, in any proceedings or transactions involving such applications or patents, including the preparation and execution of preliminary statements, giving and producing evidence, and performing any and all other acts necessary to obtain, maintain and enforce said Letters Patent, both United States and foreign, and vest all rights therein hereby conveyed in the assignees, its successors and assigns, whereby said Letters Patent will be held and enjoyed by the said assignees, its successors and assigns. to the full end of the term for which said Letters Patent will be granted, as fully and entirely as the same would have been held and enjoyed by the undersigned if this assignment had not been made.

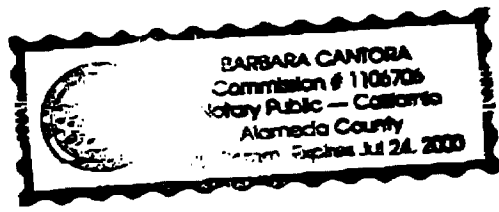
WITNESS my hand and seal this 30<sup>th</sup> day of July, 1998.

Sham Y. Chan  
Sham Yuen CHAN (signature)  
Inventor

State of California  
County of Alameda

The foregoing instrument was acknowledged before me this 30<sup>th</sup> day of July, 1998  
by Sham Yuen Chan

Barbara Cantora  
NOTARY PUBLIC (signature)



**ASSIGNMENT**

(Case No.: 98,064)

Name of Inventor(s): Sham Yuen CHAN,  
Van-Mai TRAN, Shu-Lan CHENGUSPTO Serial No.: 09/124,605

Date of Execution of Application: \_\_\_\_\_

Filing Date: July 28, 1998

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undersigned authorize said assignee to apply for patents for said invention or improvements in its own name in such countries where such procedure is proper and further agree, upon the request of said assignees, its successors and assigns, to cooperate to the best of the ability of the undersigned with said assignee, its successors and assigns, in any proceedings or transactions involving such applications or patents, including the preparation and execution of preliminary statements, giving and producing evidence, and performing any and all other acts necessary to obtain, maintain and enforce said Letters Patent, both United States and foreign, and vest all rights therein hereby conveyed in the assignees, its successors and assigns, whereby said Letters Patent will be held and enjoyed by the said assignees, its successors and assigns, to the full end of the term for which said Letters Patent will be granted, as fully and entirely as the same would have been held and enjoyed by the undersigned if this assignment had not been made.

WITNESS my hand and seal this 30<sup>th</sup> day of July, 1998.

Van-Mai Tran  
Van-Mai TRAN (signature)  
Inventor

State of California  
County of Alameda

The foregoing instrument was acknowledged before me this 30<sup>th</sup> day of July, 1998  
by Van-Mai Tran

Barbara Cantora  
NOTARY PUBLIC (signature)

