

12-09-1999

11-26-99

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To the Hon. Commissioner of Patent  
thereof.

Attached original documents or copy

## 1. Name of conveying party(ies):

Ken-ichiro NAKAGAWA

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date: November 25, 1999

## 2. Name and address of receiving party(ies):

Name:  
NEC CORPORATIONStreet Address:  
7-1, Shiba 5-chome  
Minato-ku  
Tokyo, JapanJCS75 U.S. PTO  
09/449760  
11/26/99

## 4. Application number(s) or patent (numbers):

If this document is being filed together with a new application, the execution date of the  
application is: November 25, 1999

A. Patent Application No(s).

B. Patent No(s).

Additional numbers attached? ☐ Yes ☒ No5. Name and address of party to whom correspondence concerning document should  
be mailed:YOUNG & THOMPSON  
Second Floor  
745 South 23rd Street  
Arlington, VA 222026. Total number of applications and patents  
involved:

1

## 7. Total fee (37 CFR 3.41).....\$ 40

- ☒ Enclosed  
☐ Authorized to be charged to  
deposit account

## 8. Deposit Account No. 25-0120

(Attach duplicate copy of this page if paying by deposit account)

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## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and  
any attached copy is a true copy of the original document.*

Mary Shelton

Name of Person Signing

Signature

November 26, 1999

Date

Total number of pages including cover sheet: **[2]**

**ASSIGNMENT**

WHEREAS, Ken-ichiro NAKAGAWA

hereafter referred to as applicant(s), has/have invented certain new and useful improvements in NONVOLATILE SEMICONDUCTOR MEMORY AND PROCESS FOR FABRICATING THE SAME

(a) for which an application for a United States Patent was filed on \_\_\_\_\_, Application No. \_\_\_\_\_,

(b) for which an application for a United States Patent was executed on November 25, 1999, and

WHEREAS, **NEC CORPORATION**, of 7-1, Shiba 5-chome, Minato-ku, Tokyo, Japan, hereinafter referred to as "assignee" is desirous of acquiring the entire right, title and interest in the same;

NOW, THEREFORE, in consideration of the sum of Ten Dollars (\$10.00), the receipt whereof is acknowledged, and other good and valuable consideration, I/we, the applicant(s), by these presents do sell, assign and transfer unto said assignee the full and exclusive right to the said invention in the United States and the entire right, title and interest in and to any and all Patents which may be granted therefor in the United States.

I/We hereby authorize and request the Commissioner of Patents & Trademarks to issue said United States Patent to said assignee, of the entire right, title and interest in and to the same, for its sole use and behoof; and for the use and behoof of its legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me/us had this assignment and sale not been made.

Date: November 25, 1999

Ken-ichiro Nakagawa   
Ken-ichiro NAKAGAWA

Witness:

Date: \_\_\_\_\_

Wilhelm Ullrich

Date: \_\_\_\_\_

[Signature]